

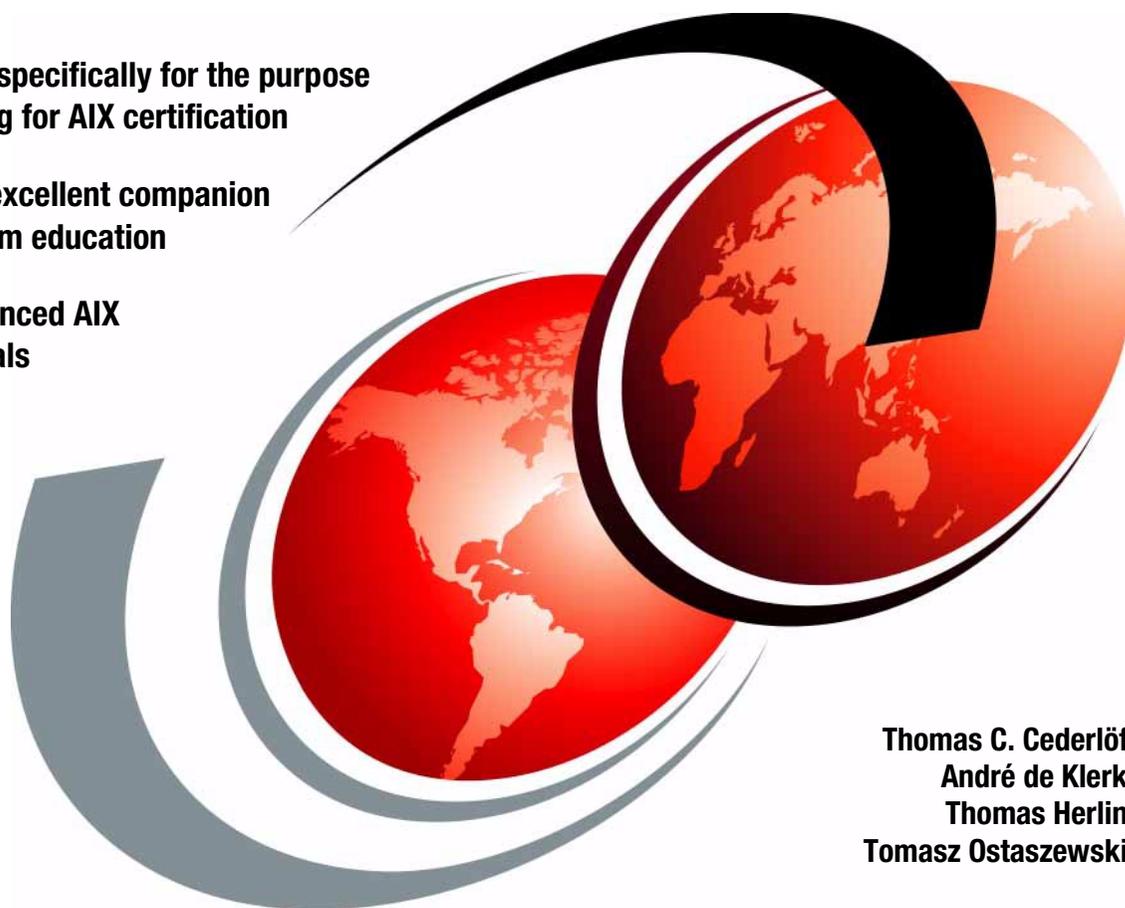


IBM Certification Study Guide AIX Communications

Developed specifically for the purpose
of preparing for AIX certification

Makes an excellent companion
to classroom education

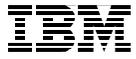
For experienced AIX
professionals



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International Technical Support Organization

**IBM Certification Study Guide
AIX Communications**

December 2000

Take Note!

Before using this information and the product it supports, be sure to read the general information in Appendix B, "Special notices" on page 233.

First Edition (December 2000)

This edition applies to AIX Version 4.3 (5765-C34) and subsequent releases running on an RS/6000 or pSeries server.

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Preface

The AIX and RS/6000 certifications offered through the Professional Certification Program from IBM are designed to validate the skills required of technical professionals who work in the powerful, and often complex, environments of AIX and RS/6000. A complete set of professional certifications are available. They include:

- IBM Certified AIX User
- IBM Certified Specialist - AIX System Administration
- IBM Certified Specialist - AIX System Support
- IBM Certified Specialist - AIX HACMP
- IBM Certified Specialist - Business Intelligence for RS/6000
- IBM Certified Specialist - Domino for RS/6000
- IBM Certified Specialist - RS/6000 Solution Sales
- IBM Certified Specialist - RS/6000 SP and PSSP V3
- IBM Certified Specialist - RS/6000 SP
- RS/6000 SP - Sales Qualification
- IBM Certified Specialist - Web Server for RS/6000
- IBM Certified Advanced Technical Expert - RS/6000 AIX

Each certification is developed by following a thorough and rigorous process to ensure the exam is applicable to the job role and is a meaningful and appropriate assessment of skill. Subject matter experts who successfully perform the job participate throughout the entire development process. They bring a wealth of experience into the development process, making the exams much more meaningful than the typical test that only captures classroom knowledge and ensuring the exams are relevant to the *real world*. Thanks to their effort, the test content is both useful and valid. The result of this certification is the value of appropriate measurements of the skills required to perform the job role.

This IBM Redbook is designed as a study guide for professionals wishing to prepare for the AIX Communications certification exam as a selected course of study in order to achieve the IBM Certified Advanced Technical Expert - RS/6000 AIX certification.

This IBM Redbook is designed to provide a combination of theory and practical experience needed for a general understanding of the subject matter. It also provides sample questions that will help in the evaluation of personal progress and provide familiarity with the types of questions that will be encountered in the exam.

This publication does not replace practical experience, nor is it designed to be a stand alone guide for any subject. Instead, it is an effective tool that, when combined with education activities and experience, can be a very useful preparation guide for the exam.

For additional information about certification and instructions on *How to Register* for an exam, call IBM at 1-800-426-8322 or visit the Web site at: <http://www.ibm.com/certify>

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- Send your comments in an Internet note to redbook@us.ibm.com

Chapter 1. Certification overview

This chapter provides an overview of the skill requirements needed to obtain an IBM AIX Specialist certification. The following chapters are designed to provide a comprehensive review of specific topics that are essential for obtaining the certification.

1.1 IBM Certified Advanced Technical Expert - RS/6000 AIX

This level certifies an advanced level of AIX knowledge and understanding, both in breadth and depth. It verifies the ability to perform in-depth analysis, apply complex AIX concepts and provide resolution to critical problems, all in a variety of areas within RS/6000 AIX.

To attain the IBM Certified Advanced Technical Expert - RS/6000 AIX certification, you must pass four tests.

One test is the prerequisite in either AIX System Administration or AIX System Support. The other three tests are selected from a variety of AIX and RS/6000 topics. These requirements are explained in greater detail in the sections that follow.

1.1.1 Required prerequisite

Prior to attaining the IBM Certified Advanced Technical Expert - RS/6000 AIX certification, you must be certified as either an:

- IBM Certified Specialist - AIX System Administration
- or
- IBM Certified Specialist - AIX System Support

1.1.2 Recommended prerequisite

A minimum of six to twelve months experience in performing in-depth analysis and applying complex AIX concepts in a variety of areas within RS/6000 AIX is a recommended prerequisite.

1.1.3 Registration for the certification exam

For information about *How to Register* for the certification exam, visit the following Web site:

<http://www.ibm.com/certify>

1.1.4 Core requirement (select three of the following tests)

You will receive a Certificate of Proficiency for tests when passed.

1.1.4.1 AIX Installation and System Recovery

The following objectives were used as a basis when the certification test 183 was developed. Some of these topics have been regrouped to provide better organization when discussed in this publication.

Preparation for this exam is the topic of *IBM Certification Study Guide - AIX Installation and System Recovery*, SG24-6183.

Section 1 - Installation and software maintenance

- Install or migrate the operating system.
- Install a licensed program product.
- Remove an OPP or an LPP from the system.
- Update a system.
- Apply a selective fix.
- Identify and resolve network install problems

Section 2 - System backup and restore

- Perform a complete backup of the system.
- Implement backup using relative and absolute paths.
- Create a mksysb.
- Understand advanced mksysb concepts.
- Restore files.

Section 3 - System initialization (boot) failures

- Understand concepts of system initialization.
- Diagnose the cause of a system initialization failure.
- Resolve a system initialization failure.

Section 4 - File systems and LVM recovery

- Perform problem determination on a file system.
- Determine a suitable procedure for replacing a disk.
- Resolve problems caused by incorrect actions taken to change a disk drive.
- Create a new volume group.
- Create a logical volume.

- Understand LVM concepts.
- Resolve a complex LVM problem.

1.1.4.2 AIX Performance and System Tuning

The following objectives were used as a basis when the certification test 184 was developed.

Preparation for this exam is the topic of *IBM Certification Study Guide - AIX Performance and System Tuning*, SG24-6184.

Section 1 - Performance tools and techniques

- Use the `iostat` command.
- Use the `filemon` command.
- Use the `tprof` command.
- Use the `netpmn` command.
- Interpret `iostat` output.
- Interpret `lspcs` output.
- Interpret `netstat` output.
- Interpret `vmstat` output.
- Know about `perfpmr`.
- Know about performance diagnostic tool.
- Look at run queue.
- Look at system calls.

Section 2 - Correcting performance problems

- Correct disk bottlenecks.
- Correct NFS bottlenecks.
- Correct network bottlenecks.
- Correct communications adapter bottlenecks.
- Understand random write-behind concepts.
- Understand async I/O performance concepts.
- Understand VMM I/O pacing.
- Understand file fragmentation.
- Understand logical volume fragmentation.

Section 3 - VMM

- Identify and correct VMM performance problems.
- Correct paging problems.
- Know about tuning file memory usage.
- Know about memory load control.
- Understand page space allocation issues.

Section 4 - Multiprocessor and process scheduling

- Know SMP commands.
- Use the `bindprocessor` command.
- Enable, disable, and show status of processors.
- List CPU utilization per processor.
- Know about `ps` command and threads.
- Understand locking issues in SMP.
- Know about process scheduling.
- Understand priority calculations.
- Understand the effect of `schedtune` on priorities.

Section 5 - Tuning and customization

- Tune a system for optimum performance.
- Use the `no` command.
- Customize a LV for optimum performance.
- Configure system parameters.
- Tune network parameters.
- Determine when application tuning is needed.
- Understand real-time tuning.
- Understand disk striping.
- Tune I/O performance with `vm tune`.
- Understand RAID performance issues.
- Perform capacity planning.
- Understand memory usage.

1.1.4.3 AIX Problem Determination Tools and Techniques

The following objectives were used as a basis when the certification test 185 was developed.

Preparation for this exam is the topic of *IBM Certification Study Guide - AIX Problem Determination Tools and Techniques*, SG24-6185.

Section 1 - System dumps

- Create a system dump.
- Understand valid system dump devices.
- Determine the location of system dump data.
- Identify the status of a system dump by the LED codes.
- Identify appropriate action to take after a system dump.
- Determine if a system dump is successful.
- Use the `snap` command.

Section 2 - Crash

- Understand the use and purpose of the `crash` command.
- Verify the state of a system dump.
- Show the stack trace using `crash`.
- Use the `stat` subcommand in `crash`.
- Manipulate data in the process table.
- Interpret crash stack trace output.
- Interpret crash process output.
- Interpret crash TTY output.

Section 3 - Trace

- Start and stop trace.
- Run trace.
- Report trace information.
- Interpret trace output.
- Use trace to debug process problems.

Section 4 - File system and performance PD tools

- Use tools to identify and correct corrupted file systems.
- Understand file system characteristics.

- Resolve file system mounting problems.
- Repair corrupted file systems.
- Use `vmstat` command.
- Use `iostat` command.
- Use `filemon` command.

Section 5 - Network problem determination

- Use PD tools to identify network problems.
- Resolve a network performance problem.
- Correct problem with host name resolution.
- Diagnose the cause of a problem with NFS mounts.
- Diagnose the cause of a routing problem.
- Resolve a router problem.

Section 6 - Error logs and diagnostics

- Use error logging.
- Interpret error reports.
- Invoke and use diagnostic programs.

Section 7 - Other problem determination tools

- Set breakpoints using `dbx`.
- Step through a program using `dbx`.
- Run a program with arguments using `dbx`.
- Read core files and locate traceback.
- Debug problem using core files.
- Read shell scripts.
- Debug shell script problems.

1.1.4.4 AIX Communications

The following objectives were used as a basis when the certification test 186 was developed.

Preparation for this exam is the topic of this publication.

Section 1 - TCP/IP implementation

- Know TCP/IP concepts.
- Understand TCP/IP broadcast packets.

- Use and implement name resolution.
- Understand TCP/IP protocols.
- Know IP address classes.
- Use interfaces available in LAN communications.
- Understand the relationship between an IP address and the network interface.
- Log into remote hosts using telnet and rlogin.
- Construct /etc/hosts.equiv and ~/.rhosts for trusted users.
- Transfer files between systems using ftp or tftp.
- Run commands on remote machines.

Section 2 - TCP/IP: DNS implementation

- Set up a primary name server.
- Set up a secondary name server.
- Set up a client in a domain network.

Section 3 - Routing: implementation

- Apply knowledge of the IP routing algorithm.
- Set up and use the routing table and routes.
- Implement and use subnet masking.

Section 4 - NFS: implementation

- Manipulate local and remote mounts using the automounter.
- Understand NFS daemons and their roles.
- Configure and tune an NFS server.
- Configure and tune an NFS client.
- Set up a file system for mounting.
- Understand the /etc/exports file.
- Invoke a predefined mount.

Section 5 - NIS: implementation

- Understand the various NIS daemons
- Implement NIS escapes
- Create NIS map files
- Transfer NIS maps

Section 6 - Network problem determination

- Diagnose and resolve TCP/IP problems.
- Diagnose and resolve NFS problems.
- Diagnose and resolve NIS problems.

Section 7 - Hardware related PD (modems)

- Determine appropriate diagnostic approach to resolve a modem connection problem.
- Resolve communication configuration problems.

1.1.4.5 HACMP for AIX V4.2

The following objectives were used as a basis when the certification test 167 was developed.

Preparation for this exam is the topic of *IBM Certification Study Guide - AIX HACMP*, SG24-5131.

Section 1 - Pre-installation

- Conduct a planning session.
 - Set customer expectations at the beginning of the planning session.
 - Gather customer's availability requirements.
 - Articulate trade-offs of different HA configurations.
 - Assist customer in identifying HA applications.
- Evaluate customer environment and tailorable components.
 - Evaluate configuration and identify Single Points of Failure (SPOF).
 - Define and analyze NFS requirements.
 - Identify components affecting HACMP.
 - Identify HACMP event logic customizations.
- Plan for installation.
 - Develop disk management modification plan.
 - Understand issues regarding single adapter solutions.
 - Produce a test plan.

Section 2 - HACMP implementation

- Configure HACMP solutions.
 - Install HACMP code.

- Configure IP Address Takeover (IPAT).
- Configure non IP heartbeat paths.
- Configure network adapter.
- Customize and tailor AIX.
- Set up shared disk (SSA).
- Set up shared disk (SCSI).
- Verify a cluster configuration.
- Create an application server.
- Set up event notification.
 - Set up event notification and pre/post event scripts.
 - Set up error notification.
- Post configuration activities.
 - Configure client notification and ARP update.
 - Implement a test plan.
 - Create a snapshot.
 - Create a customization document.
- Testing and Troubleshooting.
 - Troubleshoot failed IPAT failover.
 - Troubleshoot failed shared volume groups.
 - Troubleshoot failed network configuration.
 - Troubleshoot failed shared disk tests.
 - Troubleshoot failed application.
 - Troubleshoot failed pre/post event scripts.
 - Troubleshoot failed error notifications.
 - Troubleshoot errors reported by cluster verification.

Section 3 - System management

- Communicate with customer.
 - Conduct turnover session.
 - Provide hands-on customer education.
 - Set customer expectations of their HACMP solution's capabilities.
- Perform systems maintenance.

- Perform HACMP maintenance tasks (PTFs, adding products, replacing disks, adapters).
- Perform AIX maintenance tasks.
- Dynamically update cluster configuration.
- Perform testing and troubleshooting as a result of changes.

1.1.4.6 RS/6000 SP and PSSP V2.4

The following objectives were used as a basis when the certification test 178 was developed.

Preparation for this exam is the topic of *IBM Certification Study Guide - RS/6000 SP*, SG24-5348.

Section 1 - Implementation and planning

- Validate software/hardware capability and configuration
 - Determine required software levels (for example: version, release, and modification level).
 - Determine the size, model, and location of the control workstation.
 - Define disk, memory, and I/O (including disk placement).
 - Determine disk space requirements.
 - Understand multi-frame requirements and switch partitioning.
 - Determine the number and type of nodes needed (including features).
 - Determine the number of types of I/O devices (for example: SCSI, RAID, SSA, and so on) needed.
 - Configure external I/O connections.
 - Determine additional network connections required.
 - Create the logical plan for connecting into networks outside the SP.
 - Identify the purpose and bandwidth of connections.
- Plan implementation of key aspects of TCP/IP networking in the SP environment.
 - Create specific host names (both fully qualified and aliases) and TCP/IP address.
 - netmask value and default routes.
 - Determine the mechanism (for example, /etc/hosts, NIS, DNS) by which name resolution will be made across the system.
 - Choose the IP name/address resolver.

- Determine the appropriate common, distributed, and local files/file systems.
 - Determine the physical locations of the file system and home directories.
 - Determine the number of types of I/O devices (for example, SCSI, RAID, SSA, and so on) needed.
 - Configure internal I/O.
 - Determine the mechanism (for example, NFS, AFS, DRS, local) by which file systems will be made across the system.
- Configure and administer the Kerberos Authentication subsystem and manage user IDs on the SP system.
 - Define administrative functions.
 - Determine the Kerberos administration ID.
 - Define administrative functions
 - Understand the options of end-user management.
 - Understand how to administer authenticated users and instances.
- Define a backup/recovery strategy for the SP which supports node images, control workstation images, applications, and data.
 - Determine backup strategy and understand the implications of multiple unique mksysb images.

Section 2 - Installation and configuration

- Configure an RS/6000 as an SP control workstation.
 - Verify the control workstation system configuration.
 - Configure TCP/IP network on the control workstation.
 - Install PSSP.
 - Load the SDR with SP configuration information.
 - Configure the SP System Data Repository.
 - Verify control workstation software.
 - Configure TCP/IP name resolution (for example, /etc/hosts, DNS, NIS).
- Perform network installation of images on nodes, using any combination of boot/install servers.
 - Install the images on the nodes.
 - Create boot/install servers

- Exercise the SP system resources to verify the correct operation of all required subsystems.
 - Verify all network connections.
 - Verify internal and external I/O connections.
 - Verify switch operations

Section 3 - Application enablement

- Determine whether LoadLeveler would be beneficial to a given SP system configuration.
 - Understand the function of LoadLeveler.
- Define and implement application-specific FSs, VGs, and VSDs for a parallel application.
 - Define application-specific file systems, logical volumes, volume groups, or VSDs.
 - Implement application-specific file systems, logical volumes, volume groups, or VSDs.
- Install and configure problem management tools (for example: event manager, problem manager and perspectives)
 - Install and Configure user-management tools.

Section 4 - Support

- Utilize Problem Determination methodologies (for example, HOSTRESPONDS, SWITCHRESPONDS, error report, log files, DAEMONS, GUIs).
 - Handle resolution of critical problems.
 - Conduct SP-specific problem diagnosis.
 - Interpret error logs that are unique to SP.
- Isolate causes of degraded SP performance, and tune the system accordingly.
 - Understand performance analysis and tuning requirements

1.1.4.7 RS/6000 SP and PSSP V3

The following objectives were used as a basis when the certification test 188 was developed.

Preparation for this exam is the topic of *IBM Certification Study Guide - RS/6000 SP*, SG24-5348.

Section 1 - Implementation planning

- Validate software/hardware capability and configuration
 - Determine required software levels (for example, version, release, and modification level)
 - Determine the size, model, and location of the control workstation.
 - Define disk, memory, and I/O (including disk replacement).
 - Define disk space requirements.
 - Understand multi-frame requirements and switch partitioning.
 - Determine the number and types of nodes needed (including features).
 - Determine the number and types of I/O devices (for example, SCSI, RAID, SSA, and so on) needed.
 - Configure external I/O connections.
 - Determine what additional network connections are required.
 - Create the logical plan for connecting into networks outside the SP.
 - Identify the purpose and bandwidth of connections.
 - Determine if boot/install servers are needed and, if needed, where they are located.
- Implement key aspects of TCP/IP networking in the SP environment.
 - Create specific host names (both fully qualified and aliases), TCP/IP address, netmask value and default routes.
 - Determine the mechanism (for example, /etc/hosts, NIS, DNS) by which name resolution will be made across the system.
 - Determine SP Ethernet topology (segmentation, routing).
 - Determine TCP/IP addressing for switch network.
- Determine the appropriate common, distributed, local files and file systems.
 - Determine the physical locations of the file system and home directories.
 - Determine the mechanism (for example, NFS, AFS, DRS, local) by which file systems will be made across the system.
- Define a backup/recovery strategy for the SP which supports node image(s), control workstation images, applications, and data.
 - Determine backup strategy, including node and CWS images.
 - Determine backup strategy and tools for application data.

Section 2 - Installation and configuration

- Configure an RS/6000 as an SP control workstation.
 - Verify the control workstation system configuration.
 - Configure TCP/IP network on the control workstation.
 - Install PSSP.
 - Configure the SDR with SP configuration information.
 - Verify control workstation software.
- Perform network installation of images on nodes, using any combination of boot/install servers.
 - Install the images on the nodes.
 - Define and configure boot/install servers.
 - Check SDR information.
 - Check RSCT daemons (hats, hags, and haem).
- Thoroughly exercise the SP system resources to verify correct information of all required subsystems.
 - Verify all network connections.
 - Verify switch operations.
- Configure and administer the Kerberos Authentication subsystem and manage user IDs.
 - Plan and configure Kerberos functions and procedures.
 - Configure the Kerberos administration ID.
 - Understand and use the options of end-user management.
- Define and configure system partition and perform switch installation.

Section 3 - Application enablement

- Determine whether additional SP-related products (for example, Loadleveler, PTPE, HACWS, NetTAPE, CLIOS) would be beneficial.
- Understand the function of additional SP-related products.
- Define and implement application-specific file systems, logical volumes, VGs and VSDs.
- Install and configure problem management tools (for example, event manager, problem manager, and perspectives).
 - Define and manage monitors.

Section 4 - Ongoing support

- Perform software maintenance.
 - Perform system software recovery.
 - Upgrade and migrate system software (applying PTFs and migration).
- Perform SP reconfiguration.
 - Add frames.
 - Add nodes.
 - Migrate nodes.
 - Add/replace switch.
- Utilize Problem Determination methodologies (for example, HOSTRESPONDS, SWITCHRESPONDS, error report, log files, DAEMONS, GUIIS).
 - Interpret error logs that are unique to the SP.
 - Diagnose networking problems.
 - Diagnose host response problems.
 - Diagnose switch-specific problems.
- Isolate cause of degraded SP performance and tune the system accordingly.
 - Understand performance analysis and tuning requirements.

1.2 Certification education courses

Courses are offered to help you prepare for the certification tests. Figure 1 and Figure 2 on page 17 provide a roadmap of useful courses. These courses are recommended, but not required, before taking a certification test. At the publication of this guide, the following courses are available. For a current list, visit the following Web site: <http://www.ibm.com/certify>

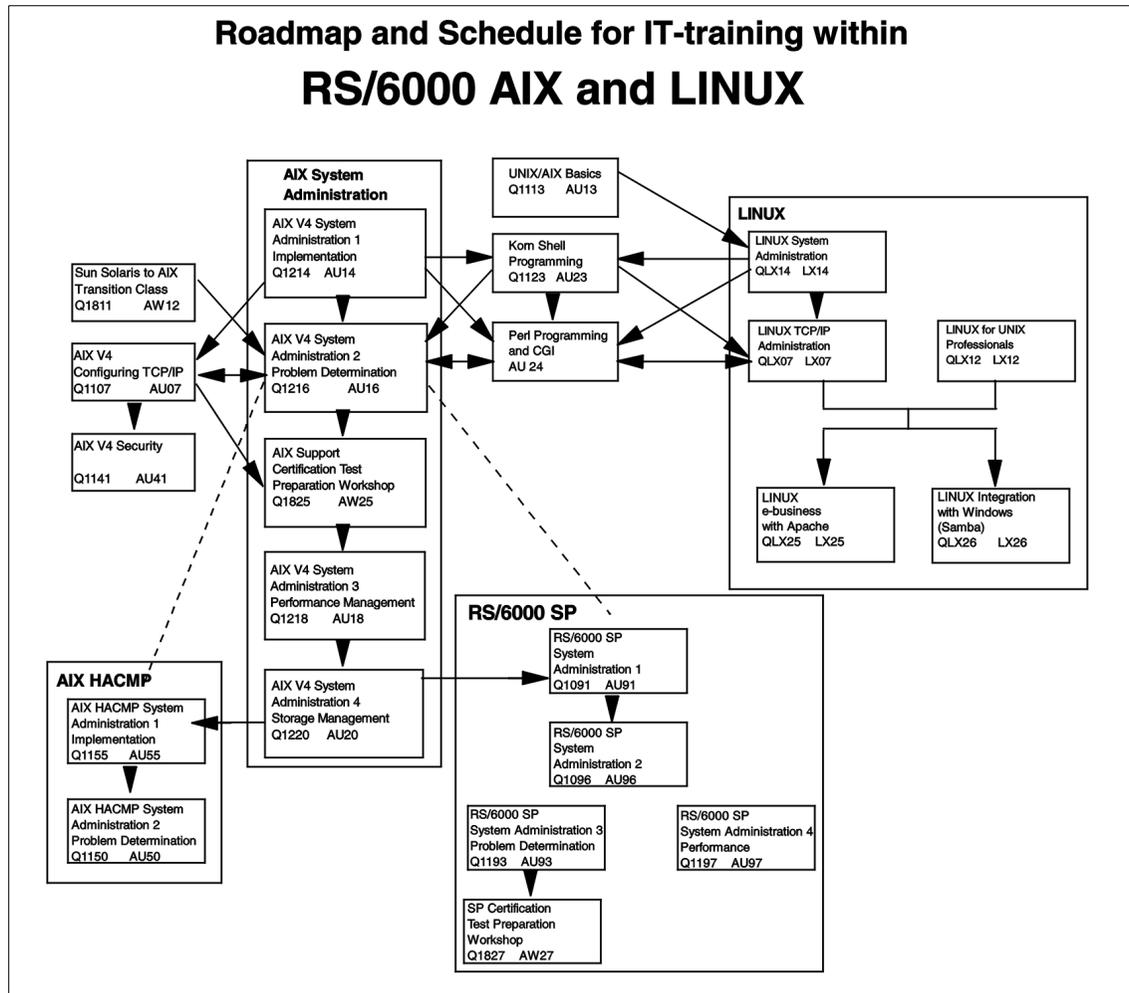


Figure 1. AIX and UNIX education roadmap

Certification Roadmaps for RS/6000 - AIX and UNIX

Courses/Cert Test* ...that prepare for...	Certification tests* ...which lead to...	Professional Title
AU13 Q1113	160	IBM Certified AIX User
AU07+AU14 Q1107+Q1214	181	IBM Certified Specialist - AIX System Administration
AU07+AU14+AU16 Q1107+Q1214+Q1216	189	IBM Certified Specialist - AIX System Support
Cert 181 or 189+AU55+AU50 Q1155+Q1150	167	IBM Certified Specialist - AIX HACMP
Cert 181 or 189+AU91+AU96 Q1091+Q1096	188	IBM Certified Specialist - RS/6000 SP and PSSP V3
Cert 181 or 189+AU91+AU96 Q1091+Q1096	178+188	IBM Certified Specialist - RS/6000 SP
Cert 181 or 189 + three of the following certification tests: 163, 164, 165, 166, 178, 188		IBM Certified Advanced Technical Expert - RS/6000 AIX
AU14+AU16+AU08 Q1214+Q1216+Q1108	163	
AU28/AU18 Q1216/Q1218	164	
AU16+AU18 Q1216+Q1218 AU23+AU05/AU07 Q1123+Q1107	165	
AU05/AU07+AU28/AU18 Q1107+Q1218	166	
LX12 or LX14+LX07 QLX14+QLX07	117-1A	
LX16+ (not fixed yet) QLX16	117-102	LPI Certification, level 2

Figure 2. Certification roadmaps

1.3 Education on CD: IBM AIX Essentials

The new IBM AIX Essentials series offers a dynamic training experience for those who need convenient and cost-effective AIX education. The series consists of five new, content rich, computer-based multimedia training courses based on highly acclaimed, instructor-led AIX classes that have been successfully taught by IBM Education and Training for years.

To order, and for more information and answers to your questions:

- In the U.S., call 800-IBM-TEACH (426-8322) or use the online form at the following URL: <http://www.ibm.com/services/learning/aix/#order>.
- Outside the U.S., contact your IBM Sales Representative.
- Contact an IBM Business Partner.

Chapter 2. Network interfaces and protocols

One of the most important aspects of the modern business machine is the network connectivity. With small businesses setting up networks that range from two or three workstations through global corporations that connect tens of thousands of workstations to hundreds of servers, often of different platforms, it is critical to understand the differences between the different protocols and interfaces. It is not uncommon for businesses to have various platforms, each running a different network protocol and interfacing with the other systems through an intermediate system.

2.1 Networking basics

The most common way of describing a network is the International Standards Organization's Open Systems Interconnection (OSI) Reference Model, also referred to as the OSI seven-layer model. The seven layers of the OSI model are as follows:

- 7 Application
- 6 Presentation
- 5 Session
- 4 Transport
- 3 Network
- 2 Data Link
- 1 Physical

Levels 1 through 3 are network specific, and will differ depending on what physical network you are using. Levels 4 through 7 comprise network-independent, higher-level functions. Each layer describes a particular function (instead of a specific protocol) that occurs in data communications. The seven layers function in order from highest to lowest as follows:

- | | |
|--------------|---|
| Application | Comprises the applications that use the network. |
| Presentation | Ensures that data is presented to the applications in a consistent fashion. |
| Session | Manages the connections between applications. |
| Transport | Ensures error-free data transmission. |

Network	Manages the connections to other machines on the network.
Data Link	Provides reliable delivery of data across the physical layer (which is usually inherently unreliable).
Physical	Describes the physical media of the network. For example, the fiber optic cable required for a Fiber Distributed Data Interface (FDDI) network is part of the physical layer.

While the OSI Reference Model is useful for discussing networking concepts, many networking protocols do not closely follow the OSI model. For example, when discussing Transmission Control Protocol/Internet Protocol (TCP/IP), the Application and Presentation Layer functions can be combined into a single level, as can the Session and Transport Layers, as well as the Data Link and Physical Layers.

Each layer in the OSI model defines a communications protocol with the corresponding layer on the remote machine. The layers pass data only to the layers immediately above and below. As shown in Figure 3, each layer adds its own header (and, in the case of the Data Link layer, footer) information, effectively encapsulating the information received from the higher layers. Ethernet and token ring are the most common network interfaces; however, there are others that exist.

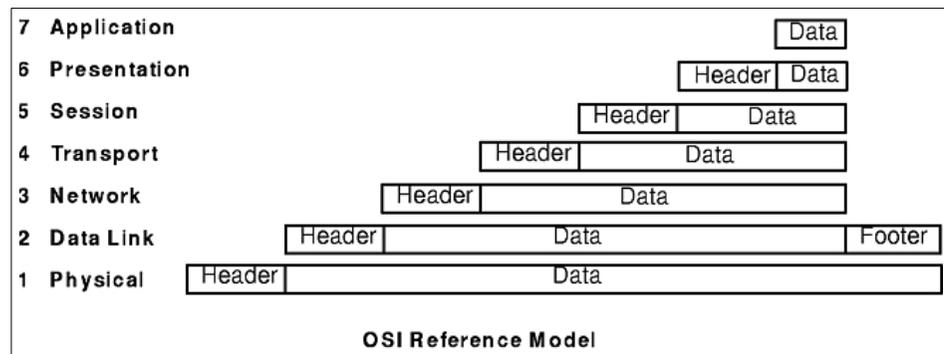


Figure 3. OSI reference model

Token ring, originally developed by IBM, uses a token passing mechanism to regulate traffic on the ring. It is defined by the IEEE 802.5 standard.

Ethernet is a broadcast-based protocol that uses collision detection and avoidance for network traffic regulation. Ethernet, defined by the IEEE 802.3 standard, was originally developed by the Xerox Palo Alto Research Center.

FDDI is similar to token ring in that it also passes a token over a ring, except that it is a fiber optic ring.

SLIP and PPP are protocols which use serial ports and modems to communicate. SLIP stands for serial line Internet protocol and PPP stands for point to point protocol.

Asynchronous Transfer Mode (ATM) is a full duplex cell-switching protocol that supports end-to-end connections.

2.2 Ethernet standards overview

Ethernet is the most popular type of network in the world. It is popular because it is easy to implement, and the cost of ownership is relatively lower than that of other technologies. It is also easy to manage, and the Ethernet products are readily available.

2.2.1 Access method

Hosts send messages on an Ethernet LAN using a Network Interface Layer protocol, with carrier sense and multiple access with collision detect (CSMA/CD). The CSMA/CD ensures that all devices communicate on a single medium, but that only one transmits at a time, and that they all receive simultaneously. If two devices try to transmit at the same instant, the transmit collision is detected, and both devices wait a random period before trying to transmit again using a *backoff algorithm* shown in Figure 4 on page 22.

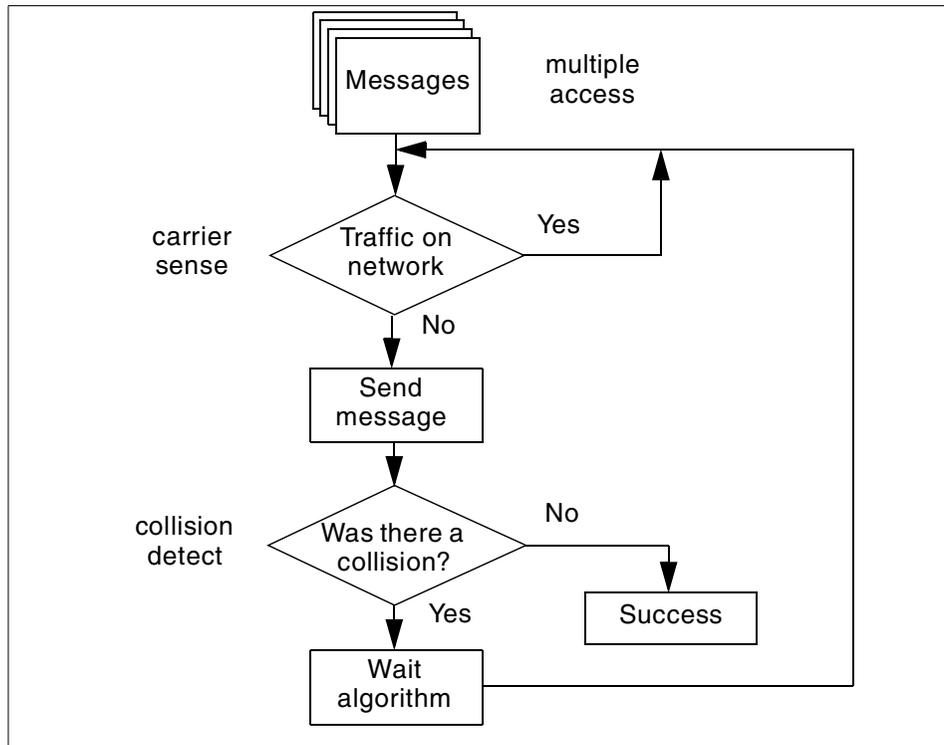


Figure 4. CSMA/CD algorithm

The chance of a collision depends on the following:

- The number of workstations on the network. The more workstations, the more likely collisions will occur.
- The length of the network. The longer the network, the bigger the chance for collisions due to the time needed for signals to reach all devices.
- The length of the data packet, that is, the MTU size. A larger packet length takes a longer time to transmit, which increases the chance of a collision.

The collision statistics for the particular Ethernet interface can be obtained by the `entstat` command.

```

# entstat -d en0
.....
Single Collision Count: 12
Multiple Collision Count: 11
.....
IBM PCI Ethernet Adapter Specific Statistics:

```

```

-----
Chip Version: 16
Packets with Transmit collisions:
 1 collisions: 12          6 collisions: 0          11 collisions: 0
 2 collisions: 2          7 collisions: 2          12 collisions: 0
 3 collisions: 3          8 collisions: 2          13 collisions: 0
 4 collisions: 0          9 collisions: 1          14 collisions: 0
 5 collisions: 0          10 collisions: 1         15 collisions: 0

```

2.2.2 Fast Ethernet

The Fast Ethernet, or the IEEE 802.3u standard, is 10 times faster than the 10 Mbps Ethernet. The cabling used for Fast Ethernet is 100BaseTx, 100BaseT4 and the 100BaseFx. The framing used in Fast Ethernet is the same as that used in Ethernet. Therefore, it is very easy to upgrade from Ethernet to Fast Ethernet. Because the framing and size are the same as that of Ethernet and the speed has been increased 10 times, the length of the network must be reduced, or else the collision would not be detected and would cause problems to the network.

2.2.3 Gigabit Ethernet

The Gigabit Ethernet, or IEEE 802.3z standard, is 10 times faster than the Fast Ethernet. To accelerate speeds from 100-Mbps Fast Ethernet to 1 Gbps, several changes need to be made to the physical interface. It has been decided that Gigabit Ethernet will look identical to Ethernet from the data link layer upward. The physical media can be either a copper cable, but with shorter lengths, or Fibre Channel. Merging these two technologies means that the standard can take advantage of the existing high-speed physical interface technology of Fibre Channel but still maintaining the Ethernet frame format. The framing used is still the same as that of Ethernet, and thus reduces the network distance by a great amount as compared to the Ethernet.

2.3 Asynchronous Transfer Mode (ATM)

ATM is a high performance, cell-switching, connection-oriented technology. In ATM networks, end stations attach to the network using dedicated full duplex connections. ATM can be used for voice and video as well as multimedia applications. Figure 5 on page 24 shows an example of how to set up a network using ATM.

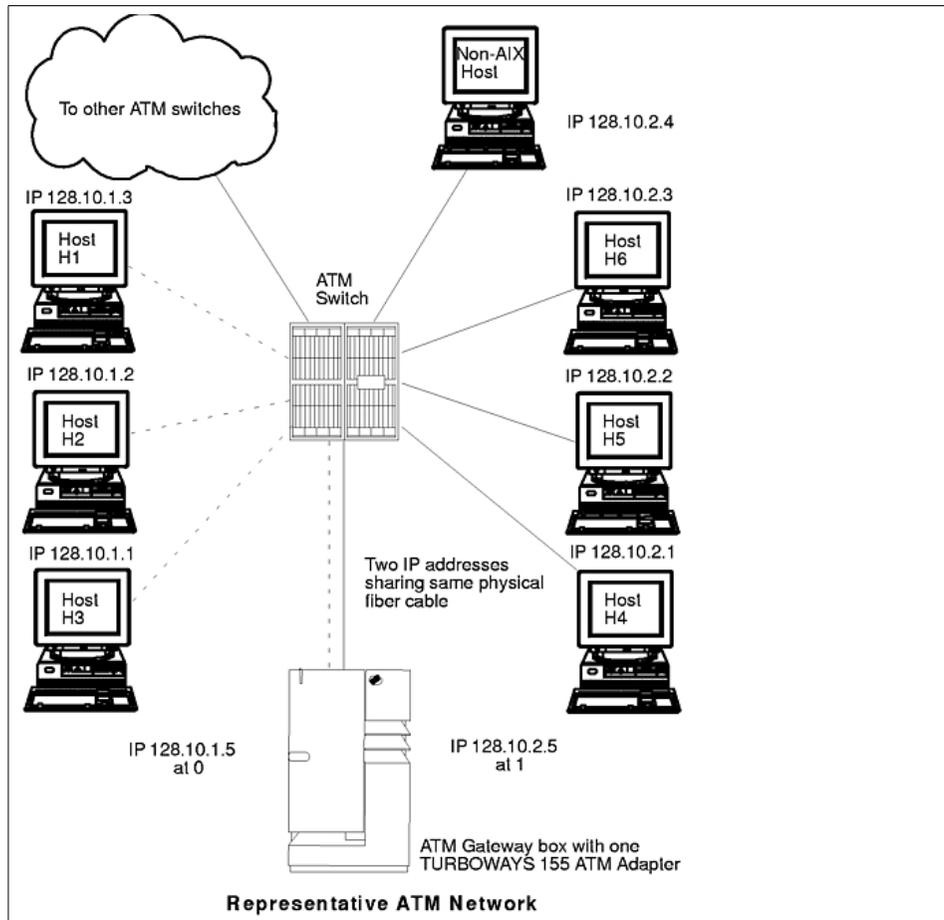


Figure 5. Representative of ATM network

2.3.1 TCP/IP over ATM

The Internet Engineering Task Force RFC1577: *Classical IP and ARP over ATM* standard specifies the mechanism for implementing Internet Protocol (IP) over ATM. Since ATM is connection-oriented technology and IP is a datagram-oriented technology, mapping the IP over ATM is not trivial.

In general, the ATM network is divided into logical IP subnetworks (LISs). Each LIS is comprised of some number of ATM stations. LISs are analogous to traditional LAN segments and are interconnected using routers. A particular adapter (on an ATM station) can be part of multiple LISs. This feature may be very useful for implementing routers.

RFC1577 specifies RFC1483, which specifies logical link control/Sub-Network Access Protocol (LLC/SNAP) encapsulation as the default. In Permanent Virtual Circuits (PVC) networks for each IP station, all PVCs must be manually defined by configuring VPI:VCI (VP and VC identifiers) values. If LLC/SNAP encapsulation is not being used, the destination IP address associated with each VPI:VCI must be defined. If LLC/SNAP encapsulation is being used, the IP station can learn the remote IP address by an InARP mechanism. For Switched Virtual Circuits (SVC) networks, RFC1577 specifies an ARP server per LIS. The purpose of the ARP server is to resolve IP addresses into ATM addresses without using broadcasts. Each IP station is configured with the ATM address of the ARP server. IP stations set up SVCs with the ARP server, which in turn sends InARP requests to the IP stations. Based on the InARP reply, an ARP server sets up IP to ATM address maps. IP stations send ARP packets to the ARP server to resolve addresses, which returns ATM addresses.

IP stations then set up a SVC to the destination station and data transfer begins. The ARP entries in IP stations and the ARP server age are based on a well defined mechanism. For both the PVC and SVC environments, each IP station has at least one virtual circuit per destination address.

The TCP/IP and ARP services would need to be started for ATM to work.

2.4 Network media

Every transmission standard has some restrictions related to hardware capability. Even the quality of the cables can dictate the quality of the network solution.

2.4.0.1 10Base2

This is the lowest-cost form of networking. The system uses a BNC connector and needs to be terminated on both ends of the cable, irrespective of the number of users between the two termination points. One disadvantage is that if there is a problem anywhere in the network, it is very difficult to localize the problem to a specific segment to correct the problem. Below are some limitations for 10Base2 networks:

- The maximum length per segment is 185 meters or 607 feet.
- Maximum of up to 30 nodes per unrepeatd network segment.
- Runs on RG-58 (thin coaxial) cable. Coax cable may require terminator resistors.
- Connects using BNC connectors.

2.4.0.2 10Base5

This standard runs on a thicker coaxial cable than 10Base2 and is better suited for the network backbone rather than the actual user segments. Below are some limitations for 10Base5 networks:

- Maximum length per segment is 500 meters or 1640 feet.
- Maximum of up to 100 users/devices per unrepeated network segment.
- Runs on RG-8 coaxial (thicknet) cable. Coax cable may require terminator resistors.
- Connects using AUI connectors.

2.4.0.3 10BaseT

This is normally the best price versus performance option. It is a bit more expensive than either 10Base2 or 10Base5; however, the termination is done either on the network card or the hub, which makes reliability and scalability simpler. Below are some limitations for 10BaseT networks:

- Maximum length up to 150 meters or 492 feet per segment, depending on cable specifications.
- Maximum of two nodes per segment and 1024 nodes per network.
- Runs on unshielded twisted pair (UTP) cable.
- Connects using RJ-45 connectors.

2.4.0.4 10BaseF

Using fiber optic is the most expensive option when setting up a network. fiber optic cable has an advantage of being able to be run next to electrical lines because of lack of electromagnetic interference. This option will mostly be used when connecting two buildings to the same LAN, as it is not feasible to use it within a standard office environment. Even though a maximum of 2 kilometers can be reached per segment, this can depend on the equipment being used. Below are some limitations for 10BaseF networks:

- A maximum length of 2000 meters or 1640 feet per segment depending on equipment being used.
- Maximum of 1024 users/devices per network. This is the Ethernet user/device limit.
- Runs on fiber optic cable.

2.4.0.5 100BaseFx

The fiber optic version of 100BaseFx is also a rather expensive solution for networking in a small LAN environment, but could be used to connect two or

more buildings on one site together. Below are some limitations for 100BaseFx networks:

- A maximum length of 500 meters or 6562 feet per segment depending on equipment being used.
- Maximum of 1024 users/devices per network. This is the Ethernet user/device limit.
- Runs on fiber optic cable.

2.4.0.6 100BaseTx

This standard is compatible with the 10BaseT, so it has become the most popular of the 100Mbps standards. This makes it a less expensive option for implementation as an existing network structure can be used to upgrade to the faster standard. Below are some limitations for 100BaseTx networks:

- Maximum length up to 150 meters or 492 feet per segment, depending on cable specifications.
- Maximum of two nodes per segment and 1024 nodes per network.
- Runs on unshielded twisted pair (UTP) cable.
- Connects using RJ-45 connectors.

2.4.0.7 100BaseT4

Although the 100BaseT4 is similar to the 100BaseT, it uses a four twisted pair cable instead of the two twisted pair of the 100BaseT standard and is not compatible with 10BaseTx. This incompatibility has ensured that it is not widely used. Below are some limitations for 100BaseT4 networks:

- Runs on unshielded four pair (UTP) cable.
- Connects using RJ-45 connectors.

2.4.0.8 The differences between the cables

When a cable is categorized as a cat 3 or cat 5, this refers to the transmission speed ratings of the cables (cat 5 being the fastest). Below are the main differences between the cables:

- Category 1 = No performance criteria
- Category 3 = Rated to 16 Mbps (used for 10BaseT, 100BaseT4)
- Category 4 = Rated to 20 Mbps (used for token ring, 10BaseT)
- Category 5 = Rated to 100 Mbps (used for 100BaseTx, 10BaseT)

2.5 Ethernet frame types

There are two different Ethernet frame types: Ethernet II (also known as Standard Ethernet) and IEEE 802.3. They differ in the way that each frame identifies the upper layer protocol. Ethernet II uses a TYPE value for the identification and IEEE 802.3 uses a data LENGTH indicator.

Both Ethernet II and 802.3 can use the same physical component for communication. There are four transmission speeds and they are 10 Mbps, 100 Mbps, 1000 Mbps (Gigabit) and the new 10000 Mbps (10 Gigabit) standard.

2.5.0.1 The 10 Mbps standards

Below are some cable standards for 10 Mbps networks:

- 10Base2 runs over a thin 50 ohm baseband coaxial cable. Also known as thin-Ethernet.
- 10Base5 runs over standard 50 ohm baseband coaxial cable.
- 10BaseF runs over fiber optic cable.
- 10BaseT runs over unshielded, twisted-pair cable.

2.5.0.2 The 100 Mbps standards (also known as Fast Ethernet)

Below are some cable standards for 100 Mbps networks:

- 100BaseFx runs over a fiber optic cable.
- 100BaseT4 runs over a four pair twisted-pair cable.
- 100BaseTx (also known as 10Base100) runs over a two pair twisted-pair cable.

2.5.0.3 The 1000 Mbps (Gigabit) standard

Below are some cable standards for 1000 Mbps networks:

- 1000BaseT runs over unshielded, twisted-pair cable.
- 1000BaseCX/LX/DX runs over a fiber optic cable.

The most commonly used frame type is Ethernet II, although some systems use the IEEE 802.3.

2.6 Hubs, bridges, switches, and routers

There are various ways to connect a network together as described below.

2.6.0.1 Hubs

A common connection point for devices in a network. Hubs are commonly used to connect segments of a LAN. A hub contains multiple ports. When a packet arrives at one port, it is copied to the other ports so that all segments of the LAN can see all packets.

A passive hub simply serves as a conduit for the data, enabling it to go from one device (or segment) to another. So-called intelligent hubs include additional features that enables an administrator to monitor the traffic passing through the hub and to configure each port in the hub. Intelligent hubs are also called manageable hubs.

A third type of hub, called a switching hub, actually reads the destination address of each packet and then forwards the packet to the correct port.

2.6.0.2 Bridges

A device that connects two local-area networks (LANs), or two segments of the same LAN. The two LANs being connected can be similar or dissimilar. For example, a bridge can connect an Ethernet with a token ring network.

Unlike routers, bridges are protocol-independent. They simply forward packets without analyzing and re-routing messages. Consequently, they are faster than routers, but also less versatile.

2.6.0.3 Switches

A switch is a device that filters and forwards packets between LAN segments. Switches operate at the data link layer (layer 2) of the OSI Reference Model and therefore support any packet protocol. LANs that use switches to join segments are called switched LANs or, in the case of Ethernet networks, switched Ethernet LANs.

2.6.0.4 Routers

A router is a device that connects any number of LANs.

Routers use headers and a forwarding table to determine where packets go, and they may communicate with each other in order to configure the best route between any two hosts.

Very little filtering of data is done through routers. Routers do not care about the type of data they handle.

2.7 Network protocols

All communications software uses protocols, sets of semantic and syntactic rules that determine the behavior of functional units in achieving communication. Protocols define how information is delivered, how it is enclosed to reach its destination safely, and what path it should follow. Protocols also coordinate the flow of messages and their acknowledgments.

Protocols exist at different levels within a UNIX kernel and cannot be directly manipulated. However, they are indirectly manipulated by what the user chooses to do at the application programming interface (API) level. The choices a user makes when invoking file transfer, remote login, or terminal emulation programs define the protocols used in the execution of those programs.

There are various protocols available. With the Internet being so popular, the most common is TCP/IP, which is a combination of TCP and IP protocols.

To help understand the interaction between the different protocols and the layer on which they work, refer to Figure 6. This is the TCP/IP protocol suite, as this is the most common protocol being used.

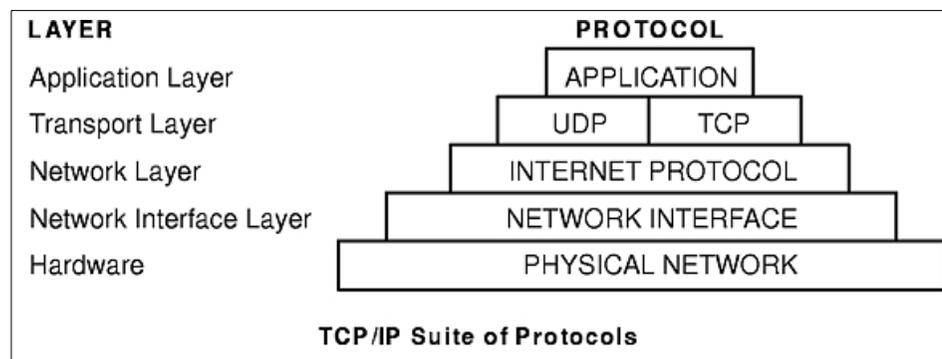


Figure 6. TCP/IP protocol suite

2.7.0.1 Address Resolution Protocol

Each network adapter has assigned a unique hardware address and the Hardware Layer uses them in order to define the destination of each network message within the same LAN. The ARP protocol is used to translate Internet addresses into the hardware addresses on local area networks. Unlike most protocols, ARP packets do not have fixed-format headers. Instead, the message is designed to be used with a variety of network technologies. ARP is not used in point-to-point connections (for example Serial Line Interface

Protocol (SLIP) or Serial Optical Channel Converter) since the destination of messages at the Hardware Layer is always the same.

The kernel maintains a IP address to hardware address translation table, and the ARP is not directly available to users or applications. When an application sends an Internet packet to one of the interface drivers, the driver requests the appropriate address mapping in order to define the destination from the Hardware Layer point of view. If the mapping is not in the table, an ARP broadcast packet is sent through the requesting interface driver to the hosts on the local area network. When any host that supports ARP receives an ARP request packet, it notes the IP and hardware addresses of the requesting system and updates its mapping table. If the receiving host does not match the requested IP address, it discards the request packet, otherwise it sends a response packet to the requesting system, containing its own hardware address. The requesting system learns in this way the new IP to hardware address mapping and stores it in the translation table.

Entries in the ARP mapping table are deleted after 20 minutes, while incomplete entries (ARP requests not answered) are deleted after three minutes. A permanent entry can be made in the ARP mapping tables using the `arp` command. The ARP cache works similar to a processor cache, using set associativity to determine cache replacement. Using the `no` command it is possible to adjust the ARP table size if the number of systems on a subnet is very high.

2.7.0.2 Internet Control Message Protocol

ICMP is used to report communication errors or to test reachability from the source to the destination host. The `ping` command, for example, uses ICMP messages. ICMP uses the basic support of IP as though ICMP were a higher level protocol; however, ICMP is actually an integral part of IP and must be implemented by every IP module.

2.7.0.3 Internet Protocol

IP provides unreliable, connectionless packet delivery for the Internet. IP is connectionless because it treats each packet of information independently. It is unreliable because it does not guarantee delivery or have error recovery (that is, it does not require acknowledgments from the sending host, the receiving host, or intermediate hosts). It does provide basic flow control.

2.7.0.4 Simple Network Management Protocol

SNMP is a protocol for remotely performing administrative functions on a device.

2.7.0.5 Network Time Protocol

Network Time Protocol (NTP) is available only in AIX Version 4.2 or later versions. It provides clock synchronization with time servers.

2.7.0.6 Transmission Control Protocol

TCP provides reliable stream delivery of data between Internet hosts. Like UDP, TCP uses Internet Protocol, the underlying protocol, to transport datagrams, and supports the block transmission of a continuous stream of datagrams between process ports. Unlike UDP, TCP provides reliable message delivery. TCP ensures that data is not damaged, lost, duplicated, or delivered out of order to a receiving process. This assurance of transport reliability keeps applications programmers from having to build communications safeguards into their software.

2.7.0.7 User Datagram Protocol

UDP is an unreliable user-level transport protocol for transaction-oriented applications. It handles datagram sockets and uses the IP for network services. It is up to the application that uses UDP to ensure transport reliability.

2.8 Networking hardware

The following sections discuss network adapters, drivers, and interfaces.

2.8.1 Network adapter

In AIX Version 4.3, TCP/IP networking is supported on the following network adapter cards and connections:

- Ethernet adapters (10/100 MBps) (either built in or adapter cards)
- Gigabit Ethernet
- Token ring
- Fiber Distributed Data Interface (FDDI)
- Asynchronous Transfer Mode (ATM) Turboways 100/155
- Asynchronous adapters and native serial ports
- Serial Optical Channel Converter

To see the complete list of network adapters supported for the RS/6000 platform, contact the <http://www.rs6000.ibm.com> Internet site

2.8.1.1 Adding a network adapter

When an adapter is added to the system, a logical device is created in the ODM; for example, Ethernet adapters:

```
# lsdev -Cc adapter | grep ent
ent0   Available 10-80   IBM PCI Ethernet Adapter (22100020)
ent1   Available 20-60   Gigabit Ethernet-SX PCI Adapter (14100401)
```

A corresponding network interface will allow TCP/IP to use the adapter. For auto-detectable adapters, such as Ethernet and token ring, the network interface is automatically created. For other types (for example: ATM), an interface might need to be manually created.

To configure the new network interface, use the SMIT menu `smit mkinet`.

To load additional drivers, if required, use the `smit installp` command.

2.8.1.2 AIX location codes

In the following, the AIX location codes are described for the purpose of identifying the location of network adapters on your system. The AIX location code is a way of identifying physical devices. The actual location code values vary among the different RS/6000 architecture types such as MCA, PCI RSPC, and PCI CHRP, but the same format is used.

The location code consists of up to four fields of information depending on the type of device. The basic formats of the AIX location codes are:

AB-CD-EF-GH For planars, adapters and any non-SCSI devices

AB-CD-EF-G,H For SCSI devices/drives

For planars, adapter cards, and non-SCSI devices, the location code is defined as:

- AB The AB value identifies a bus type or PCI parent bus as assigned by the firmware.
- CD The CD value identifies adapter number, adapter's devfunc number, or physical location. The devfunc number is defined as the PCI device number times 8 plus the function number.
- EF The EF value identifies the connector ID used to identify the adapters connector that a resource is attached to.
- GH Identifies a port, address, device, or field replaceable unit (FRU).

Adapters such as network adapters and network cards are identified with just AB-CD.

The possible values for **AB** are:

- 00 Processor bus
- 01 ISA bus
- 02 EISA bus
- 03 MCA bus
- 04 PCI bus (used in the case where the PCI bus cannot be identified)
- 05 PCMCIA buses
- xy For PCI adapters where x is equal to or greater than 1. The x and y are characters in the range of 0-9, A-H, J-N, P-Z (O, I, and lower case are omitted) and are equal to the parent bus's ibm, aix-loc
Open Firmware Property.

The possible values for **CD** depend on the adapter/card:

- PCI adapters/cards CD is the device's devfunc number. The C and D are characters in the range of hexadecimal numbers 0-F.
- Pluggable ISA adapters CD is equal to the order the ISA cards are defined/configured either by SMIT or the ISA Adapter Configuration Service Aid.
- Integrated ISA adapters CD is equal to a unique code identifying the ISA adapter. In most cases this is equal to the adapter's physical location code. In cases where a physical location code is not available, CD will be FF.

To illustrate the usage of AIX location codes used for a network adapter, Table 1 lists those for a RS/6000 7025 Model F50.

Table 1. RS/6000 7025 F50 AIX Location Codes

Location Code	Description
10-80	Ethernet Port.
20-58 to 20-5F	Any PCI card in slot 1. PCI 64 Bit Bus
20-60 to 20-67	Any PCI card in slot 2. PCI 64 Bit Bus
10-68 to 10-6F	Any PCI card in slot 3. PCI 32Bit Bus
10-70 to 10-77	Any PCI card in slot 4. PCI 32Bit Bus
10-78 to 10-7F	Any PCI card in slot 5. PCI 32Bit Bus

Location Code	Description
30-60 to 30-67	Any PCI card in slot 6. PCI 32Bit Bus
30-68 to 30-6F	Any PCI card in slot 7. PCI 32Bit Bus
30-70 to 30-77	Any PCI/ISA card in slot 8
30-78 to 30-7F	Any PCI/ISA card in slot 9

To identify the adapter location, list the adapters on the system using the `lsdev` command.

```
# lsdev -Cc adapter
ppa0    Available 01-R1    Standard I/O Parallel Port Adapter
sa0     Available 01-S1    Standard I/O Serial Port
sa1     Available 01-S2    Standard I/O Serial Port
sa2     Available 01-S3    Standard I/O Serial Port
siokma0 Available 01-K1    Keyboard/Mouse Adapter
fda0    Available 01-D1    Standard I/O Diskette Adapter
scsi0   Available 10-60   Wide SCSI I/O Controller
tok0    Available 10-68   IBM PCI Tokenring Adapter (14103e00)
ent0    Available 10-80   IBM PCI Ethernet Adapter (22100020)
mg20    Available 20-58   GXT130P Graphics Adapter
ent1    Available 20-60   Gigabit Ethernet-SX PCI Adapter (14100401)
scsi1   Available 30-58   Wide SCSI I/O Controller
sioka0  Available 01-K1-00 Keyboard Adapter
sioma0  Available 01-K1-01 Mouse Adapter
```

The network adapters on this system are: `tok0` (a PCI token-ring adapter card with location code 10-68), `ent0` (a built-in Ethernet adapter with location code 10-80) and a PCI Gigabit Ethernet adapter card with location code 20-60. Using the location table, it is possible to see that the Gigabit Ethernet adapter card is located in the 64 Bit PCI slot 2. The token ring adapter card is located in 32 Bit PCI slot 3.

Note

Recommendations on the placement of adapter cards for the different RS/6000 models can be found in: *PCI Adapter Placement Reference*, SA38-0538.

The location code table is not valid for all RS/6000 PCI CHRP models. For a precise description of the AIX location code for a specific RS/6000 model refer to the Users Guide of that system. You can also use the Internet URL:

http://www.rs6000.ibm.com/resource/hardware_docs/index.html

Although they are not needed for the identification of network adapters, the SCSI location codes (included here for the completeness of the AIX location code definitions) are defined as:

AB-CD-EF	Are the same as non-SCSI devices.
G	Defines the control unit address of the device. Values of 0 to 15 are valid.
H	Defines the logical unit address of the device. Values of 0 to 255 are valid.

2.8.1.3 Removing a network adapter

To remove a network adapter you first have to remove the network interfaces, and remove the adapter device afterwards.

For an ent1 Ethernet adapter, perform the following steps (remember that both ent1 and et1 exists):

1. Bring the interface down.

```
# ifconfig en1 down
```

2. Delete the network interface definition for the adapter.

```
# ifconfig en1 detach
```

3. Delete the network interface driver for the adapter.

```
# rmdev -l en1 -d
en1 deleted
# rmdev -l ent1 -d
ent1 deleted
```

After this, you can shutdown, power off the system, and physically remove the adapter, or, if you are using a PCI hot-swap slot, deactivate the PCI slot and remove the adapter while the system is running.

2.8.2 Network driver

To verify which driver for your adapter is installed in your system, verify your network adapter type using `lsdev` and check the device ID of the adapter, which is the number in brackets after the adapter description. Search for the corresponding LPP using the `lslpp` command. The following example shows how to retrieve driver information for a Gigabit Ethernet Adapter.

```
# lsdev -Cc adapter | grep ent
ent0   Available 10-80   IBM PCI Ethernet Adapter (22100020)
ent1   Available 20-60   Gigabit Ethernet-SX PCI Adapter (14100401)
```

```
# lslpp -l | grep 14100401
devices.pci.14100401.diag 4.3.3.0 COMMITTED Gigabit Ethernet-SX PCI
devices.pci.14100401.rte 4.3.3.10 COMMITTED Gigabit Ethernet-SX PCI
devices.pci.14100401.rte 4.3.3.0 COMMITTED Gigabit Ethernet-SX PCI
```

2.8.2.1 Missing driver

If the new hardware is not listed using the `lsdev` command, for example: `lsdev -Cc adapter`, you can determine the missing software by running `cfgmgr` from a command window. The `cfgmgr` command will display a warning and indicate the missing driver filesets.

For example:

```
# cfgmgr
cfgmgr: 0514-621 WARNING: The following device packages are required for
device support but are not currently installed.
devices.pci.token-ring:devices.pci.14101800:devices.pci.IBM.42H0658:device
s.pci.
IBM.25H3037:devices.pci.IBM.38H5818
```

Install the missing driver software and re-run `cfgmgr` or insert the first AIX CD and run `cfgmgr -i /dev/cd0`. If `cfgmgr` does not display a warning message, the adapter device was created using the correct driver.

2.8.2.2 Network driver attributes

To see the actual driver setting or list of attributes of a network driver use the `lsattr` command. This will list all the available driver attributes names with its current value and a description of the purpose of the attribute. Each driver attribute has a flag indicating if the attribute is changeable or not.

For example:

```
# lsattr -E -l ent1
busmem      0x3cfec000      Bus memory address      False
busintr     7                    Bus interrupt level     False
intr_priority 3                    Interrupt priority      False
rx_queue_size 512                  Receive queue size      False
tx_queue_size 512                  Software transmit queue size True
jumbo_frames no                    Transmit jumbo frames   True
use_alt_addr no                    Enable alternate ethernet address True
alt_addr    0x0000000000000000 Alternate ethernet address True
trace_flag  0                    Adapter firmware debug trace flag True
copy_bytes  256                  Copy packet if this many or less bytes True
tx_done_ticks 1000000              Clock ticks before TX done interrupt True
tx_done_count 64                   TX buffers used before TX done interrupt True
receive_ticks 50                   Clock ticks before RX interrupt True
```

```

receive_bds    6           RX packets before RX interrupt      True
receive_proc  16          RX buffers before adapter updated    True
stat_ticks    1000000     Clock ticks before statistics updated True
rx_checksum   yes         Enable hardware receive checksum     True

```

This example lists the attribute of a Gigabit Ethernet Driver. Notice that the attributes `busmem`, `busintr`, `intr_priority` and `rx_queue_size` are not changeable. The values for this PCI network card are set automatically by the system.

If the attribute flag is set to True, then the value can be changed by the `chdev` command.

For example:

```

# chdev -l ent1 -a rx_checksum=yes
ent1 changed

```

Before changing any network driver attribute, refer to the publications for the specific device driver. For best performance, interface settings must match the network settings.

The `lsattr` command can assist in setting the correct value for the network driver attributes. The `-R` flag provides information about the value range for a specific driver attribute.

For example:

```

# lsattr -R -l ent1 -a stat_ticks
1000...1000000 (+1)

```

This example shows that the attribute `stat_tick` (clock ticks before statistics updated) can be set from 1000 to 1000000 using integer numbers.

2.9 AIX network interfaces

The following interfaces are supported by AIX Version 4.3. There may be multiple devices of the same type in the system and each device will have an interface. The `x` after the adapter and interface names indicates the number of the adapter or interface respectively, starting from 0. The number increases for each adapter added to the system.

Table 2. AIX Version 4.3 supported interfaces

Adapter	Interface	Description
-	lo0	Loopback
LAN		

Adapter	Interface	Description
entx	enx	Standard Ethernet (All speeds 10/100/Gigabit)
entx	etx	IEEE 802.3
tokx	trx	token ring
atmx	atx	Asynchronous Transfer Mode (ATM)
fddix	fix	Fiber Distributed Data Interface (FDDI)
WAN		
sax	slx	Serial Line Internet Protocol (SLIP)
sax	ppx	Point-to-Point (PPP)
sx25ax	xsx	X.25
SP or Mainframe		
cssx	cssx	SP Switch
opsx	sox	Serial Optical Channel Converter
catx	cax	370 Parallel Channel Network Interface

Note that for Ethernet adapters the standard Ethernet (en) and 802.3 (et) network technologies use the same type of adapter.

The `lsdev` command can be used to list the available network interfaces on your system:

```
# lsdev -Cc if
en0 Available Standard Ethernet Network Interface
et0 Defined IEEE 802.3 Ethernet Network Interface
lo0 Available Loopback Network Interface
tr0 Available Token Ring Network Interface
```

Similar to the network adapter, the network interface attributes can be changed using the combination of the `lsattr` and `chdev` command.

For example:

```
# lsattr -E -l en0 -a netaddr
netaddr 10.47.1.5 Internet Address True
```

The example shows how `chdev` can be used to change the IP address of the system.

```
# chdev -l en0 -a netaddr=10.47.1.6
```

```
en0 changed
# ifconfig en0
en0:
flags=e00863<UP,BROADCAST,NOTRAILERS,RUNNING,SIMPLEX,MULTICAST,GROUPRT,64
BIT>
    inet 10.47.1.6 netmask 0xffff0000 broadcast 10.47.255.255
```

Note

There are some considerations.

- The Ethernet adapter can be used for either Ethernet or IEEE 802.3.
- There can be multiple adapters of the same type in the system and each will have its own interface.

2.10 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. Which of the following adapters may directly connect with fiber optic cables?
 - A. Arcnet
 - B. FDDI
 - C. 16 MB Token Ring
 - D. 10 MB Ethernet
2. In some networks, an adapter may be required to use special end of the line termination resistors. Which of the following indicates this type of network?
 - A. Coaxial
 - B. Fiber optic
 - C. Shielded pair
 - D. Unshielded twisted pair

3. Which of the following cable types is not affected by electromagnetic interference?
 - A. Coaxial
 - B. Fiber optic
 - C. Cat 3 UTP
 - D. Cat 5 UTP
4. Which of the following cable types is associated with an Ethernet card with a BNC connector?
 - A. 10BaseT
 - B. 10Base2
 - C. 10Base5
 - D. 10Base100
5. The IP address of the only FDDI adapter must be changed in a system. Which of the following network interfaces should be modified?
 - A. fda
 - B. fd0
 - C. fi0
 - D. fddi0
6. Which of the following statements regarding IP is TRUE?
 - A. IP provides flow control.
 - B. IP provides error recovery.
 - C. IP maintains state information.
 - D. IP is a connectionless protocol.
7. In order to keep a hosts clock synchronized with other host clocks, which of the following services should be used?
 - A. NIS
 - B. NTP
 - C. DHCP
 - D. INETD

8. Which of the following cable types indicates that an adapter setting of “BNC” is required?
- A. Coaxial
 - B. Wireless
 - C. Fiber Optic
 - D. Twisted Pair
9. Which of the following adapter information is provided by the `lsdev -Cc` command?
- A. availability
 - B. firmware levels
 - C. hardware address
 - D. transmit queue size
10. Which of the following commands will indicate if the device driver is installed?
- A. `ls`
 - B. `lslpp`
 - C. `netstat`
 - D. `ifconfig`
11. Which of the following commands should be used to correctly install a device driver?
- A. `rcp`
 - B. `mkdev`
 - C. `smitty inet`
 - D. `smitty installp`
12. Which of the following commands reveals the current setting for an adapter’s cable type?
- A. `route`
 - B. `lsdev`
 - C. `lslpp`
 - D. `lsattr`

13. An Ethernet switch is set for half duplex on the port and leads to an adapter on an AIX machine. Which of the following settings should result in maximum adapter performance?
- A. half duplex
 - B. full duplex
 - C. autosense
 - D. auto negotiate
14. Which of the following commands can temporarily shut down a network interface?
- A. route
 - B. cfmgr
 - C. netstat
 - D. ifconfig
15. Which of the following commands can show the current running flags on each interface?
- A. lsattr
 - B. iostat
 - C. netstat
 - D. ifconfig
16. On a large flat Class B network there are over 65,500 machines on the same unrouted wire. Which of the following procedures must be performed to ensure adequate connectivity is maintained?
- A. Use multiple adapters
 - B. Increase the default ARP table size
 - C. Alias multiple IP addresses onto the adapters
 - D. Enlarge the size of the default routing table size
17. To configure a switched virtual circuit classical IP interface on the ATM adapter, which of the following ATM server addresses should be supplied?
- A. ARP
 - B. DNS
 - C. LES
 - D. LECS

2.10.1 Answers

The following are the preferred answers to the questions provided in this section.

1. B
2. A
3. B
4. B
5. C
6. A
7. B
8. A
9. A
10. B
11. D
12. D
13. A
14. D
15. A
16. B
17. A

2.11 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. What interfaces are used by AIX for the different protocols?
2. Explain the differences between the cables and in which type of system they will most likely be used.

Chapter 3. Network addressing and routing

The following topics are discussed in this chapter:

- The IP addressing overview.
- Routing concepts.
- Setting up the router.

This chapter contains an introduction to TCP/IP and discusses the details about network addressing and routing protocols.

3.1 Internet addressing

If you want your machines to communicate with each other across the TCP/IP network, you must give them unique IP addresses. Each host is assigned a unique 32-bit logical address (in the case of IPv4) that is divided into two main parts: the network number and the host number. The network number identifies a logical network to which the host belongs and must be the same across the subnet. The host number identifies a host on the specific logical network.

3.1.1 IP address format

The IP address is the 32-bit address, grouped eight bits at a time, separated by dots and represented in decimal format - *dotted decimal notation*. Each bit in the octet has a binary weight (128, 64, 32, 16, 8, 4, 2, 1). The minimum value for an octet is 0, and the maximum value for an octet is 255. Figure 7 illustrates the basic format of an IP address.

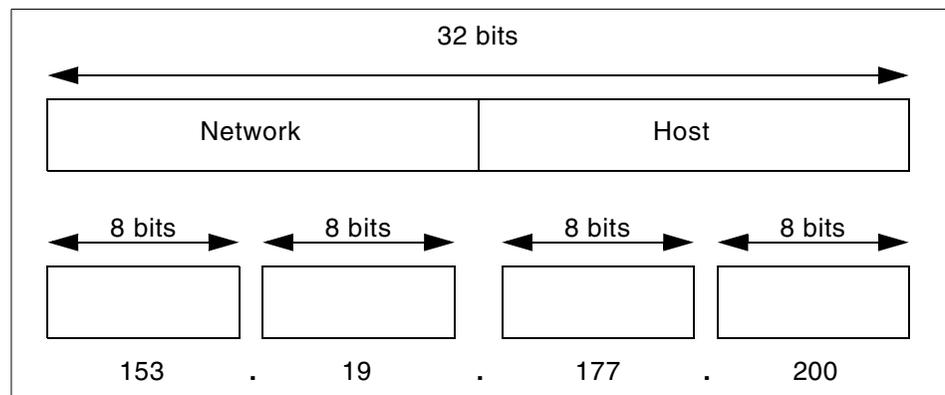


Figure 7. IP address format

3.1.1.1 Binary to decimal conversion review

The decimal value of the bits ranges from high to low with the most left bit in every byte having the highest value of 128. To convert from binary value to decimal value, add decimal values on the position where the bits have value of 1. An example is shown in Figure 8.

1	1	1	1	1	1	1	1	Binary
128	64	32	16	8	4	2	1	Decimal = 255
1	0	0	1	1	0	0	1	Binary
128	0	0	16	8	0	0	1	Decimal = 153

Figure 8. Binary to decimal review

If you are not sure, you can use the `bc` command. To make the conversion of value 195 to binary format, enter:

```
# bc
obase=2
195
1100011
```

To convert binary value `11001100` to decimal value, enter:

```
# bc
ibase=2
11001100
204
```

3.1.2 Internet address classes

IP addressing supports five different address classes: A, B, C, D and E. Classes A, B and C are available for commercial use. You can recognize the network class by first checking bits in the first octet of an address' network part.

After converting all of those bits to binary format and recalculating numbers of hosts and networks, you receive data as shown in the Figure 9.

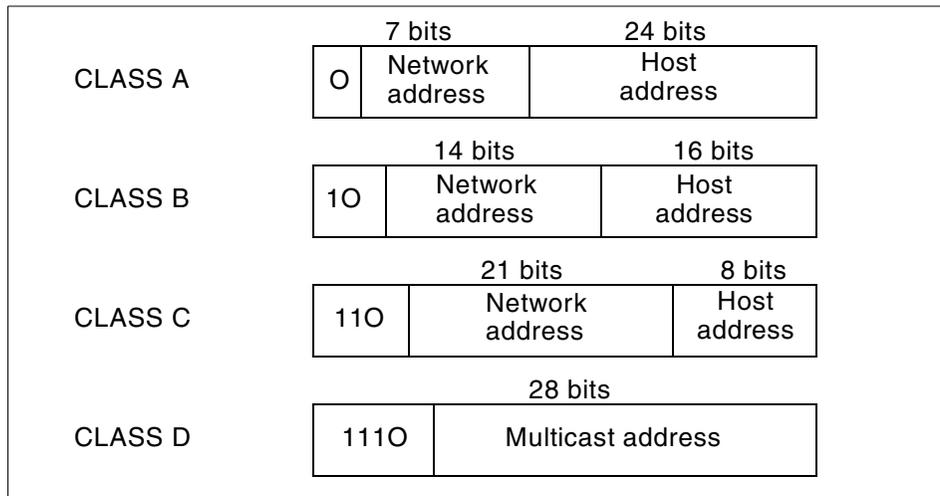


Figure 9. IP address classes

To determine an IP address's class use Table 3. For example, in the IP address 195.116.119.2, the first octet is 195. Because 195 falls between 192 and 223, 195.116.119.2 is a class C address.

Table 3. IP address classes

IP address class	Format	First octet	Address range	Number bits network / host	Number of hosts
A	N.H.H.H	0	1.0.0.0 127.0.0.0	7 / 24	$2^{24} - 2$
B	N.N.H.H	10	128.1.0.0 191.254.0.0	14 / 16	$2^{16} - 2$
C	N.N.N.H	110	192.0.1.0 223.255.254.0	22 / 8	$2^8 - 2$
D	-	1110	224.0.0.0 239.255.255.255	-	-
N - Network number H - Host number					

Class A, B, and C provide address ranges that are useful to define a private network without INTERNIC authorization. A private network can have the following address ranges:

Class A 10.0.0.0 to 10.255.255.255
Class B 172.16.0.0 to 172.31.255.255
Class C 192.168.0.0 to 192.168.255.255

3.1.3 Special Internet addresses

There are a few IP addresses that cannot be used as a host address. Those addresses are used for special occasions.

- The *loopback* interface allows a client and server on the same host to communicate with each other using TCP/IP. The network class A with network address 127 is reserved for the loopback interface `lo0`. AIX assigns the IP address 127.0.0.1 to this interface and assigns it the name *localhost*. To check attributes of any interface use `ifconfig` or `lsattr` command.

```
# ifconfig lo0
lo0:
flags=e08084b<UP, BROADCAST, LOOPBACK, RUNNING, SIMPLEX, MULTICAST, GROUPRT, 6
4BIT>
        inet 127.0.0.1 netmask 0xff000000 broadcast 127.255.255.255
        inet6 ::1/0
# lsattr -El lo0
netaddr  127.0.0.1 Internet Address           True
state    up          Current Interface Status                   True
netmask  Subnet Mask                               True
mtu      16896       Maximum IP Packet Size for This Device    True
netaddr6 ::1        N/A                                       True
prefixlen Subnet Mask                               True
```

- The *network address* is an IP address with all host address bits set to 0. If you have IP address 195.116.119.2, the network address for this will be 195.116.119.0. This type of address is used in the routing table as the network destination address. An example routing table is shown in the following (0 is omitted in the routing tables).

```
# netstat -nr
Routing tables
Destination Gateway          Flags  Refs  Use  If  PMTU  Exp
Groups
```

Route Tree for Protocol Family 2 (Internet):

default	9.3.240.1	UGc	0	0	tr0	-	-
9.3.240/24	9.3.240.58	U	30	130787	tr0	-	-
127/8	127.0.0.1	U	54	1300	lo0	-	-
195.116.119/24	195.116.119.2	U	0	2	en0	-	-

- The *limited broadcast* address is 255.255.255.255 (an address with all host address and network address bits set to 1). This can be used as the destination address for all hosts regardless of their network number. Routers never forward a limited broadcast; it only appears on the local cable.
- The *directed broadcast* address is an IP address with all the host address bits set to 1. It is used to simultaneously address all hosts within the same network. For example you have an IP address 195.116.119.2; because it is class C address, the network address for this address is 195.116.119. Therefore, the directed broadcast for this network will be 195.116.119.255. To check the broadcast setting for interface `en0`, enter:

```
# ifconfig en0
en0:
flags=e080863<UP,BROADCAST,NOTRAILERS,RUNNING,SIMPLEX,MULTICAST,GROUPRT
,64BIT>
inet 195.116.119.2 netmask 0xffffffff broadcast 195.116.119.255
```

The last column of Table 3 on page 47 shows the number of hosts in the appropriate network class. The reason for subtracting two hosts is that one address is reserved for the broadcast address, and one address is reserved for the network address.

3.1.4 Subnetting

Subnet addressing allows an autonomous system made up of multiple networks to share the same Internet address class. The subnetwork capability of TCP/IP also makes it possible to divide a single network into multiple logical networks (subnets). This makes sense for class A and class B addresses, since attaching thousands of hosts to a single network is impossible.

A standard IP address has two fields (see Section 3.1.1, “IP address format” on page 45): a network address and a host address. A subnet address is created by *borrowing* bits from the host field and designating them as the subnet field. The number of borrowed subnet bits varies and it depends of the chosen subnet mask. Figure 10 on page 50 shows how bits are borrowed from the host address field to create the subnet address field and how the subnet mask works.

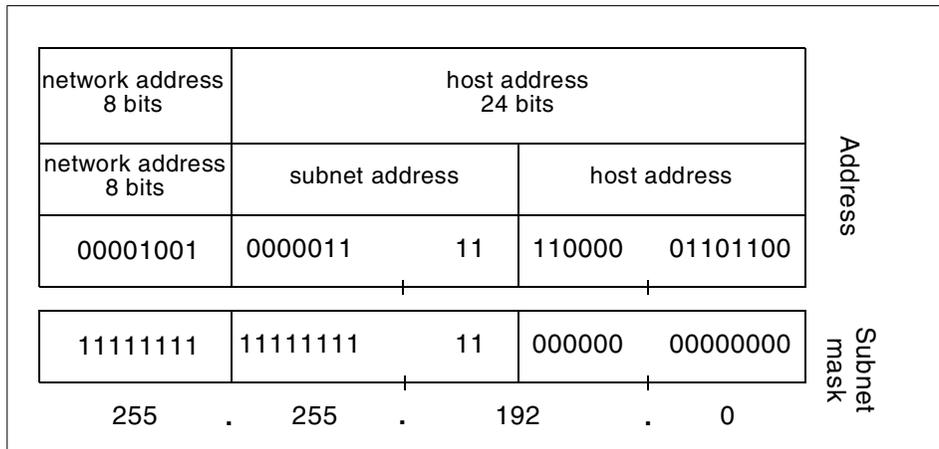


Figure 10. Subnetting example

When deciding how to partition the host address into the subnet address and host address, you should consider the number of subnets and the number of hosts on those subnets.

You have great flexibility when assigning subnet addresses and host addresses. The bits of the host address can be divided according to the needs and potential growth of the organization and its network structure. The only restrictions are:

- Network address is constant for all its subnets.
- Subnet address is constant throughout the physical network.
- Host address is a field that is normally at least 2-bits wide.

If the width of the subnet address field is 0, the network is not organized into subnets, and addressing to the network is performed using the Internet network address as mention in Section 3.1.1, “IP address format” on page 45.

Note

It is generally desirable for the subnet bits to be contiguous and located as the most significant bits of the host address.

3.1.4.1 Subnet mask

The subnet mask tells the system what the subnet partitioning scheme is. This bit mask consists of the network address portion and subnet address portion of the IP address.

When a host sends a message to a destination, the system must determine whether the destination is on the same network as the source or if the destination can be reached through a gateway. The system compares the destination address to the host address using the subnet mask. If the destination is not on the local network, the system sends the packet to a gateway. The gateway performs the same comparison to see if the destination address is on a network it can reach locally.

Table 4 shows how to calculate the subnet mask from binary format to the dotted decimal notation.

Table 4. Subnet mask calculation

Bits of octet								Mask
128	64	32	16	8	4	2	1	
1	0	0	0	0	0	0	0	128
1	1	0	0	0	0	0	0	192
1	1	1	0	0	0	0	0	224
1	1	1	1	0	0	0	0	240
1	1	1	1	1	0	0	0	248
1	1	1	1	1	1	0	0	252
1	1	1	1	1	1	1	0	254
1	1	1	1	1	1	1	1	255

A subnet mask is 32 bits long. A bit set to 1 in the subnet mask indicates that bit position is part of the network address portion of the IP address. A bit set to 0 in the subnet mask indicates that bit position is part of the host address portion of the IP address.

There are default subnet mask sets (Figure 11 on page 52) for each network class address. Using an address with a default subnet mask for an address class indicates that subnets are not set up for the network.

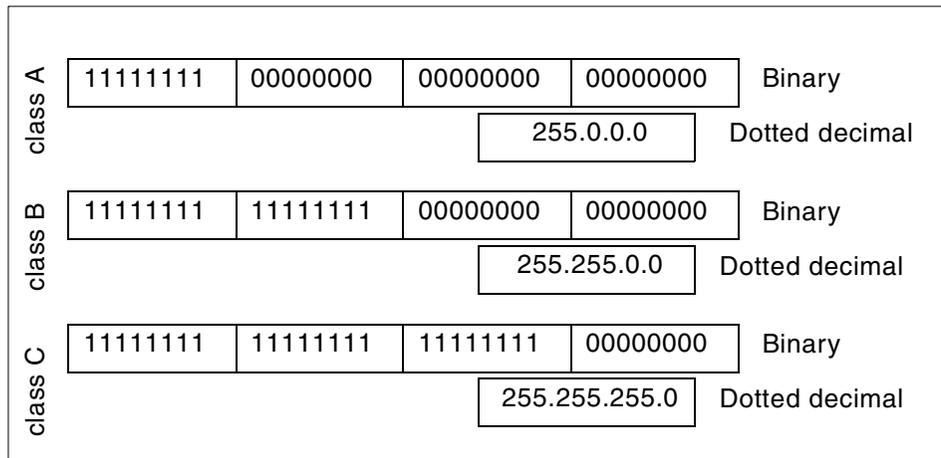


Figure 11. Default subnet mask for network classes

3.1.4.2 The class B address subnetting example

The default subnet mask for a class B address that has no subnetting is 255.255.0.0, while the subnet mask for a class B address 172.16.0.0 that specifies 3 bits of subnetting is 255.255.224.0. The reason for this is that 3 bits of subnetting give $2^3 - 2 = 6$ (1 for the network address and 1 for the broadcast address) subnets possible. You have 5 bits from the 3rd octet and 8 bits from the last octet forming a total of 13 bits for the hosts address. This gives you $2^{13} - 2 = 8190$ hosts per subnet. Figure 12 on page 53 shows a subnetting scenario for this address.

255	255	224	0	Subnet mask 255.255.224.0
11111111	11111111	11100000	00000000	
172	16	32	0	1st subnet
10101100	00010000	00100000	00000000	
172	16	32	1	1st host in this subnet
10101100	00010000	00100000	00000001	
172	16	63	255	Subnet broadcast
11111111	00010000	00111111	11111111	
172	16	64	0	2nd subnet
10101100	00010000	01000000	00000000	
172	16	64	1	1st host in this subnet
10101100	00010000	01000000	00000001	
172	16	95	255	Subnet broadcast
10101100	00010000	01011111	11111111	

Figure 12. Subnetting scenario

Table 5 shows the subnet mask, the number of subnets and the number of hosts depending on numbers of bits for subnet for network class B.

Table 5. Class B subnetting reference chart

Numbers of bits for subnet	Subnet mask	Number of subnets	Number of hosts
2	255.255.192.0	2	16382
3	255.255.224.0	6	8190
4	255.255.240.0	14	4094
5	255.255.248.0	30	2046
6	255.255.252.0	62	1022
7	255.255.254.0	126	510
8	255.255.255.0	254	254

Numbers of bits for subnet	Subnet mask	Number of subnets	Number of hosts
9	255.255.255.128	510	126
10	255.255.255.192	1022	62
11	255.255.255.224	2046	30
12	255.255.255.240	4096	14
13	255.255.255.248	8190	6
14	255.255.255.252	16382	2

3.1.4.3 The class C address subnetting example

The subnet mask for a class C address 192.168.2.0 that specifies 5 bits of subnetting is 255.255.255.248. With 5 bits available for subnetting, $2^5 - 2 = 30$ subnets possible. Now you have 3 bits left for the hosts part and it gives $2^3 - 2 = 6$ hosts per subnet. Table 6 shows number of hosts, number of subnets and subnet mask depending on numbers of bits for subnet.

Table 6. Class C subnetting reference chart

Number of bits for subnet	Subnet mask	Number of subnets	Number of hosts
2	255.255.255.192	2	62
3	255.255.255.224	6	30
4	255.255.255.240	14	14
5	255.255.255.248	30	6
6	255.255.255.252	62	2

3.1.5 Supernetting

Whereas subnetting takes part of the host portion of the IP address and adds it to the network part portion, supernetting works the opposite way. It effectively reduces the number of bits used for the network portion. This technique allows a number of class C addresses to be aggregated into a single address for routing purposes.

3.1.6 IP Multicasting

IP multicast is a routing technique that allows IP traffic to be sent from one source or multiple sources and delivered to multiple destinations. Instead of sending individual packets to each destination, a single packet is sent to a

multicast group, which is identified by a single IP destination group address. The intent of multicasting is to reduce the load on hosts not required to receive the message. Internet addressing provides for class D addressing that is used for multicasting. IP multicasting is used with Internet Chat, Internet Talk Radio, Internet Phone, and Video conferencing.

Every network traffic IP multicast also needs to be routed between networks. AIX uses the `mrouted` daemon that multicasts traffic between multicast-capable subnetworks. The `/etc/mrouted.conf` configuration file contains entries that provide configuration information used by the `mrouted` daemon.

3.1.7 Address resolution protocol (ARP)

For two machines on the same network, they must know the other machine's physical (or MAC) addresses in order to communicate. By broadcasting Address Resolution Protocol (ARP) packets, a host can dynamically discover the MAC-layer address corresponding to a particular IP network-layer address.

To check the ARP addresses of interfaces on your system, enter:

```
# netstat -iv
Name Mtu Network Address Ipkts Ierrs Opkts Oerrs Coll
lo0 16896 link#1 180084 0 180138 0 0
lo0 16896 127 loopback 180084 0 180138 0 0
lo0 16896 ::1 180084 0 180138 0 0
tr0 1492 link#2 0.4.ac.61.73.f7 579283 0 38394 167 0
tr0 1492 9.3.240 server4 579283 0 38394 167 0
en0 1500 link#3 8.0.5a.fc.d2.e1 1690 0 2292 0 0
en0 1500 10.47 10.47.1.1 1690 0 2292 0 0
```

After detecting an IP-to-MAC address mapping, the system updates its ARP cache table to store the mapping, thus avoiding the need to broadcast ARP packets each time the system wants to contact the same network device. If the device is not recontacted or it does not broadcast ARP packets for a specified time (usually 20 minutes), the cache entry is flushed. This is needed because if the device's adapter has been changed, it has a new MAC address with the same IP address and your system would still have the old entry in the table.

To check the ARP cache on your system, enter the `arp` command:

```
# arp -a
server3.itsc.austin.ibm.com (9.3.240.58) at 0:6:29:be:d2:a2 [token ring]
? (9.3.240.108) at 0:20:35:fe:49:18 [token ring]
```

```
eagle.itsc.austin.ibm.com (9.3.240.68) at 0:20:35:7c:9:fa [token ring]
? (9.3.240.100) at 0:6:29:f0:e1:c [token ring]
? (9.3.240.75) at 0:6:29:1:a:ba [token ring]
itso240.itsc.austin.ibm.com (9.3.240.1) at 8:0:5a:fe:21:7 [token ring]
dhcp240.itsc.austin.ibm.com (9.3.240.2) at 0:20:35:29:b:6d [token ring]
? (9.3.240.103) at 0:20:35:fe:4b:5b [token ring]
server1.itsc.austin.ibm.com (9.3.240.56) at 0:6:29:be:b1:dc [token ring]
server2.itsc.austin.ibm.com (9.3.240.57) at 0:4:ac:61:9d:c5 [token ring]
```

The ARP cache table entry contains the:

- Hostname, if it only can be resolved.
- IP address.
- MAC address.
- Hardware interface type, such as token-ring or Ethernet.

3.2 Routing

Routing allows information to be directed from a source host to a destination host in another network. There are two types of routing in TCP/IP: static routing and dynamic routing.

If you want two networks to communicate with each other, you can connect them through one machine, called a router (gateway). This machine must be physically on both networks. A router contains the addressing and routing information (routing table) for each host on its network, and may use routing daemons to broadcast routing information to, and receive routing information from, other routers. TCP/IP routes packets to the appropriate computer on the network, using its destination IP address by consulting a routing table.

TCP/IP searches the routing table for a best fit match in following order:

1. *Host route* defines a gateway that can forward packets to a specific host or gateway on another network.
2. *Network route* defines a gateway that can forward packets to any of the hosts on a specific network.
3. *Default route* defines a gateway to use when a host or network route to a destination is not otherwise defined.

3.2.1 Static versus dynamic

Static routing is simple table mappings established by the network administrator prior to the beginning of routing. These mappings do not

change unless the network administrator alters them. Algorithms that use static routes are simple to design and work well in environments where network traffic is relatively predictable and where network topology is simple.

Because static routing systems cannot react to network changes, they generally are considered unsuitable for today's large, changing networks. Most of the dominant routing algorithms now are dynamic routing algorithms, which adjust to changing network circumstances by analyzing incoming routing update messages. If the message indicates that a network change has occurred, the routing software recalculates routes and sends out new routing update messages. These messages cross the network, stimulating routers to rerun their algorithms and change their routing tables accordingly.

Dynamic routing algorithms can be supplemented with static routes where appropriate. A *router of last resort* (a router to which all unroutable packets are sent), for example, can be designated to act as a repository for all unroutable packets, ensuring that all of them are at least handled in some way. There are two daemons in AIX responsible for dynamic routing: `routed` and `gated`.

The `gated` daemon supports Routing Information Protocol (RIP), Exterior Gateway Protocol (EGP), Border Gateway Protocol (BGP), Defense Communications Network Local-Network Protocol (HELLO), Open Shortest Path First (OSPF), and many others. The `routed` daemon only supports Routing Information Protocol (RIP).

Routing daemons can operate in one of two modes, *passive* or *active*, depending upon the options you use when starting the daemons. In active mode, routing daemons broadcast routing information periodically about their local network to gateways and hosts and receive routing information from hosts and gateways. In passive mode, routing daemons receive routing information from hosts and gateways, but do not attempt to keep remote gateways updated (they do not advertise their own routing information).

Note

You may decide to use a combination of static and dynamic routing. That is, you might want to give static definitions to a few specific routes, while allowing other routes to be updated by the daemons. The static routes you create are not advertised to other gateways and are not updated by the routing daemons.

3.2.2 Static routing

Routes are defined in the kernel routing table. These route definitions include information on networks reachable from the local host, gateways that can be used to reach remote networks, and the hop count (or distance metric) to those networks. When a gateway receives a packet, it checks the routing tables to obtain where to send the packet next along the path to its destination. To display the routing table on your machine, use the `netstat` command:

```
# netstat -nr
Routing tables
Destination      Gateway          Flags   Refs      Use  If  PMTU  Exp
Groups

Route Tree for Protocol Family 2 (Internet):
default          9.3.240.1       UGc     0          0  tr0   -   -
9.3.240/24       9.3.240.58      U       33    128221  tr0   -   -
10.47/24         9.3.240.59      UGc 0     0          0  tr0   -   -
127/8            127.0.0.1       U       54     1284    lo0   -   -
195.116.119/24  195.116.119.2  U        6     21313  en0   -   -

Route Tree for Protocol Family 24 (Internet v6):
::1              ::1             UH       0          0  lo0  16896  -
```

Using the `netstat` command output shown above, you can find out that:

- The default gateway for that machine is the router with IP address 9.3.240.1
- To reach hosts on the local network 9.3.240.0, the machine will use its own interface `tr0` with IP address 9.3.240.58
- To reach hosts on the remote network 10.47.0.0, the machine will forward all packets to host with IP 9.3.240.59 through interface `tr0`
- To reach hosts on the local network 195.116.119.0, the machine will forward all packets to its own interface `en0` with IP address 195.116.119.2

As shown, every entry has different flags which shows the state of the route

For example:

U Up.

H The route is to a host rather than to a network.

G The route is to a gateway.

D The route was created dynamically by a redirect.

- M The route has been modified by a redirect.
- L The link-level address is present in the route entry.
- c Access to this route creates a cloned route.
- W The route is a cloned route.

There are three methods to add a route to a routing table: *implicit* and *explicit* methods, or by adding dynamic routing protocol like RIP.

The implicit method is performed when you configure the adapter. Follow the example to see how the implicit method works. First remove the `en0` interface and then check which network interfaces are already configured:

```
# ifconfig en0 detach
# netstat -i
Name Mtu Network Address Ipkts Ierrs Opkts Oerrs Coll
lo0 16896 link#1 201414 0 201508 0 0
lo0 16896 127 localhost.austin. 201414 0 201508 0 0
lo0 16896 ::1 201414 0 201508 0 0
tr0 1492 link#2 0.4.ac.61.73.f7 632486 0 49983 167 0
tr0 1492 9.3.240 server4f.itsc.aus 632486 0 49983 167 0
```

As you can see, there are two network interfaces: `lo0` and `tr0`. To check current routing table, use the `netstat` command:

```
# netstat -nr
Routing tables
Destination Gateway Flags Refs Use If PMTU Exp
Groups

Route Tree for Protocol Family 2 (Internet):
default 9.3.240.1 UGc 0 0 tr0 - -
9.3.240/24 9.3.240.59 Uc 0 0 tr0 - -
127/8 127.0.0.1 U 8 3489 lo0 - -

Route Tree for Protocol Family 24 (Internet v6):
::1 ::1 UH 0 0 lo0 16896 -
```

As shown, the routing table contains three route definitions. Next add the new interface `en0`:

```
# ifconfig en0 10.47.1.1 netmask 255.255.0.0 up
```

Now the routing table has one entry more. This is a route associated with new interface `en0`:

```
# netstat -nr
Routing tables
```

Destination Groups	Gateway	Flags	Refs	Use	If	PMTU	Exp
--------------------	---------	-------	------	-----	----	------	-----

Route Tree for Protocol Family 2 (Internet):

default	9.3.240.1	UGc	0	0	tr0	-	-
9.3.240/24	9.3.240.59	Uc	0	0	tr0	-	-
10.47/16	10.47.1.1	Uc	0	0	en0	-	-
127/8	127.0.0.1	U	8	3489	lo0	-	-

Route Tree for Protocol Family 24 (Internet v6):

::1	::1	UH	0	0	lo0	16896	-
-----	-----	----	---	---	-----	-------	---

The explicit routes are added by the network administrator. There are a few methods to add an entry to the routing table. The easiest way is to use `smitty mkroute`, shown in Figure 13. Configuring static routes through `smitty adds` them to the ODM databases and makes them permanent even after a system reboot.

Add Static Route

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

Destination TYPE	[Entry Fields]	
* DESTINATION Address (dotted decimal or symbolic name)	net [10.47.0.0]	+
* Default GATEWAY Address (dotted decimal or symbolic name)	[9.3.240.59]	
* METRIC (number of hops to destination gateway)	[1]	#
Network MASK (hexadecimal or dotted decimal)	[]	

F1=Help	F2=Refresh	F3=Cancel	F4=List
F5=Reset	F6=Command	F7=Edit	F8=Image
F9=Shell	F10=Exit	Enter=Do	

Figure 13. Configuring routing through `smitty`

The `smitty mkroute` command uses the `chdev` command so you can do the same job with the following commands:

```
chdev -l inet0 -a route='10.47.0.0','9.3.240.59'
```

The second way to add an entry to the routing table is the `route` command. These entries are not permanent and will be lost after the next system reboot.

Routes to a particular host are distinguished from those to a network by interpreting the IP associated with the destination. The optional keywords `-net` and `-host` force the destination to be interpreted as a network or a host.

The `route` command does not update the ODM database, so if you want to make it permanent include the `route` command entry in the `/etc/rc.net` (`/etc/rc.bsdnet` for Berkeley-style network configurations) file.

Using the `route` command, the following are examples:

- To establish a route to the computer with IP address 10.47.1.2 through the gateway with IP address 9.3.240.59, enter:

```
# route add 10.47.1.2 9.3.240.59
9.3.240.59 host 10.47.1.2: gateway 9.3.240.59
```

- To establish a route to network 10.47.0.0 through the gateway with IP address 9.3.240.59, enter:

```
# route add -net 10.47 9.3.240.59
9.3.240.59 net 10.47: gateway 9.3.240.59
```

- To establish a default gateway, enter:

```
# route add 0 9.3.240.1
9.3.240.1 net 0: gateway 9.3.240.1
```

The value `0` or the `default` keyword for the destination parameter means that any packet sent to destinations not previously defined and not on a directly connected network goes through the default gateway. The 9.3.240.1 address is that of the gateway chosen to be the default.

- To clear the host gateway table, enter:

```
# route -f
default          9.3.240.1        done
10.47            9.3.240.59      done
```

3.2.2.1 Configuring system to work as static router

If your system is going to be configured as a router (it has two or more network interfaces), then it needs to be enabled as a router by the `no` command. The network option that controls routing from one network to another is *ipforwarding* and by default is disabled. To enable it, enter:

```
# no -o ipforwarding=1
```

This is not a permanent setting and after the next system reboot will be lost. To make this permanent, add this command to the end of `/etc/rc.net` file.

To check other network options and their values, enter the `no -a` command.

If your system has only one network interface, you can still use it as a router. Establish an additional network address for the interface using the `ifconfig` command with the `alias` parameter. To setup an additional IP address for interface `en0`, type:

```
ifconfig en0 10.50.1.1 netmask 255.255.0.0 alias
```

Check the settings for `en0` interface:

```
# ifconfig en0
en0:
flags=e080863<UP,BROADCAST,NOTRAILERS,RUNNING,SIMPLEX,MULTICAST,GROUPRT,64
IT>
    inet 10.47.1.1 netmask 0xffff0000 broadcast 10.47.255.255
    inet 10.50.1.1 netmask 0xffff0000 broadcast 10.50.255.255
```

Now the system has two different addresses; however, it can route packets between networks using one interface. If you check the routing table, you will find a new entry associated with network 10.50.0.0 and with interface `en0`:

```
# netstat -nr
Routing tables
Destination      Gateway          Flags   Refs      Use  If  PMTU  Exp
Groups

Route Tree for Protocol Family 2 (Internet):
10.47/16         10.47.1.1       Uc      0          0  en0   -    -
10.50/16         10.50.1.1       Uc      0          0  en0   -    -
127/8           127.0.0.1       U        7        3630  lo0   -    -

Route Tree for Protocol Family 24 (Internet v6):
::1             ::1             UH      0          0  lo0  16896  -
```

3.2.3 Dynamic routing

This section discuss the dynamic routing protocol.

3.2.3.1 Link-state versus distance-vector protocol

Link-state algorithms flood routing information to all nodes in the internetwork. Each router, however, sends only the portion of the routing table that describes the state of its own links. Distance-vector algorithms call for each router to send all or some portion of its routing table, but only to its neighbors. Link-state algorithms send small updates everywhere, while distance-vector algorithms send larger updates only to neighboring routers. Link-state algorithms converge more quickly and are less prone to routing loops than distance-vector algorithms. On the other hand, link-state

algorithms require more CPU power and memory than distance vector algorithms.

3.2.3.2 Routed daemon

The routed daemon is responsible for managing the network routing tables in the kernel. If multiple interfaces are present, the routed daemon assumes that the local host forwards packets between networks and transmits a RIP request packet on each interface, using a broadcast message.

The routed daemon then listens for RIP routing requests and response packets from other hosts. When the routed daemon supplies RIP information to other hosts, it sends RIP update packets every 30 seconds (containing copies of its routing tables) to all directly connected hosts and networks.

When the routed daemon receives a RIP request packet to supply RIP routing information, it generates a reply in the form of a response packet. Each route is marked with a hop-count metric, which is the number of gateway hops between the source network and the destination network. The metric for each route is relative to the sending host. A metric of 16 or greater is considered infinite or beyond reach.

Besides the ability of the routed daemon to manage routes to directly connected hosts and networks, it also uses distant and external gateways. These gateways cannot be identified by RIP queries, so the routed daemon reads the `/etc/gateways` file for information about these distant and external gateways. Its format is:

```
<destination> <name1> gateway <name2> metric <value> <type>
```

Following is a brief description of each element in a gateways file entry:

destination	Keyword that indicates whether the route is to a network or a specific host. The two possible keywords are net and host.
name1	The name or IP address of destination.
name2	The name or IP address of the gateway host to which messages should be forwarded.
value	The hop count, or number of gateways from the local network to the destination network.
type	Keyword that indicates whether the gateway should be treated as active, passive, or external.

To specify a route to the network 10.47.0.0, through the gateway server4, add the following entry:

```
net 10.47.0.0 gateway server4 metric 1 passive
```

The routed daemon is a subsystem controlled by SRC and is a member of the tcpip system group. To start it in passive mode, enter:

```
# startsrc -s routed -a "-q"
0513-059 The routed Subsystem has been started. Subsystem PID is 22500.
```

The routed daemon is disabled by default, but if you uncomment the appropriate line in the /etc/rc.tcpip file, routing will start automatically after a system reboot.

You can also setup and start routed using `smitty routed` command as shown Figure 14.

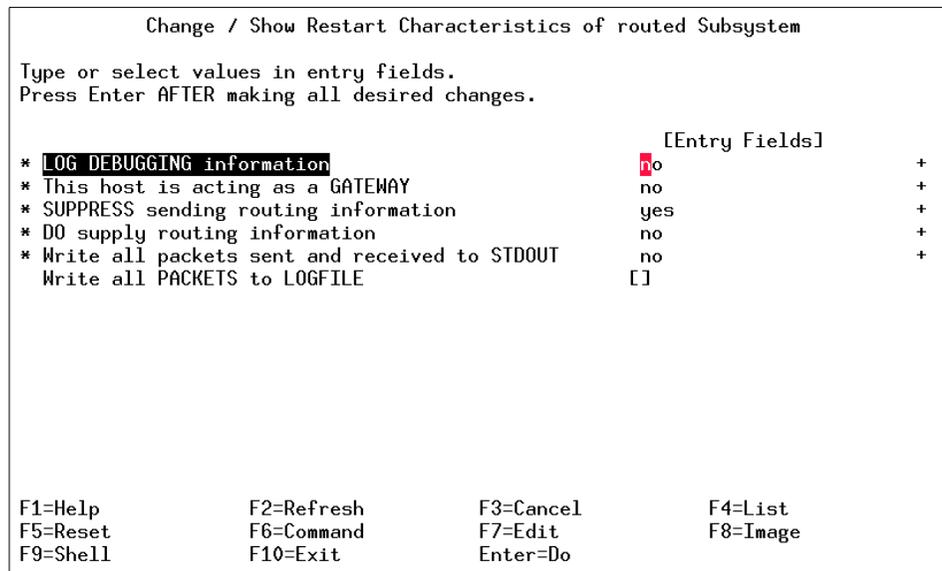


Figure 14. `smitty routed`

3.2.3.3 Gated daemon

As mentioned in Section 3.2.1, "Static versus dynamic" on page 56 the gated daemon provides gateway routing functions for a few routing protocols.

The gated daemon can be controlled by the SRC and it is a member of the SRC tcpip system group. This daemon is disabled by default. To permanently

enabled it, uncomment the appropriate line in the `/etc/rc.tcpip` and the `gated` daemon will start automatically after system reboot.

The default configuration file for the `gated` daemon is the `/etc/gated.conf` file. This file is read by the `gated` daemon at initialization time. By default, if `gated` is started without specifying any information in the configuration file, the RIP protocol will be turned to active mode.

To start the `gated` daemon, use `smitty chgated` as shown Figure 15 or use the `SRC` command.

To start the `gated` daemon and log messages to `/var/tmp/gated.log` file, enter:

```
startsrc -s gated -a "-tall /var/tmp/gated.log"
```

To stop the `gated` daemon normally, enter:

```
stopsrc -s gated
```

```
Change / Show Restart Characteristics of gated Subsystem

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                [Entry Fields]
* LOG EGP EXTERNAL errors, routing errors and EGP state changes      no      +
* TRACE HELLO packets received                                       no      +
* LOG INTERNAL errors and routing errors                             no      +
* TRACE SNMP transactions                                           no      +
* TRACE EGP packets sent and received                               no      +
* TRACE RIP packets received                                        no      +
* TRACE all routing CHANGES                                       no      +
* TRACE all routing UPDATES                                        no      +
LOGFILE name                                                         []

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command     F7=Edit       F8=Image
F9=Shell    F10=Exit       Enter=Do
```

Figure 15. `smitty chgated`

Note

Results are unpredictable when the `gated` and `routed` daemons run on the same host.

3.2.4 ICMP redirects

ICMP generates several kinds of useful messages, including *Destination Unreachable*, *Echo Request and Reply*, *Redirect*, *Time Exceeded*, and *Router Advertisement and Router Solicitation*. If an ICMP message cannot be delivered, no second one is generated. This is to avoid an endless flood of ICMP messages.

An ICMP Redirect message is sent by the router to the source host to stimulate more efficient routing. The router still forwards the original packet to the destination. ICMP redirects allow host routing tables to remain small because it is necessary to know the address of only one router, even if that router does not provide the best path. Even after receiving an ICMP Redirect message, some devices might continue using the less-efficient route.

3.2.5 Routing debugging

If you are not able to ping by host name or IP address, you may have a routing problem.

First, check the routing tables as follows:

- Use the `netstat -m` command to show the content of your local routing table using IP addresses.
- Check the netmask on display and ensure that it is correct (ask the network administrator what it should be if you are unsure).
- If there is a default route, attempt to ping it.
- If you have more than one network interface, attempt to determine if any interfaces are working.

If you cannot ping your default route, either it is down, or your local network connection may be down. Attempt to ping all of the other gateways listed in the routing table to see if any portion of your network is functioning:

```
# netstat -nr
Routing tables
Destination      Gateway          Flags    Refs      Use  If  PMTU  Exp
Groups

Route Tree for Protocol Family 2 (Internet):
default          9.3.240.1       UGc      0          0  tr0  -    -
9.3.240/24       9.3.240.58      U        31    142091  tr0  -    -
10.47.1.2        9.3.240.59      UGH       0          2  tr0  -    -
127/8           127.0.0.1       UR         0          3  lo0  -    -
127.0.0.1        127.0.0.1       UH         3         761  lo0  -    -
195.116.119/24  195.116.119.2  U          2         406  en0  -    -
```

```
Route Tree for Protocol Family 24 (Internet v6):
::1          ::1          UH          0          0 lo0 16896 -
```

If you cannot ping any host or router interface from among those listed in the routing table, try to ping your loopback interface lo0 with the following command:

```
ping localhost
```

If the ping is successful, you have either an adapter or network hardware problem or a routing problem.

If the ping is not successful, you need to:

- Ensure that the inetd process is active using the `lssrc -g tcpip` command. If inetd is not active, issue the `startsrc -s inetd` or `startsrc -g tcpip` commands.
- Check the state of the loopback interface (lo0) with the `netstat -i` command. If you see `lo0*` in the output, check the `/etc/hosts` file for an uncommented local loopback entry as follows:

```
127.0.0.1 loopback localhost # loopback (lo0) name/address
```

An asterisk (*) after the interface name in the output from the `netstat` command indicates that the interface is down. Use the following command to start the lo0 interface:

```
# ifconfig lo0 inet 127.0.0.1 up
```

If you cannot reach a host which is in a different network, you can check connection using `traceroute` command. The `traceroute` output shows each gateway that the packet traverses on its way to find the target host. If possible, examine the routing tables of the last machine shown in the `traceroute` output to check if a route exists to the destination from that host. The last machine shown is where the routing is going astray.

```
# traceroute 9.3.240.56
traceroute to 9.3.240.56 (9.3.240.56), 30 hops max, 40 byte packets
 1 server4e (10.47.1.1)  1 ms  1 ms  0 ms
 2 server1 (9.3.240.56)  1 ms  1 ms  1 ms
```

If the connections are performing poorly, packet fragmentation may be a problem. AIX Version 4.3 has a service that allows automatic path MTU discovery. A fixed MTU size can also be set with the `no` command.

3.3 Command summary

The following section provides a list of the key commands discussed in this chapter. For a complete reference of the following commands, consult the AIX product documentation.

3.3.1 The ifconfig command

Configures or displays network interface parameters for a network using TCP/IP. The command has the following syntax:

```
ifconfig Interface [ AddressFamily [ Address [ DestinationAddress ] ] [ Parameters... ] ]
```

The commonly used flags are provided in Table 7.

Table 7. Commonly used flags of the ifconfig command

Flag	Description	
<i>AddressFamily</i>	Specifies which network address family to change.	
<i>Parameters</i>	alias	Establishes an additional network address for the interface.
	delete	Removes the specified network address.
	detach	Removes an interface from the network interface list.
	down	Marks an interface as inactive (down), which keeps the system from trying to transmit messages through that interface.
	netmask <i>Mask</i>	Specifies how much of the address to reserve for subdividing networks into subnetworks.
	up	Marks an interface as active (up). This parameter is used automatically when setting the first address for an interface.
<i>Address</i>	Specifies the network address for the network interface.	

3.3.2 The netstat command

Shows network status. The command has the following syntax:

```
/bin/netstat [ -n ] [ { -r -i -I Interface } ] [ -f AddressFamily ] [ -p Protocol ] [ Interval ]
```

The commonly used flags are provided in Table 8.

Table 8. Commonly used flags of the netstat command

Flag	Description
<i>-n</i>	Shows network addresses as numbers.
<i>-r</i>	Shows the routing tables.
<i>-i</i>	Shows the state of all configured interfaces.
<i>-l Interface</i>	Shows the state of the configured interface specified by the Interface variable.
<i>-f AddressFamily</i>	Limits reports of statistics or address control blocks to those items specified by the AddressFamily variable.
<i>-p Protocol</i>	Shows statistics about the value specified for the Protocol variable.

3.3.3 The route command

Manually manipulates the routing tables. The command has the following syntax:

```
route Command [ Family ] [ [ -net | -host ] Destination [-netmask [ Address ] ] Gateway ] [ Arguments ]
```

The commonly used flags are provided in Table 9.

Table 9. Commonly used flags of the route command

Flag	Description	
<i>Command</i>	add	Adds a route.
	flush or -f	Removes all routes.
	delete	Deletes a specific route.
	get	Lookup and display the route for a destination.
<i>-net</i>	Indicates that the Destination parameter should be interpreted as a network.	
<i>-host</i>	Indicates that the Destination parameter should be interpreted as a host.	
<i>Destination</i>	Identifies the host or network to which you are directing the route.	
<i>-netmask</i>	Specifies the network mask to the destination address.	
<i>Gateway</i>	Identifies the gateway to which packets are addressed.	

3.3.4 The chdev command

Changes the characteristics of a device. The command has the following syntax:

```
chdev -l Name [ -a Attribute=Value ... ]
```

The commonly used flags are provided in Table 10.

Table 10. Commonly used flags of the chdev command

Flag	Description
-l <i>Name</i>	Specifies the device logical name, specified by the Name parameter, in the Customized Devices object class whose characteristics are to be changed.
-a <i>Attribute=Value</i>	Specifies the device attribute value pairs used for changing specific attribute values.

3.3.5 The lsattr command

Displays attribute characteristics and possible values of attributes for devices in the system. The command has the following syntax:

```
lsattr -E -l Name [ -a Attribute ] ...
```

The commonly used flags are provided in Table 11.

Table 11. Commonly used flags of the lsattr command

Flag	Description
-E	Displays the attribute names, current values, descriptions, and user-settable flag values for a specific device.
-l <i>Name</i>	Specifies the device logical name in the Customized Devices object class whose attribute names or values are to be displayed.
-a <i>Attribute</i>	Displays information for the specified attributes of a specific device or kind of device.

3.4 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. Which of the following network protocols can alter an otherwise static routing table?
 - A. RPC
 - B. TCP
 - C. UDP
 - D. ICMP
2. Which of the following commands is needed to add an alias IP address onto an interface?
 - A. `alias`
 - B. `route`
 - C. `netstat`
 - D. `ifconfig`
3. Which of the following commands can store routes into ODM?
 - A. `gated`
 - B. `chdev`
 - C. `route`
 - D. `ifconfig`
4. Which of the following class addresses applies to 127.0.0.1?
 - A. Class A
 - B. Class B
 - C. Class C
 - D. Class D
5. Which of the following network masks allows room for 508 hosts?
 - A. 254.0.0.0
 - B. 255.128.0.0
 - C. 255.254.0.0
 - D. 255.255.254.0

6. A default gateway has already been configured. However, it begins to point to a different address, but was not changed. Which of the following is the most probable cause of the change in addresses?
 - A. ARP
 - B. netstat
 - C. NIS, DNS, NFS
 - D. gated or routed
7. On a newly installed AIX V4 machine, which of the following actions will enable the machine to act as a gateway?
 - A. Enable gated
 - B. Enable routed
 - C. Enable ipforwarding
 - D. Configure a default gateway
8. Which of the following commands can show statistics for each interface?
 - A. no
 - B. lsattr
 - C. vmstat
 - D. netstat
9. Which of the following commands verifies that round-trip connectivity is functional between the local host and another machine?
 - A. ping
 - B. lsdev
 - C. netstat
 - D. ifconfig
10. A local subnet can be pinged as well as the default gateway. However, the hosts cannot be pinged that are beyond the default gateway. Which of the following is the most probable cause?
 - A. Arp is not functioning
 - B. Ipforwarding is not on for the local system
 - C. The default gateway is not routing traffic for the host
 - D. Something has been configured incorrectly on the local machine

11. Which of the following commands will successfully add a route to the routing table?
- A. `route add 128.66.12.3 0`
 - B. `route add 0 128.66.12.3`
 - C. `route -n add 128.88.12.1`
 - D. `route add 128.66 128.88.12.1`
12. Which of the following parameters can prevent packet fragmentation on routers when connecting to remote networks?
- A. `mtu`
 - B. `sb-max`
 - C. `rfc1323`
 - D. `tcp-mssdfit`
13. If AIX is configured to forward IP packets from one network to another network, which of the following is true?
- A. AIX has no IP forwarding capabilities.
 - B. The two network addresses must be placed in the forward file.
 - C. Two network adapter cards are required and the `ipforwarding` must be set to "1".
 - D. The broadcast flag does not need to be set on the network adapter cards using the `ifconfig` command.
14. If the local machine is configured as a primary name server, which of the following statements is true?
- A. `/etc/resolv.conf` must not exist
 - B. `/etc/resolv.conf` must be an empty file
 - C. `/etc/resolv.conf` must contain the local loopback address
 - D. `/etc/resolv.conf` can be an empty file or contain "nameserver 127.0.0.1"

15. A gateway machine has access to the Internet and is trying to reach a machine on the Internet called cactus.org. Although the gateway machine cannot reach cactus.org, another network across town is able to reach cactus.org. Furthermore, the gateway machine can reach the network across town, but cannot ping the cactus.org. Which of the following tools will best help diagnose the location of the problem?
- A. iptrace
 - B. netstat
 - C. tcpdump
 - D. traceroute
16. The Internet Service Provider has set up a gateway for the administrator to access the Internet. The IP address of this gateway is 193.3.7.99. Which of the following actions must occur for this new machine to reach the Internet?
- A. Create a network route to 193.3.7.99 for 0.0.0.0
 - B. Assign 193.3.7.99 as an alias to the Ethernet adapter
 - C. Add the address 193.3.7.99 to the /etc/resolv.conf file
 - D. Use "no" to set the ipforwarding attribute to 193.3.7.99
17. It has been decided that this machine will be used as a gateway to the company's new ATM backbone. The ATM backbone has been subnetted so that each subnet contains 14 hosts. The subnet mask is:
- A. 255.255.255.14
 - B. 255.255.255.16
 - C. 255.255.255.240
 - D. 255.255.255.248
18. Which of the following "no options" should be set to 1 before the machine can act as a gateway?
- A. ipforwarding
 - B. multi_homed
 - C. ipsrouteforward
 - D. subnetsarelocal

3.4.1 Answers

The following are the preferred answers to the questions provided in this section.

1. D
2. D
3. B
4. A
5. D
6. D
7. C
8. D
9. A
- 10.C
- 11.B
- 12.A
- 13.C
- 14.D
- 15.B
- 16.C
- 17.A
- 18.A

3.5 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. Calculate how many hosts and networks are within network class B with subnetmask 255.255.255.192.
2. For given host address 153.19.177.201 with subnet mask of 255.255.225.224, determine the network address and broadcast address.
3. Check the routing table for your system and find out what the default gateway is for.

4. Add a second address to the network card in your machine. Use the `ifconfig` command.
5. Check the routing table for your system. Do you have another routing entry now?
6. Which protocol will modify routes?
7. On which port does telnet listen and on which port does FTP listen?

Chapter 4. Basic network administration

Basic network administration including name address configuration is discussed in this Chapter. For a discussion on DNS, see Chapter 8, “Domain Name System (DNS)” on page 169.

4.1 Network administration using SMIT

The following sections discuss how to perform basic network administration using the SMIT interface.

4.1.1 Minimum configuration

The minimum configuration of TCP/IP is typically done at initial installation or when an adapter and corresponding interface need to be installed. Issue the SMIT command: `smit tcpip` and the screen shown in Figure 16 appears.

```

                                     TCP/IP
Move cursor to desired item and press Enter.
Minimum Configuration & Startup
Further Configuration
Use DHCP for TCP/IP Configuration & Startup
IPV6 Configuration
Quality of Service Configuration & Startup

                                     Available Network Interfaces
Move cursor to desired item and press Enter.
en0 Standard Ethernet Network Interface
  et0 IEEE 802.3 Ethernet Network Interface
  tr0 Token Ring Network Interface

F1=Help          F2=Refresh      F3=Cancel
F8=Image         F10=Exit       Enter=Do
F1 /=Find
F9
```

Figure 16. SMIT TCP/IP configuration screen

Select the **Minimum Configuration & Startup** menu and select the interface that needs to be configured from the list that is presented (Figure 17 on page 78).

```

Minimum Configuration & Startup

To Delete existing configuration data, please use Further Configuration menus

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                [Entry Fields]
* HOSTNAME                       [server1]
* Internet ADDRESS (dotted decimal) [10.47.1.3]
  Network MASK (dotted decimal)    [255.255.0.0]
* Network INTERFACE               en0
  NAMESERVER
    Internet ADDRESS (dotted decimal) [9.3.240.2]
    DOMAIN Name                     [itsc.austin.ibm.com]
  Default GATEWAY Address           [9.3.240.1]
  (dotted decimal or symbolic name)
  Your CABLE Type                   N/A
  START Now                           +
                                         +

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command     F7=Edit       F8=Image
F9=Shell     F10=Exit       Enter=Do

```

Figure 17. SMIT TCP/IP minimum configuration parameters.

Figure 17 shows the SMIT screen that is used to enter the minimum configuration values. The SMIT menus actually uses the program `mktcpip` to perform the actual TCP/IP configuration. The functions performed by the `mktcpip` command are:

- Setting the host name in both the configuration database and the running machine.
- Setting the IP address of the interface in the configuration database.
- Making entries in the `/etc/hosts` file for the host name and IP address.
- Setting the subnetwork mask, if specified.
- Setting the domain name and IP address of the name server, if specified.
- Adding a static route to both the configuration database and the running machine, if applicable.
- Starts or restarts the default TCP/IP daemons.

4.1.2 Further TCP/IP configuration

When performing a more detailed TCP/IP system administration, use the SMIT menu: `smit configtcp`

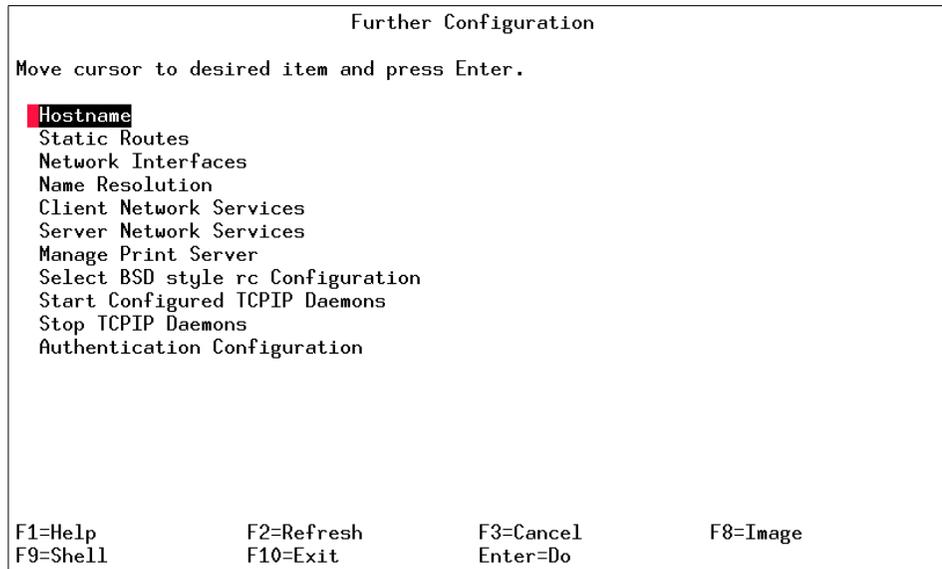


Figure 18. SMIT TCP/IP Further Configuration screen

The SMIT menu for further TCP/IP configuration (`smit configtcp`) assists you in the administration of the following topics:

Hostname	Show and set the system hostname.
Static Routes	List, add, delete routes, flush routing table.
Network Interfaces	List, add, change and remove network interfaces.
Name Resolution	List and edit contents of <code>/etc/hosts</code> and <code>/etc/resolv.conf</code> .
Client Network Services	Edit <code>/etc/services</code> file.
Server Network Services	Start/stop network daemons and network services. Menus to SRC commands.
Manage Print Server	List, add, remove network printer daemons.
Select BSD style rc Configuration	Modify TCP/IP bootup procedure to use <code>/etc/rc.bsdnet</code> instead of the default <code>/etc/rc.net</code> .
Start Configured TCPIP Daemons	Start all configured TCP/IP daemons.
Stop TCPIP Daemons	Stop all running TCP/IP daemons.

4.1.3 Setting the host name

After your machine has an IP address you have to name it. The `hostname` command sets and displays the name of the current host system. Only users with root user authority can set the host name. The `chdev` command will also set the host name, but it does it permanently. You can also use the System Management Interface Tool (SMIT) `smit mkhostname` fast path to run this command.

To check host name, enter:

```
# hostname
server3
```

You can do the same job using the `chdev` command:

```
# chdev -l inet0 -a hostname=server3
inet0 changed
```

This will change hostname permanently. Now you can check the hostname:

```
# lsattr -El inet0 -a hostname -F value
server3
```

4.1.4 Host name resolution

In simple TCP/IP networks, all machines on the network are defined with a name that has a corresponding IP address. The mapping of names to IP addresses is stored in the `/etc/hosts` file, acting as a simple lookup database. As most TCP/IP networks are very large and might be connected to the Internet, a different name resolution scheme is needed. These TCP/IP networks use the domain name system (DNS/BIND) having DNS server daemons (`named`) acting as databases responding to hostname lookup. For more information on DNS, see Chapter 8, “Domain Name System (DNS)” on page 169.

Note that TCP/IP hostname lookup is also referred to as hostname resolving. This resolution is done by all programs that want to communicate over a TCP/IP network (see man page on `gethostbyname` library call).

By default, the resolver routines first attempt to resolve names using the following priority scheme:

- DNS/BIND using the `/etc/resolv.conf`

- NIS (see Chapter 10, “NIS” on page 189)
- Lookup in the /etc/hosts file

The default order can be changed by creating the configuration file /etc/netsvc.conf and specifying a different search order.

The environment variable NSORDER overrides both the /etc/netsvc.conf file and the default ordering. Services are ordered as hosts = value, value, value in the /etc/netsvc.conf file, where at least one value must be specified from the list bind, nis, local. NSORDER specifies a list of values.

Example of changing the NSORDER:

```
# ping -c 1 server2
PING server2.itsc.austin.ibm.com: (9.3.240.57): 56 data bytes
64 bytes from 9.3.240.57: icmp_seq=0 ttl=255 time=0 ms

----server2.itsc.austin.ibm.com PING Statistics----
1 packets transmitted, 1 packets received, 0% packet loss
round-trip min/avg/max = 0/0/0 ms
# export NSORDER=local,bind,nis
# ping -c 1 server2
PING server2: (9.3.240.57): 56 data bytes
64 bytes from 9.3.240.57: icmp_seq=0 ttl=255 time=0 ms

----server2 PING Statistics----
1 packets transmitted, 1 packets received, 0% packet loss
round-trip min/avg/max = 0/0/0 ms
```

Notice the missing domain name in the second ping command.

Changing the resolver priority scheme must be used with caution, but can be necessary in cases where the DNS servers are not responding.

4.1.4.1 resolv.conf

The /etc/resolv.conf file defines the DNS name server information for local resolver routines. If the /etc/resolv.conf file does not exist, the DNS is not available and the system will attempt name resolution using the default paths, the /etc/netsvc.conf file (if it exists), or the NSORDER environment variable (if it exists).

When a DNS server is specified during TCP/IP configuration, a /etc/resolv.conf file is generated. Further configuration of the resolv.conf file can be done using the SMIT menu: `smit resolv.conf` (Figure 19 on page 82).

```

Domain Nameserver (/etc/resolv.conf)

Move cursor to desired item and press Enter.

Start Using the Nameserver
List All Nameservers
Add a Nameserver
Remove a Nameserver
Stop Using a Nameserver
-----
Set / Show the Domain
Remove the Domain
Set / Show the Domain Search List
Remove the Domain Search List

F1=Help      F2=Refresh   F3=Cancel    F8=Image
F9=Shell     F10=Exit    Enter=Do

```

Figure 19. SMIT menu for resolv.conf

Following is an example of a resolv.conf file:

```

# cat /etc/resolv.conf
nameserver 9.3.240.2
nameserver 9.53.248.2
nameserver 9.53.183.2
domain    itsc.austin.ibm.com

```

Each nameserver entry specifies the IP address of the DNS name server to use. In this example, three name servers are defined. The local resolver routines will query each domain name server for name resolution. When multiple name servers are specified, if the first name server does not respond, then the next name server in the list is queried.

The entry domain is used for the default domain name. The local resolver appends the default domain to names that do not end with a . (dot).

Instead of domain you can use the entry search. The search entry defines the list of domains to search when resolving a name. The first domain entry is interpreted as the default domain. Note that the usage of domain or search is complementary.

4.1.5 Network interface configuration

If you get an IP address and netmask of your machine from a network administrator, you have enough information to set up a network interface. Though SMIT allows you a shortcut to this method, many programmers wish to learn how to configure the interfaces directly.

First list all your network interface:

```
# lsdev -Cc if
en0 Available Standard Ethernet Network Interface
et0 Defined IEEE 802.3 Ethernet Network Interface
lo0 Available Loopback Network Interface
tr0 Available Token Ring Network Interface
```

As shown, there are three interfaces that you could use: `en0`, `et0`, and `tr0`. To configure one of them, use `smit chinnet` as shown in the Figure 20.

```
Change / Show a Token-Ring Network Interface

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                     [Entry Fields]
Network Interface Name                tr0
INTERNET ADDRESS (dotted decimal)    [9.3.240.58]
Network MASK (hexadecimal or dotted decimal) [255.255.255.0]
Current STATE                          up +
Use Address Resolution Protocol (ARP)?   yes +
Enable Hardware LOOPBACK Mode?         no +
BROADCAST ADDRESS (dotted decimal)     []
Confine BROADCAST to LOCAL Token-Ring?  no +

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command      F7=Edit       F8=Image
F9=Shell     F10=Exit        Enter=Do
```

Figure 20. `smit chinnet`

You can do the same job using the `chdev` command for the appropriate interface:

```
# chdev -l en0 -a netaddr='9.3.240.58' -a netmask=255.255.255.0'
en0 changed
```

These two methods, `smit chinnet` and `chdev`, update the ODM database and the change will be permanent. Another way to change network interface

characteristics is by using the `ifconfig` command, but this does not update the ODM database. The `ifconfig` command can assign an address to a network interface and can configure or display the current network interface configuration information. The network interface configuration is held on the running system and must be reset after each system restart.

To query the status of an `en0` interface, enter the command in the following format:

```
# ifconfig en0
en0:
flags=e080863<UP,BROADCAST,NOTRAILERS,RUNNING,SIMPLEX,MULTICAST,GROUPRT,64
BIT>
    inet 195.116.119.2 netmask 0xffffffff broadcast 195.116.119.255
```

To mark the local Ethernet interface `en0` as down, enter:

```
ifconfig en0 inet down
```

Finally to set up the IP address 195.116.119.2 with a netmask of 255.255.255.0 for interface `en0`, enter the command in the following format:

```
# ifconfig en0 195.116.119.2 netmask 255.255.255.0 up
```

4.2 Command summary

The following section provides a list of the key commands discussed in this chapter. For a complete reference of the following commands, consult the AIX product documentation.

4.2.1 The `lsattr` command

The `lsattr` command displays attribute characteristics and possible values of attributes for devices in the system. The command has the following syntax:

```
lsattr { -D [ -O ] | -E [ -O ] | -F Format } -l Name [ -a Attribute ] ... [
-f File ] [ -h ] [ -H ]
lsattr { -D [ -O ] | -F Format } { [ -c Class ] [ -s Subclass ] [ -t Type ]
} [ -a Attribute ] ... [ -f File ] [ -h ] [ -H ]

lsattr -R { -l Name | [ -c Class ] [ -s Subclass ] [ -t Type ] } -a
Attribute [ -f File ] [ -h ] [ -H ]
```

The commonly used flags are provided in Table 12.

Table 12. Commonly used flags of the `lsattr` command

Flag	Description
-a Attribute	Displays information for the specified attributes of a specific device or kind of device. You can use one -a flag for each attribute name or multiple attribute names. If you use one -a flag for multiple attribute names, the list of attribute names must be enclosed in quotes with spaces between the names. Using the -R flag, you must specify only one -a flag with only one attribute name. If you do not specify either the -a or -R flag, the <code>lsattr</code> command displays all information for all attributes of the specified device.
-E	Displays the attribute names, current values, descriptions, and user-settable flag values for a specific device when not used with the -O flag. The -E flag displays only the attribute name and current value in colon format when used with the -O flag. This flag cannot be used with the -c, -D, -F, -R, -s, or -t flag.
-l Name	Specifies the device logical name in the Customized Devices object class whose attribute names or values are to be displayed.

4.2.2 The `chdev` command

The `chdev` command changes the characteristics of a device. The command has the following syntax:

```
chdev -l Name [ -a Attribute=Value ... ] [ -f File ] [ -h ] [ -p ParentName ] [ -P | -T ] [ -q ] [ -w ConnectionLocation ]
```

The commonly used flags are provided in Table 13.

Table 13. Commonly used flags of the `chdev` command

Flag	Description
-l device	The name of the device which is being changed.
-a	The device attribute and the new value. Use <code>lsattr</code> to see the attributes that can be changed

4.3 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. All of the following are times when the “Minimum Configuration” smit screen for TCP/IP should be used except:
 - A. When setting network options
 - B. When reconfiguring TCP/IP from scratch
 - C. When changing the IP address of one adapter in the system
 - D. When configuring the first adapter in a newly installed machine
2. Which of the following files should be modified in order to enable this node to use DNS for host name resolution?
 - A. `/etc/hosts`
 - B. `/etc/inetd.conf`
 - C. `/etc/resolv.conf`
 - D. `/etc/named.boot`

4.3.1 Answers

The following are the preferred answers to the questions provided in this section.

1. C
2. C

4.4 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. Determine the location of your network adapters.
2. On a test system, change the subnet mask of `en0` using the `chdev` command.

Chapter 5. Network daemons

This chapter discusses the following topics:

- TCP/IP network startup
- Network daemons
- Network services, specifically BOOTP and DHCP
- General network configuration and the tools provided
- Administration of network adapters and interfaces

A basic understanding of several common services that a system administrator has to manage are discussed in this chapter.

5.1 Network startup

When the system is powered on, the network startup is initiated by `cfgmgr` as part of the second boot phase. The network startup script which starts the network is determined by the ODM configuration rules. The AIX default is `/etc/rc.net` script, which uses the ODM data to define, load, and configure network interfaces.

Figure 21 illustrates the complete startup process.

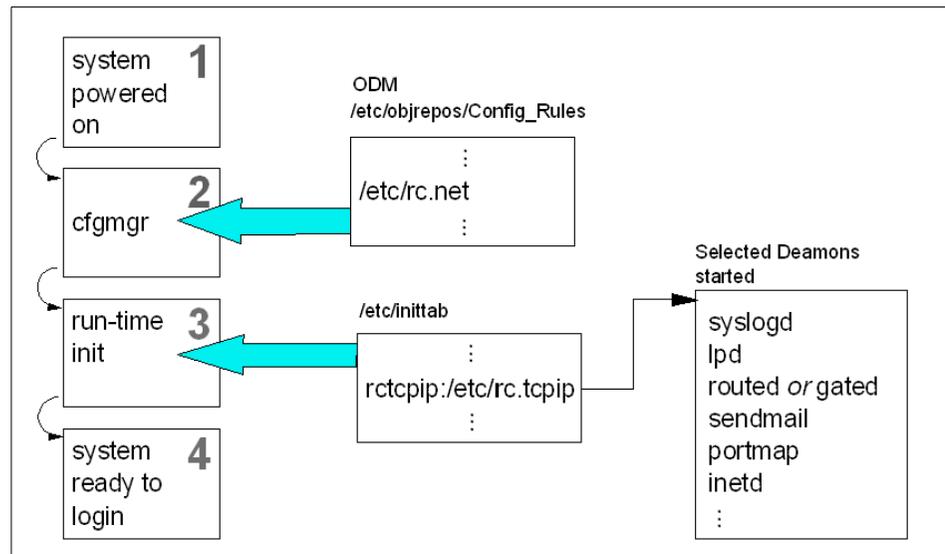


Figure 21. TCP/IP network startup procedure

Another possible network startup is the BSD-style network configuration using `/etc/rc.bsdnet`. This script uses the traditional `ifconfig` command to configure the networking interface.

The next phase of networking startup is running the `/etc/rc.tcpip` script that is started by the `init` program. At network installation time an entry is made in the `/etc/inittab` automatically inserting the `rc.tcpip` script. The `rc.tcpip` script starts selected network daemons using the System Resource Controller (SRC).

Note

In AIX, the names subsystem and subserver have specific meanings:

subsystem	A daemon or server that is controlled by SRC.
subserver	A daemon that is controlled by a subsystem. Since the only TCP/IP subsystem that controls subservers is <code>inetd</code> , all TCP/IP daemons controlled by <code>inetd</code> are subservers.

5.1.1 System Resource Controller

The SRC is an AIX-specific subsystem controller used to manage and control subsystem processes (also known as server daemons). The SRC helps system administrators control system server processes/daemons by providing utilities for start, stop, trace, list, and refresh of daemons.

The following utilities are provided for managing the SRC:

<code>startsrc</code>	Starts the TCP/IP subsystems and TCP/IP subservers.
<code>stopsrc</code>	Stops all TCP/IP subsystems and TCP/IP subservers.
<code>refresh</code>	Refreshes the subsystems and subservers (that is, it forces the re-initialization).
<code>lssrc</code>	Provides the status of subsystems and subservers.

For more information on the SRC, refer to the *IBM Certification Study Guide, AIX V4.3 System Administration, SG24-5129* and *AIX Version 4.3 System Management Guide: Operating System and Devices*, available from the Web.

5.2 Network subsystems

The `/etc/rc.tcpip` file is a shell script that, when executed on system bootup, uses `startsrc` to start up selected daemons. The `rc.tcpip` script can also be executed again later at any time from the command line.

The following TCP/IP subsystems, listed in file order, can be started with `rc.tcpip`:

<code>syslogd</code>	Log server for standard UNIX error logs.
<code>portmap</code>	Port lookup facility used for remote procedure call (RPC).
<code>inetd</code>	Internet daemon that start other services like telnet or ftp.
<code>named</code>	Domain name server in a domain network.
<code>lpd</code>	Print server daemon.
<code>routed</code> or <code>gated</code>	Dynamic routing. Note that you can not have both running simultaneously.
<code>sendmail</code>	Mail transfer agent.
<code>timed</code> , <code>xntpd</code>	Time synchronization daemons.
<code>rwhod</code>	Remote uptime and users.
<code>snmpd</code> , <code>dpid2</code>	SNMP daemons.
<code>dhcpcd</code> , <code>dhcprd</code> , <code>dhrcpsd</code>	DHCP daemons.
<code>autoconf6</code> , <code>ndpd-host</code>	IPv6 daemons.
<code>mrouted</code>	Multicast routing.

Note

The `rc.tcpip` only starts `syslogd`, `portmap`, `inetd`, `lpd`, and `sendmail` daemons automatically. All the others listed above must be uncommented in `rc.tcpip`. This is usually done when the network daemons are individually configured.

To list of all network daemons, use the command:

```
# lssrc -g tcpip
Subsystem      Group          PID    Status
inetd          tcpip         7484   active
snmpd          tcpip         7740   active
dpid2          tcpip         7998   active
```

```

tftpd          tcpip          14494  active
rwhod          tcpip          15466  active
gated          tcpip          inoperative
named          tcpip          inoperative
routed         tcpip          inoperative
iptrace        tcpip          inoperative
xntpd          tcpip          inoperative
timed          tcpip          inoperative
dhcpcd         tcpip          inoperative
dhcpsd         tcpip          inoperative
dhcprd         tcpip          inoperative
ndpd-host      tcpip          inoperative
ndpd-router    tcpip          inoperative
mrouted        tcpip          inoperative
#

```

This lists all the server daemons in the group tcpip. Alternatively, for controlling TCP/IP subsystems you can use the SMIT menus: `smit subsys`, as shown in Figure 22.

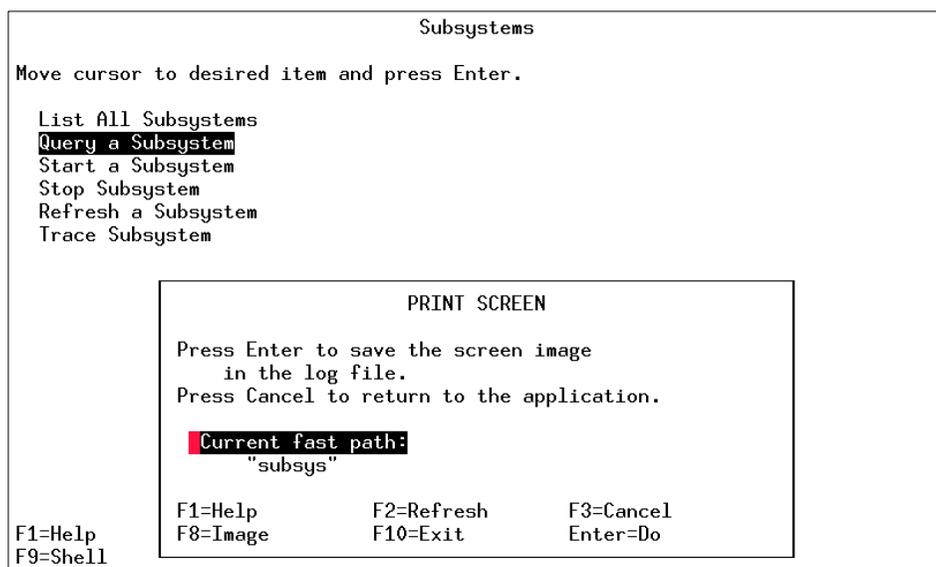


Figure 22. SMIT screen for controlling SRC subsystems.

These SMIT menus assist you in using the SRC features such as status, starting, stopping, refreshing, and tracing the subsystem server daemons.

5.3 Stopping network subsystems

All TCP/IP subsystems started with `rc.tcpip` can be stopped with the SRC command `stopsrc`. The subsystems can be stopped individually using the `-s` flag.

For example:

```
# stopsrc -s dhcpcd
0513-044 The dhcpcd Subsystem was requested to stop.
```

Or the subsystems can be stopped collectively using the TCP/IP group `-g` flag for `stopsrc`:

```
# stopsrc -g tcpip
```

Note

Only use this command directly at the system console.

Additionally, for convenience, the script `/etc/tcp.clean` can be used for stopping the daemons.

5.4 Internet daemon - inetd

The Internet daemon `inetd` is the *super* server daemon which manages the other Internet subservers and starts up the other server daemons upon request. The `inetd` both simplifies the management and reduces system load by invoking other daemons only when they are needed. The `inetd` is started from the `rc.tcpip` script using the SRC. At startup, the `inetd` reads its configuration file `/etc/inetd.conf`, which specifies what Internet services to provide on the system. The `inetd` will listen to the each port that the corresponding Internet service is using, for example, `telnet` (port 23). If a client request is made on the specific port, `inetd` starts up the program specified in the `inetd.conf`, which in the example of `telnet` is the `telnetd` daemon.

5.4.1 The `/etc/inetd.conf` file

The `/etc/inetd.conf` file is the default configuration file for the `inetd` daemon. This file enables you to specify which daemons to start by default and supply the arguments that correspond to the desired style of functioning for each daemon. If you change the `/etc/inetd.conf` file, run the `refresh -s inetd` or `kill -1 InetdPID` command to inform the `inetd` daemon of the changes to its configuration file.

The inetd configuration file located in /etc/inetd.conf is a simple ASCII file containing an entry for each supported Internet service. Each entry consists of:

ServiceName	The name of the Internet service as it is listed in /etc/services. The name must be identical to the first entry of the /etc/services line that matches the name.
SocketType	Contains the name for the type of socket used for the service. stream - specifies a stream socket. dgram - specifies a datagram socket. sunrpc_tcp - specifies a remote procedure call (RPC) stream socket. sunrpc_udp - specifies a RPC datagram socket.
ProtocolName	The name of the Internet protocol used by the service as defined in the /etc/protocols file. tcp - specifies TCP/IP protocol. udp - specifies the User Datagram Protocol (UDP).
wait/nowait/SRC	Wait is for dgram, nowait is for stream. Determines whether inetd waits for a datagram server to release the socket before continuing listening to the socket. The SRC instruction works like wait, but uses startsrc on the subsystem and stores information about the starting of the service.
User Name	Specifies the username the inetd starts the server with. This allows control of the permissions of the server process.
Server Path	Full path to the server program. For services that the inetd daemon provides internally, this field should be internal.
Program Arguments	Optional command line arguments the server program is started with. The maximum number of arguments is five.

The following shows an extract from the /etc/inetd.conf file:

```
## service socket protocol wait/ user server server program
## name type nowait program arguments
##
ftp stream tcp6 nowait root /usr/sbin/ftpd ftpd
telnet stream tcp6 nowait root /usr/sbin/telnetd telnetd -a
shell stream tcp6 nowait root /usr/sbin/rshd rshd
kshell stream tcp nowait root /usr/sbin/krshd krshd
login stream tcp6 nowait root /usr/sbin/rlogind rlogind
```

```

klogin  stream  tcp  nowait  root  /usr/sbin/krlogind  krlogind
exec    stream  tcp6  nowait  root  /usr/sbin/rexecd   rexecd
#comsat dgram   udp   wait    root  /usr/sbin/comsat   comsat
#uucp   stream  tcp   nowait  root  /usr/sbin/uucpd    uucpd
#bootps dgram   udp   wait    root  /usr/sbin/bootpd   bootpd
/etc/bootp tab
....

```

Table 14 provides a complete list of the Internet subservers supported by `inetd` on a basic AIX 4.3 installation. The services which start with a hash (#) sign indicates that these subservers per default are not configured (commented out) in `/etc/inetd.conf`.

Table 14. Default `inetd.conf` service entries

Service	Definition	Service	Definition
ftp	Starts the <code>ftpd</code> FTP daemon.	telnet	Starts the <code>telnetd</code> login session support.
shell	Starts the <code>rshd</code> daemon. It is the server for the <code>rcp</code> and <code>rsh</code> commands.	kshell	Starts the <code>krshd</code> daemon. Is the server for the <code>rcp</code> and <code>rsh</code> commands using Kerberos authentication.
login	Starts the <code>rlogind</code> daemon. It is the server for the <code>rlogin</code> remote login using.	klogin	Starts the <code>krlogind</code> daemon. Is the server for the <code>rlogin</code> remote login using Kerberos authentication.
exec	Starts <code>rexecd</code> daemon. It is the server for the <code>rexc</code> command.	#comsat	Notifies users of incoming mail
#uucp	Unix to Unix copy daemon	#bootps	Starts the <code>bootp</code> server
#finger	Starts <code>fingerd</code>	systat	Runs <code>ps</code>
#netstat	<code>netstat</code> command.	#tftp	Starts TFTP daemon <code>tftpd</code> .
#talk	Starts <code>talkd</code> the server for the <code>talk</code> command.	ntalk	Starts <code>talkd</code> the server for the <code>talk</code> command.
#rquotad	Remote quotas over NFS.	#rexid	Executes programs for remote machines
rstatd	Returns performance statistics.	rusersd	Starts <code>rusersd</code> server for the <code>ruser</code> command
rwalld	Handles requests from the <code>rwall</code> command	sprayd	RPC: records the packets sent by the <code>spray</code>

Service	Definition	Service	Definition
pcnfsd	Additional NFS service daemon for PC access	instsrv	Network installation service.
ttbdbserver	CDE ToolTalk Server	dtspc	CDE cross-platform invocation of applications.
cmsd	CDE Calendar manager service daemon	#imap2	Starts imapd IMAP4 remote mail access protocol
#pop3	Server for POP3 remote mail access protocol		

Additional software products might use the inetd features to startup their network services. Typically this is done by inserting entries in the /etc/inetd.conf; for example, the MQ Series places the listener daemon in care of the inetd daemon.

5.4.2 The /etc/services file

The /etc/services file contains information about the known services used in the DARPA Internet network as well as other entries that may be added by third party vendors. Each service is listed on a single line corresponding to the form:

ServiceName PortNumber/ProtocolName Aliases

These fields contain the following information:

ServiceName Specifies an official Internet service name.

PortNumber Specifies the socket port number used for the service.

ProtocolName Specifies the transport protocol used for the service.

Aliases Specifies a list of unofficial service names.

Items on a line are separated by spaces or tabs. Comments begin with a # (pound sign) and continue until the end of the line.

If you edit the /etc/services file, run the `refresh -s inetd` or `kill -1 InetdPID` command to inform the inetd daemon of the changes.

An example of the /etc/services file is as follows:

```
# Network services, Internet style
#
```

```

tcpmux      1/tcp          # TCP Port Service Multiplexer
tcpmux      1/udp          # TCP Port Service Multiplexer
compressnet 2/tcp          # Management Utility
compressnet 2/udp          # Management Utility
...
telnet      23/tcp
smtp        25/tcp          mail
nsw-fe      27/tcp          # NSW User System FE
nsw-fe      27/udp          # NSW User System E
...
man         9535/tcp
man         9535/udp
isode-dua   17007/tcp
isode-dua   17007/udp
dtspc       6112/tcp
fontserver  7100/tcp          xfs      # X11R6 font server

```

5.4.3 The ports assigned to network services

In Table 15 is a quick reference to some of the more common daemons that are controlled in `/etc/inetd.conf` or `/etc/sendmail.cf` and what they do.

Table 15. Command and port quick reference guide

Daemon	Port	Description
ftp	21	Transfers files between a local and a remote host.
tftp	69	Trivial File Transfer Protocol. Transfers files between hosts using minimal protocol.
login	513	The <code>rlogin</code> command connects the local terminal to the remote host specified by the <code>HostName</code> parameter.
telnet	23	Connects the local host with a remote host, using the Telnet interface.
bootps	67	Sets up the Internet Boot Protocol server.
timed	525	Time server daemon; synchronizes clock with other machines running <code>timed</code> on the local area network.
shell	514	At login, the shell defines the user environment after reading the shell startup files.
snmp	161	SNMP is used by network hosts to exchange information in the management of networks.
smtp	25	A protocol, typically used over a network, in which the objective is to transfer mail. SMTP is used by the <code>sendmail</code> command to accept and receive mail.

Every network service is performed over a port. Below is a list of some of the more common ports and their respective network services as extracted from the /etc/services file:

```

ftp          21/tcp
telnet       23/tcp
shell        514/tcp      cmd          # no passwords used
kshell       544/tcp      krcmd
login        513/tcp
klogin       543/tcp
exec         512/tcp
uucp         540/tcp      uucpd        # uucp daemon
bootps       67/udp      # bootp server port
finger       79/tcp
systat       11/tcp      users
netstat      15/tcp
tftp         69/udp
talk         517/udp
ntalk        518/udp
snmp         161/tcp      # snmp request port
snmp         161/udp      # snmp request port
snmp-trap    162/tcp      # snmp monitor trap port
snmp-trap    162/udp      # snmp monitor trap port
smtp         25/tcp      mail
re-mail-ck   50/tcp      # Remote Mail Checking
Protocol
re-mail-ck   50/udp      # Remote Mail Checking
Protocol
xns-mail     58/tcp      # XNS Mail
xns-mail     58/udp      # XNS Mail
ni-mail      61/tcp      # NI MAIL
ni-mail      61/udp      # NI MAIL
imap2        143/tcp     # Interim Mail Access Pro. v2
imap2        143/udp     # Interim Mail Access Pro. v2
pcmail-srv   158/tcp     # PCHmail Server
pcmail-srv   158/udp     # PCHmail Server
mailq        174/tcp     # MAILQ
mailq        174/udp     # MAILQ
tam          209/tcp     # Trivial Auth. Mail Protocol
tam          209/udp     # Trivial Auth. Mail Protocol
imap3        220/tcp     # Interactive Mail Acces Pro.
imap3        220/udp     # Interactive Mail Acces Pro.
mailbox      2004/tcp

```

The list is not all inclusive, but does show the main daemons needed for the networking environment.

5.4.4 Inetd subsystem control

Any change to the `/etc/inetd.conf` file require a *refresh* of the `inetd` daemon in order to re-read the configuration and apply the change.

For example:

```
# refresh -s inetd
0513-095 The request for subsystem refresh was completed successfully.
```

An alternate way of controlling the `inetd` daemon and the `/etc/inetd.conf` is using the Web-based System Manager (`wsm`) menus for networking: `wsm` networking. Figure 23 shows the `wsm` networking support dialog for editing controlling `inetd`.

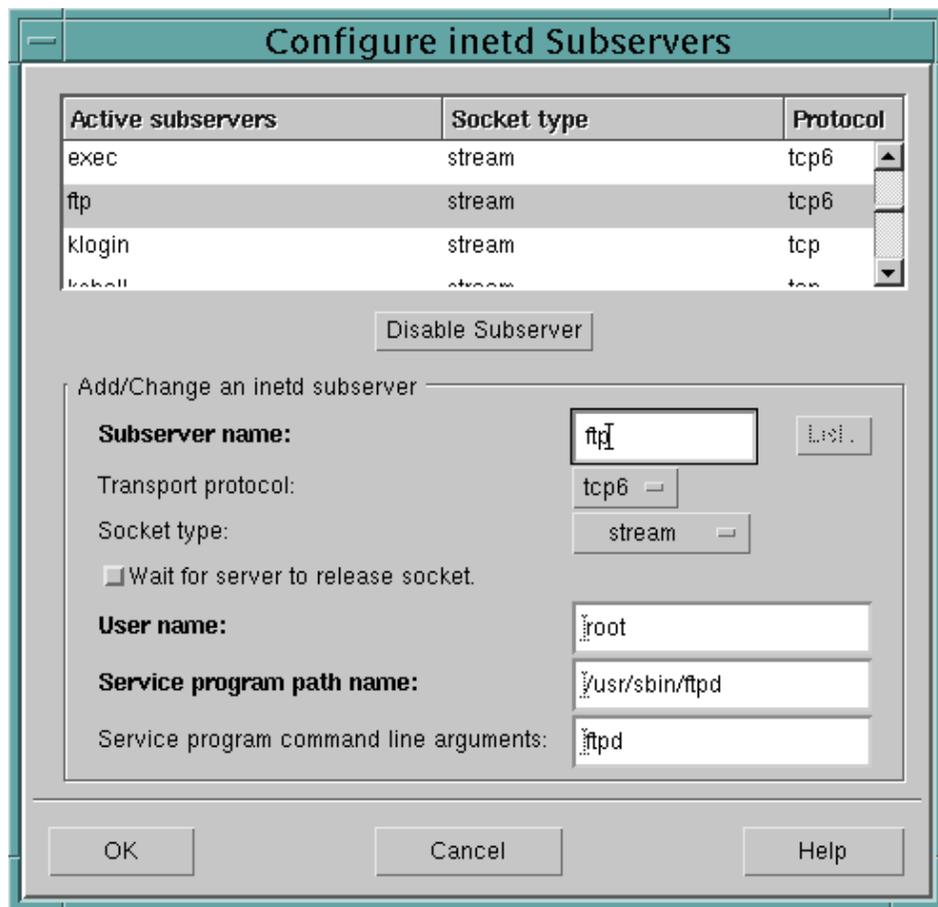


Figure 23. Inetd configuration support in `wsm`.

5.5 Network subservers

The following section discusses the network subservers and how to perform basic administration on them.

5.5.1 Controlling subservers

The SRC can be used to activate the individual inetd subservers by using `startsrc` with the `-t` flag.

For example:

```
# startsrc -t time
0513-124 The time subserver has been started.
```

Alternatively, use the dedicated SMIT menus: `smit subserver`.

Stopping individual inetd subservers can be done by using the `stopsrc -t` flag.

For example:

```
# stopsrc -t ftp
0513-127 The ftp subserver was stopped successfully.
# hostname
server2
# ftp server2
ftp: connect: A remote host refused an attempted connect operation.
ftp> quit
# startsrc -t ftp
0513-124 The ftp subserver has been started.
# ftp server2
Connected to server2.itsc.austin.ibm.com.
220 server2 FTP server (Version 4.1 Fri Nov 19 18:18:48 CST 1999) ready.
Name (server2:root):
```

5.5.2 File Transfer Protocol (FTP)

The File Transfer Protocol (FTP) is used for copying files between machines using TCP. The FTP client logs into the other system with an FTP server (ftpd) and is authenticated with a user ID and password. After the login the FTP client can perform a set of operations. The following list are the most frequent operations:

<code>cd</code>	Select a directory.
<code>ls/dir</code>	List files available for transfer.
<code>ascii/binary</code>	Define the transfer type. <code>ascii</code> (default) sets the file-transfer type to network ASCII. <code>Binary</code> sets the

	file-transfer type to binary image. Must always be used when transferring programs.
get/mget	Copy file or files from the remote server.
put/mput	Copy file or files to the remote server.
help	List and help on all FTP commands.

The FTP server `ftpd` is an `inetd` subserver and it is by default activated in the `/etc/inetd.conf` configuration.

5.5.3 Anonymous FTP

Anonymous FTP allows public access to some file directories on your system. The remote user only needs to use the login name `anonymous` and password `guest` or some other common password conventions (typically the user's Internet e-mail ID).

To setup anonymous FTP on AIX Version 4.3, use the script:

```
/usr/samples/tcpip/anon.ftp
```

This will create the appropriate users and directories for using anonymous FTP.

5.5.4 RCP file transfer

The `rcp` command copies one or more files between a local host and a remote host, between two separate remote hosts, or between files at the same remote host. This command is similar to the `cp` command except that it works only for remote file operations. If extra security is needed for your network, this command is disabled by the system manager. This this command works similar to the `cp` command, the attributes of a file are maintained.

5.5.5 Trivial File Transfer Protocol (TFTP)

TFTP is a simple protocol to transfer files implemented on top of UDP (User Datagram Protocol). TFTP is used, for example, by network stations to download boot images. TFTP is a small subset of FTP, providing only read/write of files from/to a server.

Note

TFTP has no means of user authentication, so it is considered an unsecure protocol.

The TFTP server `tftpd` is an `inetd` subserver, so `/etc/inetd.conf` must be configured to activate TFTP. The file `/etc/tftpaccess.ctl` file is used for configuring the remote access to the directories on the system, by allowing (keyword `allow`) or denying (keyword `deny`) access to directories. A sample file is provided in: `/usr/samples/tcpip/tftpaccess.ctl`

5.5.6 Security consideration with `inetd` subservers

The following sections discuss various security considerations with regards to `inetd` subservers.

Note

Setting up services of FTP, remote login (`rlogind`), or remote execution (`rexec`) have security implications on your system. In the following, configuration files for automatic access are discussed, but be aware of the possible danger of these configurations.

5.5.6.1 The `$HOME/.netrc` file

The `$HOME/.netrc` file contains information used by the automatic login feature of the `rexec` and `ftp` commands. It is a hidden file in a user's home directory and must be owned either by the user executing the command or by the root user. If the `.netrc` file contains a login password, the file's permissions must be set to 600 (read and write by owner only). The login password is in plain text. Even with permissions set to 600, passwords for remote systems are vulnerable to being revealed to any user with root authority.

5.5.6.2 The `$HOME/.forward` File

When mail is sent to a local user, the `sendmail` command checks for the `$HOME/.forward` file. The `$HOME/.forward` file can contain one or more addresses or aliases. If the file exists, the message is not sent to the user. The message is sent to the addresses or aliases in the `$HOME/.forward` file. All messages, including confidential ones, will never reach the user if this is implemented.

5.5.6.3 The `/etc/hosts.equiv` file

The `/etc/hosts.equiv` file, along with any local `$HOME/.rhosts` files, defines the hosts (computers on a network) and user accounts that can invoke remote commands on a local host without supplying a password. The `$HOME/.rhosts` file is similar to the `/etc/hosts.equiv` file, except that it is maintained for individual users.

5.5.6.4 The \$HOME/.rhosts file

The \$HOME/.rhosts file defines which remote hosts (computers on a network) can invoke certain commands on the local host without supplying a password. This file is a hidden file in the local user's home directory and must be owned by the local user. It is recommended that the permissions of the .rhosts file be set to 600 (read and write by owner only). Bypassing the need for a password may be a security concern, especially if you allow all users on a particular system access without needing a password.

The permissions and the entries in the \$HOME/.rhosts file will affect whether a user on a remote host can successfully establish an rsh session. Both files, hosts.equiv and .rhosts must have permissions denying write access to group and other. If either group or other have write access to a file, that file is ignored.

5.5.6.5 securetcip

The `securetcip` command provides enhanced security for the network. This command performs the following:

1. Runs the `tcback -a` command, which disables the nontrusted commands and daemons: `rcp`, `rlogin`, `rlogind`, `rsh`, `rshd`, `tftp`, and `tftpd`. The disabled commands and daemons are not deleted; instead, they are changed to mode 0000. You can enable a particular command or daemon by re-establishing a valid mode.
2. Adds a TCP/IP security stanza to the `/etc/security/config` file. The stanza is in the following format:

```
tcip: netrc = ftp,rexec /* functions disabling netrc */
```

Before running the `securetcip` command, acquiesce the system by logging in as root user and executing the `killall` command to stop all network daemons.

Note

The `killall` command kills all processes except the calling process. If logged in or applications are running, exit or finish before executing the `killall` command.

After issuing the `securetcip` command, shut down and restart your system. All of your TCP/IP commands and network interfaces should be properly configured after the system restarts.

Some examples are shown in Table 16:

Table 16. \$HOME/.rhosts Definitions

Local Host (sv1050a) User itsouser	Remote Host (aix4xdev) User itsouser
<pre>\$ cat > \$HOME/.rhosts aix4xdev \$ chmod 600 \$HOME/.rhosts \$</pre>	<pre>\$ rsh sv050a -l itsouser ls -a rshd: 0826-813 Permission is denied. \$</pre>
<pre>\$ cat > \$HOME/.rhosts aix4xdev itsouser \$ chmod 600 \$HOME/.rhosts \$</pre>	<pre>\$ rsh sv050a -l itsouser ls -aprofile .rhosts .sh_history \$</pre>
<pre>\$ cat > \$HOME/.rhosts aix4xdev + \$ chmod 600 \$HOME/.rhosts \$</pre>	<pre>\$ rsh sv050a -l itsouser ls -aprofile .rhosts .sh_history \$</pre>
<pre>\$ chmod 644 \$HOME/.rhosts \$</pre>	<pre>\$ rsh sv050a -l itsouser ls -aprofile .rhosts .sh_history \$</pre>
<pre>\$ chmod 666 \$HOME/.rhosts \$</pre>	<pre>\$ rsh sv050a -l itsouser ls -a rshd: 0826-813 Permission is denied. \$</pre>
<pre>\$ chmod 777 \$HOME/.rhosts \$</pre>	<pre>\$ rsh sv050a -l itsouser ls -a rshd: 0826-813 Permission is denied. \$</pre>

5.6 Command summary

The following section provides a list of the key commands discussed in this chapter. For a complete reference of the following commands, consult the AIX product documentation.

5.6.1 The startsrc command

The startsrc starts a subsystem, a group of subsystems, or a subserver. The command has the following syntax:

For subsystem:

```
startsrc [-a Argument] [-e Environment] [-h Host] {-s Subsystem | -g Group}
```

For subserver:

```
startsrc [-h Host] -t Type [-o Object] [-p SubsystemPID]
```

The commonly used flags are provided in Table 17.

Table 17. Commonly used flags of the startsrc command

Flag	Description
-s Subsystem	Specifies a subsystem to be started. The Subsystem can be the actual subsystem name or the synonym name for the subsystem. The command is unsuccessful if the Subsystem is not contained in the subsystem object class.
-t Type	Specifies that a subserver is to be started. The command is unsuccessful if Type is not contained in the subserver object class.

5.6.2 The stopsrc command

The stopsrc stops a subsystem, a group of subsystems, or a subserver. The command has the following syntax:

For Subsystem

```
stopsrc [-h Host] [-f | -c] {-a | -g Group | -p SubsystemPID | -s Subsystem }
```

For Subserver

```
stopsrc [-h Host] [-f] -t Type [-p SubsystemPID] [-P SubserverPID | -o Object]
```

The commonly used flags are provided in Table 18.

Table 18. Commonly used flags of the stopsrc command

Flag	Description
-g Group	Specifies that a group of subservers are to be stopped. The command is unsuccessful if the Group name is not contained in the subsystem object class.
-s Subsystem	Specifies a subsystem to be stopped. The Subsystem parameter can be the actual subsystem name or the synonym name for the subsystem. The stopsrc command stops all currently active instances of the subsystem. The command is unsuccessful if the Subsystem name is not contained in the subsystem object class.
-t Type	Specifies that a subserver is to be stopped. The stopsrc command is unsuccessful if the Type specified is not contained in the subserver object class.

5.6.3 The refresh command

The refresh requests a refresh of a subsystem or group of subsystems. The command has the following syntax:

```
refresh [-h Host] {-g Group|-p SubsystemPID|-s Subsystem}
```

The commonly used flags are provided in Table 19.

Table 19. Commonly used flags of the refresh command

Flag	Description
-g Group	Specifies a group of subsystems to refresh. The refresh command is unsuccessful if the Group name is not contained in the subsystem object class.
-s Subsystem	Specifies a subsystem to refresh. The Subsystem name can be the actual subsystem name or the synonym name for the subsystem. The refresh command is unsuccessful if Subsystem name is not contained in the subsystem object class.

5.6.4 The lssrc command

The lssrc command gets the status of a subsystem, a group of subsystems, or a subserver. The command has the following syntax:

Subsystem status:

```
lssrc [ -h Host ] { -a | -g GroupName | [ -l ] -s Subsystem | [ -l ] -p SubsystemPID }
```

Subserver status:

```
lssrc [ -h Host ] [ -l ] -t Type [ -p SubsystemPID ] [ -o Object ] [ -P SubserverPID ]
```

Note the SMIT format command flags are omitted. The commonly used flags are provided in Table 20.

Table 20. Commonly used flags of the lssrc command

Flag	Description
-a	Lists the current status of all defined subsystem.
-g Group	Specifies a group of subsystems to get status for. The command is unsuccessful if the GroupName variable is not contained in the subsystem object class.
-s Subsystem	Specifies a subsystem to get status for. The Subsystem variable can be the actual subsystem name or the synonym name for the subsystem. The command is unsuccessful if the Subsystem variable is not contained in the subsystem object class.
-t Type	Requests that a subsystem send the current status of a subserver. The command is unsuccessful if the subserver Type variable is not contained in the subserver object class.

5.7 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. Which of the following methods will allow a file to be copied from a remote host and retain the attributes of the file?
 - A. ftp
 - B. rcp
 - C. tcopy
 - D. No protocol provides such function.
2. After uncommenting a line in /etc/inetd.conf to enable tftpd, which of the following will allow a remote machine to access a file through tftp?
 - A. /usr/sbin/tftpd
 - B. refresh -s inetd
 - C. Uncomment the line for tftpd in /etc/services
 - D. No action is required

3. Which of the following should be performed after editing the `/etc/inetd.conf` file?
 - A. `refresh inetd`
 - B. Edit the `/etc/services` file
 - C. Send the `SIGHUP` signal to `init`
 - D. Change the appropriate “no option”
4. Since the use of `/etc/hosts.equiv` or `~/.rhosts` allow remote access without using a password, which of the following procedures is most appropriate to disable the use of these files?
 - A. Use the `-l` flag on `rsh` and `rlogin`
 - B. Put an entry in the `/etc/nologin` file
 - C. Delete all `~/.rhosts` files and tell your users not to create new ones
 - D. Change permissions of `/etc/hosts.equiv` and `~/.hosts` to anything other than `600`.
5. Which of the following files must be edited to allow the machine to be a `tftp` server?
 - A. `/etc/bootptab`
 - B. `/etc/rc.tcpip`
 - C. `/etc/inetd.conf`
 - D. `/etc/netsvc.conf`
6. Which of the following files on this machine should be edited in order to enable user, “Fred” to perform `rexec` commands on a remote machine without being prompted for a password?
 - A. `~fred/.login`
 - B. `~fred/.netrc`
 - C. `~fred/.rhosts`
 - D. `/etc/hosts.equiv`
7. Once a file has been edited, which of the following actions must occur before clients can use the `bootp` server?
 - A. `telinit q`
 - B. `refresh -s inetd`
 - C. `startsrc -s bootpd`
 - D. No action is required

5.7.1 Answers

The following are the preferred answers to the questions provided in this section.

1. B
2. B
3. A
4. D
5. C
6. B
7. B

5.8 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. Verify, on your system, which network subsystems and subservers are running.
2. On a dedicated test system, try to disable the FTP facility. What file would you need to edit? Make a backup of the corresponding file before you edit it. Test it to see if it works! Once you have done the test, re-enable FTP by restoring the original file.

Chapter 6. Network services administration

The AIX Version 4.3 TCP/IP Communications support a large set of network services. The main network services are the following:

- DNS - Domain Name System
- NFS - Network File System
- NIS - Network Information Services
- BOOTP - BOOTstrap Protocol
- DHCP - Dynamic Host Configuration Protocol
- DDNS - Dynamic Domain Name System
- SNMP - Simple Network Management Protocol

Some of the network services are covered in separate chapters. For DNS, refer to Chapter 8, “Domain Name System (DNS)” on page 169. For NFS, refer to Chapter 7, “NFS” on page 127. For NIS, refer to Chapter 10, “NIS” on page 189.

The network services BOOTP, DHCP and DDNS are described in the following sections.

6.1 Bootstrap protocol (BOOTP)

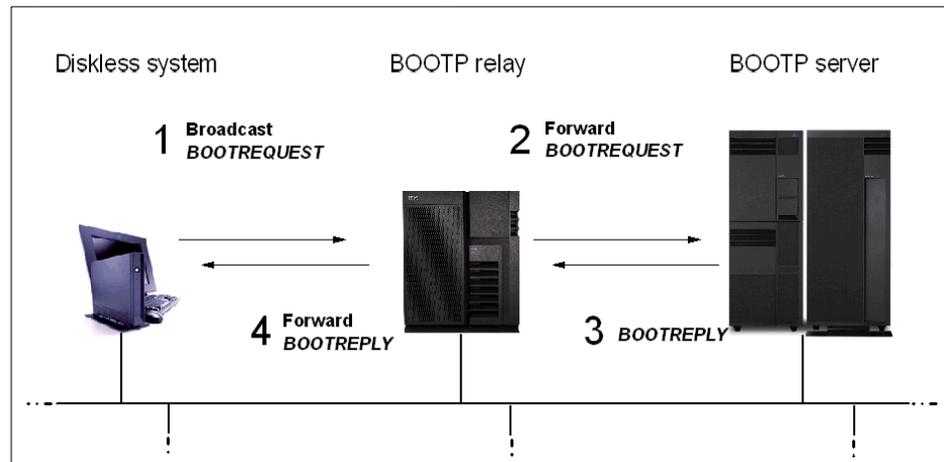
The BOOTstrap Protocol (BOOTP) is used for providing IP addresses and IP parameters to systems on a TCP/IP network which are not configured. The system types could be network computers, X-terminals, network printers and other machines which only have a minimal startup program in ROM.

Once BOOTP has provided the boot parameters, the actual downloading of image software is typically done with Trivial File Transfer Protocol (TFTP) or NFS.

The BOOTP uses UDP to bootstrap systems that request the IP address and additional information such as boot file from at BOOTP server. BOOTP is a draft standard protocol and its specifications can be found in RFC 951 Bootstrap Protocol.

The BOOTP client uses a broadcast on the local network, as it does not yet have a IP address.

Figure 24. The BOOTP client-server message flow.



The server replies to the broadcast with either a broadcast or unicast back to the client. The BOOTP request and replies contain a vendor-specific area which allows transmission of system information like subnet mask, hostname, domain name, default gateway, name servers, and other information.

By using a BOOTP server, the management of network machines can be centralized and administration becomes easier.

In situations where a lot of network clients requesting BOOTP are residing on smaller sub-networks without a BOOTP server a router known as the BOOTP relay agent is required. This server forwards the BOOTP requests from the clients to the BOOTP server and similar are the BOOTP replies forwarded back to the requestor. This scheme of having one or multiple BOOTP relay agents allows consolidation of multiple networks with a central BOOTP server, thus reducing the overall network administration.

The BOOTP message flow between the client and the BOOTP server is illustrated in Figure 24 on page 110:

1. The client broadcasts a BOOTREQUEST datagram to the bootps service (port 67), which contains the hardware address of the client.
2. The datagram is picked up and forwarded by the BOOTP relay agent which listens to the same port 67. Note this might only happen in complex network scenarios.

3. The BOOTP server replies with a BOOTREPLY datagram message to the bootpc service (port 68). If the request came directly from the client, then the server might broadcast the request to 255.255.255.255. If the BOOTREQUEST came from a relay, the server can unicast the datagram to the relay.
4. The relay (if involved) will broadcast or unicast the BOOTREPLY to the client.

6.1.1 Configuring BOOTP

In AIX, the BOOTP is implemented in the server daemon bootpd, which is started by inetd (/etc/inetd.conf). Alternatively, the bootpd can be started in standalone mode using the flag -s. The bootpd daemon reads at startup a configuration file which, per default, is the /etc/bootptab. This file contains an entry for each client using the BOOTP service.

The following is an extract from a /etc/bootptab file:

```
...
# Legend:
#
#      first -- hostname
#      field  (may be full domain name and probably should be)
#
#      hd    -- home directory
#      bf    -- bootfile
#      sa    -- server IP address to tftp bootfile from
#      gw    -- gateways
#      ha    -- hardware address
#      ht    -- hardware type
#      ip    -- host IP address
#      sm    -- subnet mask
#      tc    -- template host (points to similar host entry)
#      hn    -- name switch
#      bs    -- boot image size
#      dt    -- old style boot switch
#      T170  -- (xstation only) -- server port number
#      T175  -- (xstation only) -- primary/secondary boot host indicator
#      T176  -- (xstation only) -- enable tablet
#      T177  -- (xstation only) -- xstation 130 hard file usage
#      T178  -- (xstation only) -- enable XDMCP
#      T179  -- (xstation only) -- XDMCP host
#      T180  -- (xstation only) -- enable virtual screen
aixnc1:ht=token-ring:ha=0000E5740839:ip=9.55.43.28:sa=9.55.33.48:bf=kernel
:hd=/usr/netstation:ds=9.55.15.1:gw=9.55.15.53:sm=255.255.0.0
...
```

The entry shown in the bootptab file specifies a network station `aixnc1` and all the necessary information to boot it using TFTP.

The first entry is the client name. `ht` specifies the host hardware type, in this case token ring. `ha` specifies the host hardware address. `ip` specifies the client's IP address. `sa` specifies the IP address of the TFTP server, where the client's boot file resides. `bf` specifies the name of the boot file (in this case, kernel). `hd` specifies the home directory on the TFTP server. `ds` specifies the domain name server address list. `gw` specifies the gateway address list. If this tag is defined, the `sm` (subnet mask) tag must also be defined.

6.2 Dynamic Host Configuration Protocol (DHCP)

The Dynamic Host Configuration Protocol (DHCP) provides a mechanism for dynamic allocation of IP addresses and configuration parameters on a TCP/IP network. DHCP is used extensively for client PCs (stationary PCs and laptops) or other network computing devices to relieve the network administration of manual configuration. The ability to move from network to network and automatically obtain a valid configuration is especially important for mobile users.

DHCP is based on the BOOTP protocol with the additional capability of automatic allocation of reusable network addresses and additional configuration options. The DHCP specifications can be found in RFC 2131 and RFC 2132.

DHCP messages use the same UDP port 67 for requests to servers and UDP port 68 for clients. A DHCP setup can coexist with BOOTP provided it is configured to do so (see more on this issue later in Chapter 6.2.3, "BOOTP and DHCP interoperation" on page 117).

DHCP consists of two components:

- A protocol that delivers host-specific configuration parameters from a DHCP server to a network host.
- A mechanism for the allocation of temporary or permanent network addresses to network host.

DHCP supports three mechanisms for IP address allocation:

Dynamic allocation	DHCP assigns an IP address for a limited period of time. This network address, called a <i>lease</i> , allows automatic reuse of addresses which no longer are in use.
--------------------	--

Automatic allocation	DHCP assigns a permanent IP address to the host.
Manual allocation	The network address is assigned manually by a network administrator.

The following is a description of the DHCP interaction sequence between client and server to obtain a DHCP network address. Figure 25 illustrates the message flow.

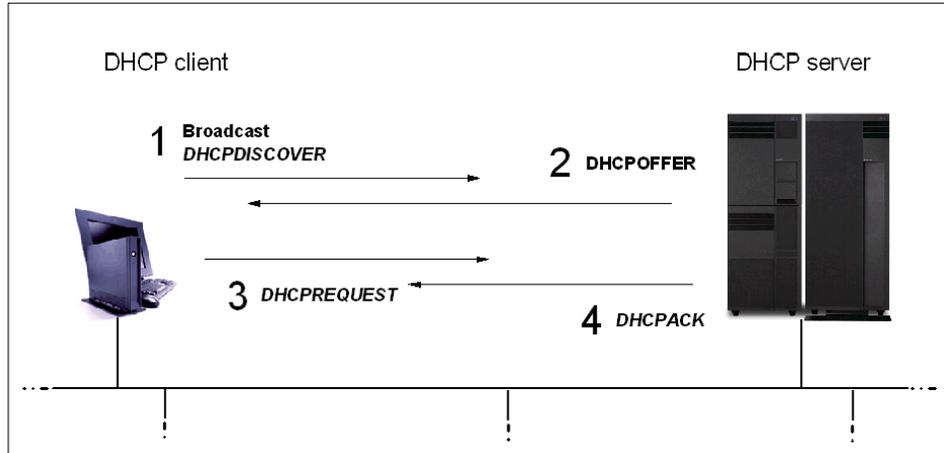


Figure 25. The DHCP client-server simple request message flow.

1. The client broadcasts a DHCPDISCOVER message on the local subnet. This message may include some options such as network address suggestion or lease duration.
2. The DHCP server responds with a DHCPOFFER message that includes an available network address and other configuration options. The address offered to the client is reserved in order to prevent it from being used by other requesting clients. Multiple DHCP servers might react on the client broadcast, hence multiple DHCPOFFERs might be sent.
3. The client chooses the configuration parameters offered and sends a DHCPREQUEST message back indicating which server it has selected and the requested IP address option. The DHCP server receives the DHCPREQUEST broadcast from the client. In case of multiple offers, the DHCP servers not selected by the DHCPREQUEST message use this message to drop out of the transaction.

4. The DHCP server selected in the DHCPREQUEST message commits itself to the client with a DHCPACK message containing the configuration parameters for the client.

After step 4 the client is fully configured. This simple scenario illustrates only a successful request scenario. The following parts of the DHCP client initialization are not shown:

- If the client is not satisfied with the parameters offered, it may send a DHCPDECLINE and restart the request process again.
- A client renews its lease prior to expiration by issuing another DHCPREQUEST.
- If no DHCPACK is received, the client times out and retries from the beginning (step 1.).
- When shutting down, a client makes a DHCPRELEASE and releases its parameters.

6.2.1 DHCP server configuration

The DHCP server program dhcpsd implements the DHCP service described above. At startup the DHCP server is configured by reading the `/etc/dhcpsd.cnf` file, which specifies the server's initial database of options and addresses.

The dhcpsd server is started in the `/etc/rc.tcpip` file, or it can be started from Web-based System Manager, from SMIT, or through SRC commands.

For example:

```
# startsrc -s dhcpsd
0513-059 The dhcpsd Subsystem has been started. Subsystem PID is 17744.
```

Configuring a good DHCP server environment on your network is not a trivial thing to do. Many considerations must be taken into account, such as what subnets in your networks have DHCP clients, which pool of addresses are available for each network, which gateways need to be setup and so on. For additional information on how to set up DHCP, refer to the section *TCP/IP Address and Parameter Assignment - Dynamic Host Configuration Protocol (DHCP)* in the *AIX Version 4.3 System Management Guide: Communications and Networks*.

Following is a simple example of a DHCP server configuration file /etc/dhcpd.conf file:

```
...
#
#  dhcpd.conf -- DHCP Server Configuration File
#
#  This file contains directives that can be specified by the
#  server's administrator to configure the server and enforce
#  policies.
...
numLogFiles 6
logFileSize 1000
logFileName /usr/tmp/dhcpd.log
logItem SYSERR
logItem OBJERR
logItem PROTERR
logItem WARNING
leaseTimeDefault 1 day
leaseExpireInterval 6 hour
#
network 10.0.0.0 24
{
    subnet 10.47.1.0 10.47.1.55-10.47.1.100
    {
        option 1 255.255.255.0
        option 3 10.47.1.1
        option 6 10.47.1.2
        option 15 itsc.austin.ibm.com
    }
}
}
```

The parameters: numLogFiles, logFileSize, logFileName and logItem are logging configuration. The parameter leaseTimeDefault specifies the default lease duration. The default is 1 hour, while in this example it is specified to 1 day. The parameter leaseExpireInterval specifies the time a lease expiration condition is examined.

This example shows a DHCP configuration for the subnet 10.47.1.0. The DHCP server assigns IP addresses ranging from 10.47.1.55 to 10.47.1.100. Each DHCP client will receive the following settings: subnet mask (option 1) is set to 255.255.255.0, the default gateway (option 3) is set to 10.47.1.1, the

domain name server (option 6) is set to 10.47.1.2 and finally the domain name (option 15) is set to itsc.austin.ibm.com.

A large set of options can be configured in DHCP. The description of the DHCP configuration option numbers are located in the file /etc/option.file.

To assist the administration of an DHCP server in AIX 4.3, the system utility `dadmin` is provided. The `dadmin` command lets the DHCP administrator query and modify the state of the DHCP server database. Both local and remote DHCP servers can be queried for a pool of IP addresses or IP address status. Other possible administration commands are: delete an IP address mapping, alter the tracing level, and refresh the server.

For example:

```
# dadmin -h server4 -v -s
Connecting to the DHCP server: server4
Got a socket, attempting to connect.

Connected to server4 successfully.
Send of header completed.

PLEASE WAIT...Gathering Information From the Server...PLEASE WAIT

Receive of header completed.

IP Address      Status  Lease Time Start Time  Last Leased Proxy ClientID
10.47.1.55     Leased   6:00:00 06/27 12:11 06/27 12:11 FALSE
1-00062995ec27
...
```

6.2.2 DHCP/BOOTP relay agent configuration

The `dhcprd` daemon is the DHCP Relay Agent for forwarding both BOOTP and DHCP requests. The UDP broadcasts sent by a BOOTP or DHCP client are not allowed to be passed through network gateways and routers; thus, a BOOTP/DHCP relay agent, the `dhcprd` daemon, has to send these packets to the appropriate servers.

The `dhcprd` is started using SRC, either in /etc/rc.tcpip (by uncommenting the corresponding entry) or by interactively using `startsrc`.

The `dhcprd` daemon reads the configuration file /etc/dhcprd.cnf at startup.

Example of an /etc/dhcprd.cnf file:

```
numLogFile 4
logFileSize 100
logFileName /usr/tmp/dhcprd.log
logItem SYSERR
logItem OBJERR
server 10.47.1.1
```

The numLogFile, logFileSize, logFileName and logItem are the same parameter format as used in the DHCP server configuration file, namely logging parameters. The server parameter specifies the IP address of the server to which a DHCP Relay Agent should forward BOOTP or DHCP datagram. Multiple servers can be specified; all will receive a datagram message.

Since the dhcprd uses the same port as the bootpd daemon (port 67), you can only have one (either dhcprd or bootpd) daemon running. If you choose the dhcprd daemon, you will need to uncomment bootp from the /etc/inetd.conf file, then enter refresh -s inetd on the command line. If bootpd is running, this program needs to be stopped before starting the daemons.

6.2.3 BOOTP and DHCP interoperation

The format of DHCP messages is based on the format of BOOTP messages, which allows BOOTP and DHCP clients to coexist. Every DHCP message contains a *IP Address Lease Time* (DHCP message type option 51). Any message without this option is assumed to be from a BOOTP client.

The DHCP Server responds to BOOTPREQUEST messages with BOOTPREPLY. A DHCP server may offer static addresses or automatic addresses to a BOOTP client (although not all BOOTP implementations will understand automatic addresses). If an automatic address is offered to a BOOTP client, then that address must have an infinite lease time, as the client will not understand the DHCP lease mechanism.

To support BOOTP clients from a DHCP server, the dhcpsd flag supportBOOTP must be set.

Add the following line to your dhcpsd configuration file /etc/dhcpsd.cnf:

```
...
supportBOOTP Yes
...
```

To support BOOTP clients from a DHCP server, the `/etc/bootptab` configuration must be migrated to DHCP configuration. The utility `bootptodhcp` is provided in order to support this migration.

6.2.4 DHCP client configuration

The DHCP client daemon is implemented in program `dhcpcd` program. The `dhcpcd` requests IP address and parameters from a DHCP server. When an AIX system is configured to run with a DHCP client, the `dhcpcd` entry in the `/etc/rc.tcpip` startup script needs to be uncommented. Notice that the `dhcpcd` is, obviously, the first network daemon to be started.

At startup, the `dhcpcd` reads its configuration file `/etc/dhcpcd.ini`.

Example of the `/etc/dhcpcd.ini`:

```
#
# dhcpcd.ini -- DHCP Client configuration file
#
#
# This file contains directives that can be specified by the
# to configure the client.
numLogFile      4
logFileSize     100
logFileName     /usr/tmp/dhcpcd.log
logItem        SYSERR
updateDNS "/usr/sbin/dhcpaction '%s' '%s' '%s' '%s' A NONIM >>
/tmp/updns.out 2>&1 "
```

The `numLogFile`, `logFileSize`, `logFileName` and `logItem` have the same parameter format used in the DHCP server configuration file, namely logging parameters. The `updateDNS` parameter is a quoted string used for executing a program, in this case `dhcpaction`, to update the DNS server with the inverse mapping of the IP address provided by DHCP and hostname of the machine. For more information about DNS updates, see Chapter 6.3, “Dynamic Domain Name System (DDNS)” on page 118.

Instead of editing `/etc/rc.tcpip` and `/etc/dhcpcd.ini` manually, a preferred way to configure the DHCP client is using the SMIT menus: `smit usedhcp`.

6.3 Dynamic Domain Name System (DDNS)

The Domain Name System is a static implementation of naming network units, providing host names for statically allocated IP addresses. In order to take advantage of DHCP and dynamically assigned IP addresses and still be

able to allocate meaningful host names, the Dynamically Domain Name System (DDNS) was specified. In a DDNS environment, when the client receives its address from a DHCP server, it automatically updates its A record on the DNS server with the new address. On an AIX Version 4.3, the program `nsupdate` is used to update information on a DDNS server.

For more information on DDNS, refer to subsection *DHCP and the Dynamic Domain Name System (DDNS)* in the *AIX Version 4.3 System Management Guide: Communications and Networks* and look in the man page for `nsupdate`.

6.4 Simple Network Management Protocol (SNMP)

SNMP is used by network hosts to exchange information in the management of networks. SNMP network management is based on the familiar client/server model that is widely used in TCP/IP-based network applications. Each host that is to be managed runs a process called an agent. The agent is a server process that maintains the Management Information Base (MIB) database for the host. Hosts that are involved in network management decision-making may run a process called a manager. A manager is a client application that generates requests for MIB information and processes responses. In addition, a manager may send requests to agent servers to modify MIB information.

The SNMP daemon is started using the `snmpd` command. This command may only be issued by a user with root privileges or by a member of the system group.

6.4.1 Files and file formats

<code>mib.defs</code>	Defines the MIB variables the SNMP agent should recognize and handle. The format of the <code>/etc/mib.defs</code> file is required by the <code>snmpinfo</code> command.
<code>mibII.my</code>	Defines the ASN.1 definitions for the MIB variables as defined in RFC 1213.
<code>smi.my</code>	Defines the ASN.1 definitions by which the SMI is defined as in RFC 1155.
<code>snmpd.conf</code>	Defines a sample configuration file for the <code>snmpd</code> agent.
<code>ethernet.my</code>	Defines the ASN.1 definitions for the MIB variables defined in RFC 1398.

fdi.my	Defines the ASN.1 definitions for the MIB variables defined in RFC 1512.
generic.my	Defines the ASN.1 definitions for the MIB variables defined in RFC 1229.
ibm.my	Defines the ASN.1 definitions for the IBM enterprise section of the MIB tree.
token-ring.my	Defines the ASN.1 definitions for the MIB variables defined in RFC 1231.
unix.my	Defines the ASN.1 definitions for a set of MIB variables for memory buffer (mbuf) statistics, SNMP multiplexing (SMUX) peer information, and various other information.
view.my	Defines the ASN.1 definitions for the SNMP access list and view tables.
snmpd.peers	Defines a sample peers file for the snmpd agent.

6.4.2 SNMP Requests for Comments (RFCs)

SNMP is defined in several Requests for Comments (RFCs), which are available from the Network Information Center at SRI International, Menlo Park, California.

The following RFCs define SNMP:

RFC 1155	Defines the structure of management information.
RFC 1157	Defines the SNMP to create requests for Management Information Base (MIB) information and formatting responses.
RFC 1213	Defines the MIB for network management.
RFC 1227	Defines the SNMP multiplexing (SMUX) protocol for extending base SNMP agents.
RFC 1228	Defines the Distributed Protocol Interface (DPI) for extending base SNMP agents.
RFC 1229	Defines an extension to the interfaces table as defined in RFC 1213.
RFC 1231	Defines an extension to the interfaces table for token-ring devices.
RFC 1398	Defines an extension to the interfaces table as Ethernet devices.
RFC 1512	Defines an extension to the interfaces table for Fiber Distributed Data Interface (FDDI) devices.

6.4.2.1 The `snmpd.conf` file

The `snmpd.conf` file provides the configuration information for the `snmpd` agent. This file can be changed while the `snmpd` agent is running. If the `refresh` or `kill -1` command is issued, the `snmpd` agent will reread this configuration file. The `snmpd` agent must be under System Resource Control (SRC) for the `refresh` command to force the reread.

This configuration file contains:

- Entries for community names. The community entry specifies the communities, associated access privileges and MIB views the `snmpd` agent allows.
- Access privileges and view definitions for incoming Simple Network Management Protocol (SNMP) request packets. The view entry specifies the MIB subtrees to which a particular community has access.
- Entries for host destinations for trap notification. The trap entry specifies the hosts the `snmpd` agent notifies in the event a trap is generated.
- Entries for log file characteristics. The logging entry specifies the characteristics for the `snmpd` agent logging activities if logging is not directed from the `snmpd` command with the `-f` option.
- Entries for `snmpd`-specific parameters. The `snmpd` entry specifies configuration parameters for the `snmpd` agent.
- Entries for SNMP Multiplexing Protocol (SMUX) association configurations. The `smux` entry specifies configuration information for SMUX associations between the `snmpd` agent and SMUX peer clients.
- Entries for the `sysLocation` and `sysContact` variables. The `sysLocation` and `sysContact` entries specify the values of the `sysLocation` and `sysContact` variables.

The `snmpd.conf` file must be owned by the root user. If the `snmpd.conf` file is not owned by root, or if the `snmpd` daemon cannot open the configuration file, the `snmpd` daemon issues a FATAL message to the log file if logging is enabled and `snmpd` terminates.

Certain rules apply for specifying particular parameters in entries in the `snmpd.conf` configuration file. Some entries require the specification of object identifiers, object names or both. The following rules apply:

- An object identifier is specified in dotted numeric notation and must consist of at least three elements. The maximum number of elements in the object identifier is 50. Elements are separated by a . (dot). The first element must be a single digit in the range of 0 to 2. The second element

must be an integer in the range of 1 to 40. The third and subsequent elements must be integers in the range of 1 to the size of an unsigned integer.

- An object name consists of a textual name with an optional numeric instance. The object name must be known to the snmpd agent. Object names typically are names of nodes in the Management Information Base (MIB) tree. If the root of the MIB tree, iso, is specified as an object name, the numeric instance is absolutely required. A . (dot) separates the textual name from the numeric instance.

Below is an example of the last lines of the `/etc/snmpd.conf` file:

```
#
# NOTE: Comments are indicated by # and continue to the end of the line.
#       There are no restrictions on the order in which the configuration
#       entries are specified in this file.
#
#####
#####

logging      file=/usr/tmp/snmpd.log      enabled
logging      size=0                      level=0

community    public
community    private 127.0.0.1 255.255.255.255 readWrite
community    system 127.0.0.1 255.255.255.255 readWrite 1.17.2

view          1.17.2          system enterprises view

trap          public          127.0.0.1          1.2.3   fe          # loopback

#snmpd        maxpacket=1024 querytimeout=120 smuxtimeout=60

smux          1.3.6.1.4.1.2.3.1.2.1.2          gated_password # gated
smux          1.3.6.1.4.1.2.3.1.2.2.1.1.2          dpid_password  #dpid
```

6.4.2.2 The `snmpd.peers` file

Defines a sample peers file for the snmpd agent.

In the example below the file layout is explained in the `/etc/snmpd.peers` file:

```
#####
#####
#
# Syntax:
#
```

```

#       <name> <object id>      <password>      <priority>
#
#       where <name> is the name of the process acting as an SMUX peer and
#       <object id> is the unique object identifier in dotted decimal
#       notation of that SMUX peer. <password> specifies the password that the
#       snmpd daemon requires from the SMUX peer client to authenticate
#       the SMUX association. The highest priority is 0 (zero). The lowest
#       priority is (2^31)-1. The default password is the null string. The
#       default priority is 0 (zero). Fields to the right of <object id> are
#       optional, with the limitation that no fields to the left of a specified
#       field are omitted.
#
#       Each token is separated by white space, though double-quotes may be
#       used to prevent separation.
#
#####
#####
"gated"      1.3.6.1.4.1.2.3.1.2.1.2      "gated_password"
"dpid2"     1.3.6.1.4.1.2.3.1.2.2.1.1.2 "dpid_password"

```

6.5 Command summary

The following section provides a list of the key commands discussed in this chapter. For a complete reference of the following commands, consult the AIX product documentation.

6.5.1 The dadmin command

The dadmin command is used to query and modify the status of the DHCP server. The command has the following syntax:

```
dadmin [-?] [-v] [-h Hostname] [-f] -d IpAddress | [-x] -i | [-x] -s | -t
on|off|Value | -q IpAddress | -p IpAddress | -c ClientId
```

The commonly used flags are provided in Table 21.

Table 21. Commonly used flags of the dadmin command

Flag	Description
-v	Toggle the verbose mode
-h host	The hostname of the DHCP server.
-s	Displays the status of each address in the DHCP server's configured pools.

6.6 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. A company has a network in which hosts are frequently added to and removed from the network or are reconfigured. Which of the following methods should be used for hostname resolution?
 - A. DDNS
 - B. DHCP
 - C. Bootp
 - D. /etc/hosts
2. Which of the following services allows complete remote administration of the local network?
 - A. ftp
 - B. NIS
 - C. smtp
 - D. telnet
3. Which of the following protocols utilizes MIBs on a client system to remotely monitor control functions on that client?
 - A. NTP
 - B. IPNG
 - C. SMTP
 - D. SNMP
4. Which of the following should be disabled if a host is to act as a DHCP server?
 - A. tftpd
 - B. gated
 - C. snmpd
 - D. bootpd

5. Which of the following files must be edited to enable bootpd?
- A. /etc/inittab
 - B. /etc/inetd.conf
 - C. /etc/bootptab
 - D. /etc/netsvc.conf
6. A machine with the hardware address 0XAB213BAFEE0B is on the subnet 9.67.112.0. It has the following attributes:
- ```
Lease Time Default30 minutes
Lease Expire Interval3 minutes
Support Bootpyes
Support Unlisted Clientsyes

Network 9.0.0.0 24
Subnet 9.2.218.09.2.218.1-9.2.218.128
Subnet 9.67.112.09.67.112.1-9.67.112.64
Client 6 0xab213bafee0b0
```
- Which of the following address ranges will the DHCP server assign to the client?
- A. 9.2.218.1 to 9.2.218.218
  - B. 9.67.112.1 to 9.67.112.64
  - C. 9.67.112.65 to 9.67.112.128
  - D. An address will not be assigned to the client

### 6.6.1 Answers

The following are the preferred answers to the questions provided in this section.

- 1. A
- 2. C
- 3. D
- 4. D
- 5. B
- 6. D

---

## 6.7 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. Setup DHCP on a isolated test network, using the example in Chapter 6.2.1, “DHCP server configuration” on page 114 as input for a `dhcpsd` configuration file.
2. On a system running DHCP server, use the `dadmin` command to query the current status.
3. What is the configuration parameter for allowing BOOTP and DHCP to interoperate? View other configuration options in the `/etc/options.file`.
4. How is the `snmpd.conf` file used?

---

## Chapter 7. NFS

In this chapter, the following topics are discussed:

- NFS protocols and daemons
- NFS server considerations
- NFS client considerations
- Automount

NFS is an acronym for Network File System, a product developed by Sun Microsystems. This is a distributed file system implementation providing remote, transparent access to files and directories. AIX supports the latest NFS protocol update, NFS Version 3. AIX also provides an NFS Version 2 client and server and is therefore providing backward compatibility with existing install bases of NFS clients and servers. Negotiation will occur to check what is the highest version of NFS supported by both involved systems.

NFS operates on a client/server basis. An NFS server has files on a local disk, which are accessed through NFS on a client machine. To handle this operation, NFS consists of:

- Networking protocols
- Client and server daemons
- Kernel extensions

The kernel extensions are outside the scope of this chapter, but the protocols and the daemons will be covered. The following sections discuss the protocols involved.

---

### 7.1 Protocols

The NFS specific protocols are Remote Procedure Call protocol (RPC) and eXternal Data Representation (XDR) protocol. Figure 26 on Page 112 shows the relationships between the protocols:

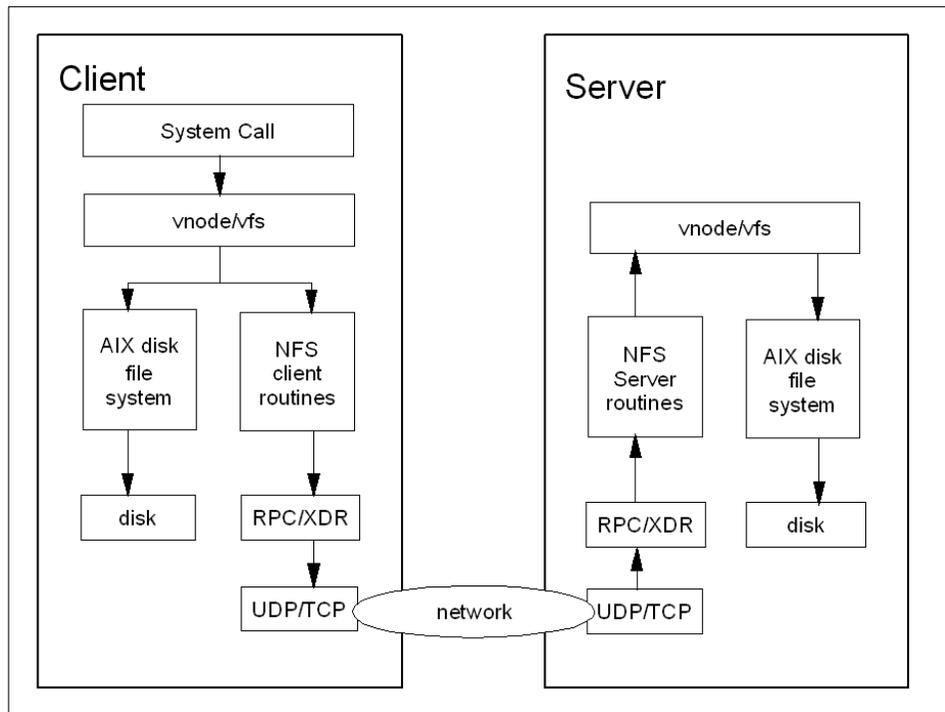


Figure 26. NFS protocol flowchart

### 7.1.1 UDP or TCP

As all traffic on the Internet is more or less defined by the use of IP at the network layer, so is NFS. On the next layer, the transport layer, the choice of UDP or TCP is optional on AIX.

#### Version and Protocol

The AIX version decides the default set of the NFS version and transport protocol. The following is the order of default mount options and the *fallback* order if the default options are not available:

- AIX Version 4.2.1 - V3/UDP; V3/TCP; V2/UDP; V2/TCP
- AIX Version 4.3.x - V3/TCP; V3/UDP; V2/TCP; V2/UDP

There are many differences in the behavior, especially in time-out handling, between NFS using TCP and NFS using UDP. More on this subject is covered in 7.4.2, “Client mount options” on page 150.

## 7.1.2 RPC

RPC is a library of procedures. The procedures allow one process (the client process) to direct another process (the server process) to execute procedure calls as though the client process had executed the calls in its own address space. Because the client and the server are two separate processes, they are not required to be on the same physical system, although they can.

The RPC call used is based on the file system action taken by the user. For example, when issuing an `ls -la` command on an NFS mounted directory, the long listing will be done through a RPC named `NFSPROC3_FSINFO`, which will initiate the long listing on the server, which in turn will send the output from the command through RPC back to the client. To the user, this transaction is totally transparent.

The file `/etc/rpc` contains a list of server names and their corresponding RPC program numbers and aliases. For example:

```
more /etc/rpc
portmapper 100000 portmap sunrpc
nfs 100003 nfsprog
ypserv 100004 ypprog
mountd 100005 mount showmount
ypbind 100007
yppasswdd 100009 yppasswd
statmon 100023
status 100024
bootparam 100026
ypupdated 100028 yppupdate
ypxfrd 100069 ypxfr
pcnfsd 150001
autofs 100099 automount #209812
```

Because the server and client processes can reside on two different physical systems, which may have completely different architectures, RPC must address the possibility that the two systems may not represent data in the same manner. Therefore, RPC uses data types defined by the eXternal Data Representation (XDR) protocol.

## 7.1.3 XDR

XDR is the specification for a standard representation of various data types. By using a standard data type representation, data can be interpreted correctly, even if the source of the data is a machine with a completely different architecture.

XDR is used when the vnode points out that the file or directory accessed is not a local file or directory, but resides on a remote system. A conversion of data into XDR format is needed before sending the data. Conversely, when it receives data, it converts the data from XDR format into its own specific data type representation.

---

## 7.2 NFS daemons

Depending on the task, some of the NFS related daemons are started on a system. Servers need the following daemons in an active state:

- portmap
- nfsd
- rpc.mountd

And the client need only the following daemon to be able to mount a remote directory:

- portmap
- biod

As default, the startup of NFS services is handled by `/etc/rc.nfs` called by `init` from `/etc/inittab`. When looking at these scripts, you can see that the default startup also include the following daemons on both a server and a client system:

- rpc.statd
- rpc.lockd

It is important to remember that the portmap must be started before starting the NFS daemons.

The relationship between NFS daemons on the server side and the client side is shown in Figure 27 on page 131.

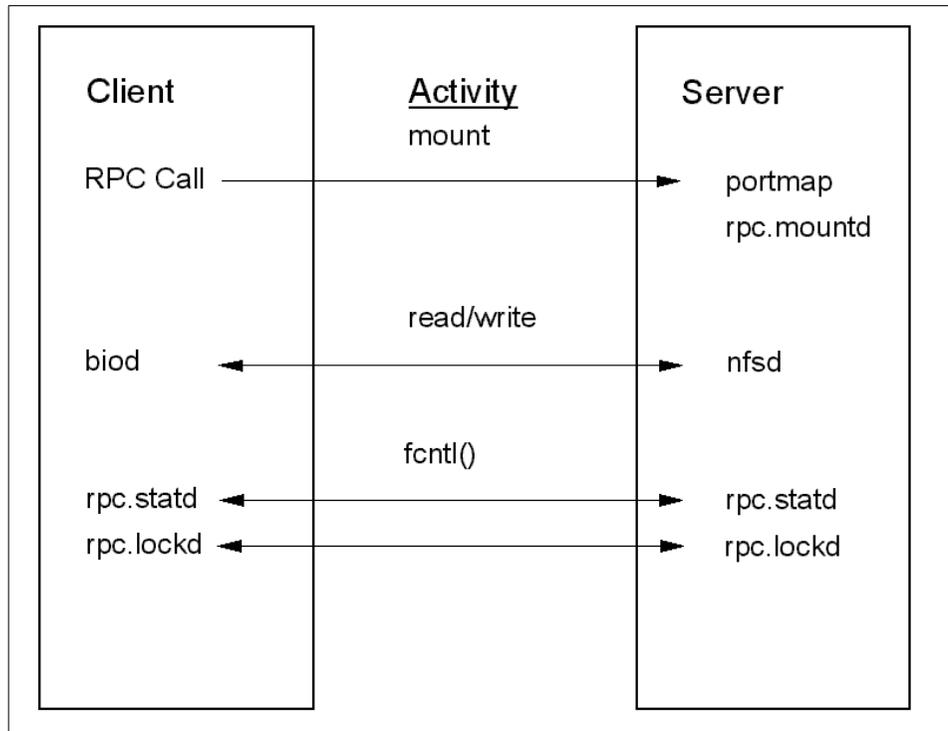


Figure 27. NFS daemon activity

In the following sections are overviews of the different tasks the daemons handle.

### 7.2.1 portmap

The portmap daemon converts RPC program numbers into Internet port numbers. When an RPC server starts up, it registers with the portmap daemon. The server tells the daemon which port number it is listening to and which RPC program numbers it serves. By this process, the portmap daemon knows the location of every registered port used by RPC servers on the host, and which programs are available on each of these ports. When mounting, the mount request starts with an RPC call named GETPORT that calls the portmap which, in turn, will inform the client of the port number that the called RPC server listens to. After this, the port number is used as reference for further communication. This is why the NFS daemons need to be registered with the portmap daemon. See Figure 28 on page 133.

A client consults the portmap daemon only once for each program the client tries to call. The portmap daemon tells the client which port to send the call to. The client stores this information for future reference.

Since standard RPC servers are normally started by the inetd daemon, the portmap daemon must be started before the inetd daemon is invoked.

**Note**

If the portmap daemon is stopped or comes to an abnormal end, all RPC servers on the host must be restarted.

### 7.2.2 rpc.mountd

rpc.mountd handles the actual mount service needed when a client sends a mount request with a RPC named MOUNTPROC3\_MNT to the server. The mountd daemon finds out which file systems are available for export by reading the /etc/xtab file. In addition, the mountd daemon provides a list of currently mounted file systems and the clients on which they are mounted. This list can be shown by the `showmount` command.

For example:

```
showmount -a
server4f.itsc.austin.ibm.com:/home
server4f.itsc.austin.ibm.com:/tmp/thomasc/testfs
```

The output shows that server4 has mounted /tmp/thomasc/testfs and /home.

The mount services is provided on the server from the /usr/sbin/rpc.mountd daemon, and the /usr/sbin/mount command on the client. Figure 28 on page 133 has a flowchart of a mount.

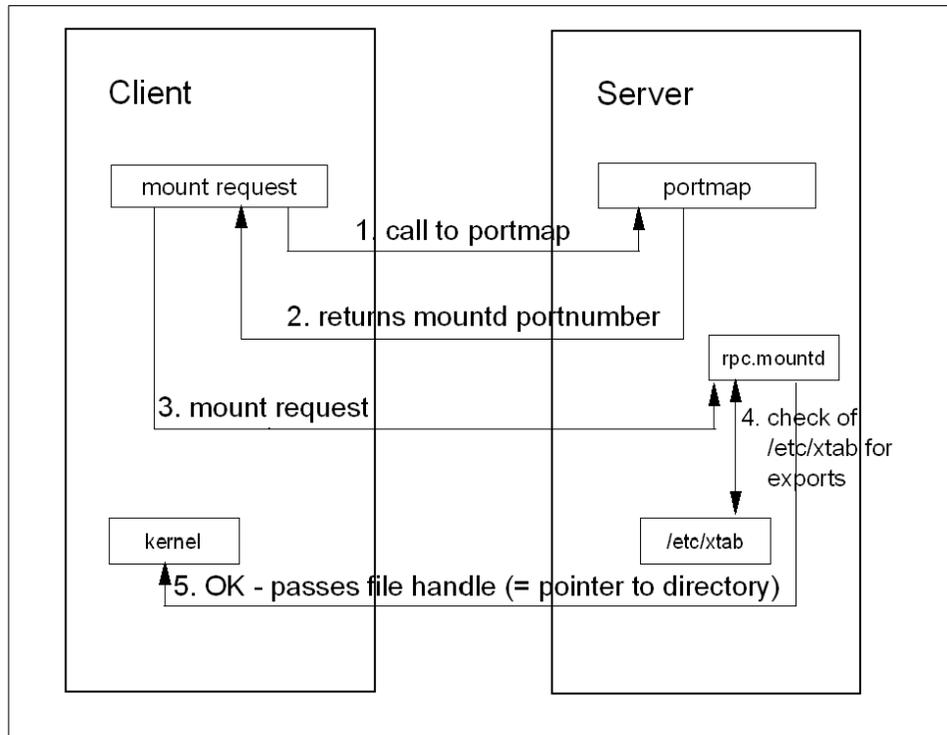


Figure 28. NFS mount

### 7.2.3 nfsd

The `nfsd` daemon runs on a server and handles client requests for file system operations. Each daemon handles one request at a time. This means that on the server side, the receipt of any one NFS protocol request from a client requires the dedicated attention of an `nfsd` daemon until that request is satisfied, and the results of the request processing are sent back to the client. The `nfsd` daemons are the active agents providing NFS services. The default number of `nfsd` started from `/etc/rc.nfs` is eight.

The NFS daemons are inactive if there is no NFS requests to handle. When the NFS server receives RPC calls on the `nfsd`'s receive socket, `nfsd`s are awakened to pick the packet of the socket and invoke the requested operations. As mentioned earlier, the `nfsd` taking a packet is dedicated to that one operation until its completion. This is regardless of the type of operation.

#### 7.2.4 biod

The block I/O daemon (biod) runs on all NFS client systems. When a user on a client wants to read or write to a file on a server, the biod daemon sends this request to the server. For each read or write request, one biod is requested. The biod daemon is activated during system startup and runs continuously.

The number of biods are limited on a per-mount-point basis. Up to six biods can work on any one remote mounted file system at any time. But the default number of started biods are six for NFS Version 2, and four for NFS Version 3. The reason to set a limit on biods per mount is that a unregulated number of biods may overload a server.

#### 7.2.5 rpc.lockd

When mounting file systems that could be accessed both locally and remotely, the system need some kind of file locking mechanism to maintain file system integrity. This is handled by the rpc.lockd and the rpc.statd. These daemons also cooperate to reestablish locks on files after a server crash.

The lockd processes lock requests. The lockd forwards lock requests for remote data to the server lock daemon through the RPC package. The lockd then asks statd (status monitor) for monitor service. The reply to the lock request is not sent to the kernel until both statd and the server lockd reply. The statd should always be started before lockd.

If either the status monitor, (rpc.statd, covered in 7.2.6, “rpc.statd” on page 134) or the server lock daemon is unavailable, the reply to a lock request for remote data is delayed until all daemons (that is, rpc.lockd and rpc.statd on both sides) become available.

When a server recovers, it waits for a grace period for all client lockds to submit reclaim requests. The client lockd are notified of the server recovery by statd. At this stage the daemons resubmit previously granted lock requests.

#### 7.2.6 rpc.statd

The statd daemon interacts with the lockd to provide crash and recovery functions for the locking services on NFS. The statd should always be started before lockd.

The status monitor maintains information on the location of connections as well as the status, in the /etc/sm directory, the /etc/sm.bak file, and the /etc/state file. When restarted, the status monitor daemon queries these files

and tries to reestablish the connection it had prior to the server crash. If you need to start these daemons and release existing locks, delete these files before restarting the statd daemon. After this, start the lockd daemon. The communication occurring at file locking is shown in Figure 29 on page 135.

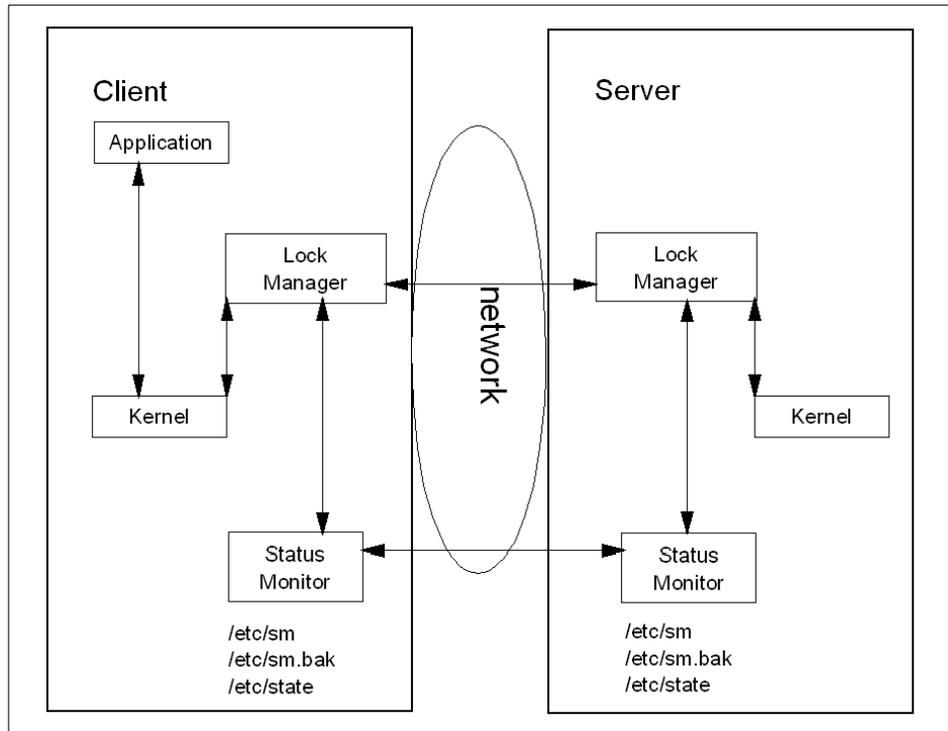


Figure 29. NFS file locking request

### 7.3 NFS server considerations

Because the NFS protocol is designed to be operating system independent, the connection between the server and the client is stateless. Statelessness means that the server does not have to maintain state of its clients to be able to function correctly (statelessness does not mean that the server is not allowed to maintain state of its clients). In the NFS configuration the server is *dumb* and the client is *smart*, which means that the client has to convert the file access method provided by the server into a access method understood by its applications.

Considering this, there is really not much to do at the server side but export the file system, directory or file chosen, start the daemons, and control

performance. In the following sections, these issues will be covered in more detail.

### 7.3.1 Exporting file systems from a server

The files set needed for the NFS server function is named `bos.net.nfs.server` and is part of the default definition of the Server bundle.

#### 7.3.1.1 The connection between `/etc/exports`, `exportfs`, and `/etc/xtab`

There are two files used for export on a server. The first one, the one that is actually edited, is `/etc/exports`. This is a simple text file that can be directly edited with your favorite editor, or edited through `smitty nfs` submenus. A simple example of this file can be like this:

```
more /etc/exports
/tmp/thomasc -root=server4,access=server1:server2:server4
/tmp/thomasc/testfs -ro
```

This `/etc/exports` file defines, with the `access=`, that a mount of `/tmp/thomasc` can be done from `server1`, `server2`, and `server4`. The special `-root=server4` allows root access only to the root users from the `server4`. The default is for no hosts to be granted root access. As mentioned earlier, the `showmount` command is helpful in checking what is exported from a specified server, but the `showmount` command will not show whether some system is granted root access or not, as shown in the following output:

```
showmount -e server3
export list for server3:
/tmp/thomasc server1,server2,server4
```

As shown in the output from `showmount`, there is no export done of `/tmp/thomasc/testfs` (`-ro` in the `/etc/exports` file shows that the intent was to do a read only export). The reason is that the actual NFS subsystem does not use the `/etc/exports` file, but the `/etc/xtab` file. This file is updated at execution of the command `exportfs`, as shown in the example:

```
exportfs -a
```

The command `exportfs -a` will read all entries in the `/etc/exports` file and update the `/etc/xtab` with these entries. Now, the output from `showmount -e server3` will appear as follows:

```
showmount -e server3
export list for server3:
/tmp/thomasc server1,server2,server4
/tmp/thomasc/testfs (everyone)
```

Again, there is no entry in the `showmount` command whether the file system exported is read-only or read-write. But when trying to create a file in the directory, the following error message will appear:

```
touch testfile
touch: 0652-046 Cannot create testfile.
```

When using `smitty`, the `smitty mknfsexp` menu does both these steps: updates the `/etc/exports` and executes the `exportfs` command, as shown in Figure 30.

Add a Directory to Exports List

Type or select values in entry fields.  
Press Enter AFTER making all desired changes.

|                                                | [Entry Fields]                                   |   |
|------------------------------------------------|--------------------------------------------------|---|
| * PATHNAME of directory to export              | <input type="text" value="/tmp/thomasc/testfs"/> | / |
| * MODE to export directory                     | read-write                                       | + |
| HOSTS & NETGROUPS allowed client access        | <input type="text" value=""/>                    |   |
| Anonymous UID                                  | [-2]                                             |   |
| HOSTS allowed root access                      | <input type="text" value="server4"/>             |   |
| HOSTNAME list. If exported read-mostly         | <input type="text" value=""/>                    |   |
| Use SECURE option?                             | no                                               | + |
| Public filesystem?                             | no                                               | + |
| * EXPORT directory now, system restart or both | both                                             | + |
| PATHNAME of alternate Exports file             | <input type="text" value=""/>                    |   |

|          |            |           |          |
|----------|------------|-----------|----------|
| F1=Help  | F2=Refresh | F3=Cancel | F4=List  |
| F5=Reset | F6=Command | F7=Edit   | F8=Image |
| F9=Shell | F10=Exit   | Enter=Do  |          |

Figure 30. `smitty mknfsexp`

### 7.3.1.2 `/etc/rmtab`

When `mountd` accepts a mount request from a client, it notes the directory name passed in the mount request and the client hostname in `/etc/rmtab`. Entries in `/etc/rmtab` are long-lived; they remain in the file until the client performs an explicit unmount of the file system. It is this file that is read to generate the `showmount -a` output.

The information in `/etc/rmtab` can become stale if the server goes down abruptly, or if clients are physically removed without unmounting the file system. In this case, you would remove all locks and the `rmtab` file. For example:

```
stopsrc -g nfs
stopsrc -s portmap
cd /etc
```

```
rm -fr sm sm.bak state xtab rmtab
startsrc -s portmap
startsrc -g nfs
exportfs -a
```

## 7.3.2 Controlling server daemons

As discussed in previous sections, the daemons to control on the server side are portmap, rpc.mountd, nfsd, and the lock handling daemons rpc.statd and rpc.lockd. You do not need to have rpc.statd and rpc.lockd running to be able to mount, although it is recommended and they are started as default from /etc/rc.nfs. In the following sections, a couple of scenarios will be covered describing what happens when some of these daemons are inactive. Let's start with portmap;

### 7.3.2.1 Portmap problems

In the following scenario the portmap daemon is stopped.

```
showmount -a
server4f.itsc.austin.ibm.com:/tmp/thomasc/testfs
stopsrc -s portmap
0513-044 The portmap Subsystem was requested to stop.
```

Existing mounts (server4) are still accessible because the biod/nfsd interaction is not dependent on portmap after the initial client contact. For example:

```
mount
node mounted mounted over vfs date options

 /dev/hd4 / jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd2 /usr jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd9var /var jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd3 /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd1 /home jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/cd0 /exinfocd cdrfs Jun 20 08:27 ro
server3 /tmp/thomasc/testfs /tmp/server3ro nfs3 Jun 20 19:04
cd /tmp/server3ro
touch tesfile2
ls -la testfile2
-rw-r--r-- 1 root staff 0 Jun 21 14:02 testfile2
```

When trying to use `showmount -e server3` from server1 (which does not have any active mount) to see the exported directories, the command will hang. The `showmount -e` command communicates with the `rpc.mountd` daemon which is pointed out by the `portmap` daemon.

When trying to mount the directory an iptrace of the event will show that the portmap port, 111, is unreachable:

```
startsrc -s iptrace -a " -a -s server3 -b /tmp/iptrace2.bin"
0513-059 The iptrace Subsystem has been started. Subsystem PID is 16526.
```

The command example starts the iptrace through SRC with some useful flags (the -a outside the quotation marks is an attribute flag for the startsrc command):

- a (within the quotation marks) suppresses ARP requests
- s defines host to trace
- b bidirectional traffic

In the next step, the mount is initiated. The command will eventually hang:

```
mount server3:/tmp/thomasc/testfs /tmp/thomasc
```

The event to trace was the mount try. The iptrace can now be stopped with:

```
stopsrc -s iptrace
```

Use ipreport to convert the binary iptrace file to ASCII format:

```
ipreport -srn /tmp/iptrace2.bin > /tmp/thomasc/ipreport2.out
```

```
more /tmp/thomasc/ipreport2.out
```

```
IPTRACE version: 2.0
Packet Number 1
TOK: ===(106 bytes transmitted on interface tr0)=== 14:30:59.084118759
TOK: 802.5 packet
TOK: 802.5 MAC header:
TOK: access control field = 0, frame control field = 40
TOK: [src = 00:06:29:be:b1:dc, dst = 00:06:29:be:d2:a2]
TOK: 802.2 LLC header:
TOK: dsap aa, ssap aa, ctrl 3, proto 0:0:0, type 800 (IP)
IP: < SRC = 9.3.240.56 > (server1.itsc.austin.ibm.com)
IP: < DST = 9.3.240.58 > (server3.itsc.austin.ibm.com)
IP: ip_v=4, ip_hl=20, ip_tos=0, ip_len=84, ip_id=6898, ip_off=0
IP: ip_ttl=30, ip_sum=8f2e, ip_p = 17 (UDP)
UDP: <source port=830, <destination port=111(sunrpc) >
UDP: [udp length = 64 | udp checksum = 54ca]
RPC: **CALL** XID=961637844
RPC: Program=100000 (PMAPPROG) Version=2 Procedure=3 (PMAPPROC_GETPORT)
RPC: AUTH_NULL Opaque Authorization Base 0 Opaque Authorization Length 0
PMP: Prog=100005 Vers=3 Prot=6 Port=0
```

```

Packet Number 2
TOK: ==== (78 bytes received on interface tr0)==== 14:30:59.084636275
TOK: 802.5 packet
TOK: 802.5 MAC header:
TOK: access control field = 18, frame control field = 40
TOK: [src = 00:06:29:be:d2:a2, dst = 00:06:29:be:b1:dc]
TOK: 802.2 LLC header:
TOK: dsap aa, ssap aa, ctrl 3, proto 0:0:0, type 800 (IP)
IP: < SRC = 9.3.240.58 > (server3.itsc.austin.ibm.com)
IP: < DST = 9.3.240.56 > (server1.itsc.austin.ibm.com)
IP: ip_v=4, ip_hl=20, ip_tos=0, ip_len=56, ip_id=42767, ip_off=0
IP: ip_ttl=255, ip_sum=223c, ip_p = 1 (ICMP)
ICMP: icmp_type=3 (DEST UNREACH)
ICMP: icmp_code=3 (9.3.240.58: UDP PORT 111 unreachable, src=830)

```

To fix this problem, the right order of starting services should be followed:

1. Stop the NFS daemons on server.

This might result in a situation when `rpc.lockd` and `nfsd` stays in a STOPPING status. If this happens, restart the `statd` daemon, stop the `lockd` daemons and finally stop the `statd` daemon. Check the status with `lssrc -g nfs`. This should also take care of the hanged `nfsd`. If this did not help, unmount all clients and repeat the procedure.

2. Start portmap.
3. Start NFS daemons on server.

### 7.3.2.2 nfsd problems

In the next scenario the `nfsd` daemon is stopped at the NFS server. When trying to mount the test file system from the server, the `mount` command hangs with the following error message:

```

mount server3:/tmp/thomasc/testfs /tmp/server3mnt
mount: 1831-010 server server3 not respondingmount: retrying
server3:/tmp/thomasc/testfs

```

When looking at the `iptrace` output of this event, the client uses the RPC `PMAPPROC_GETPORT` to connect to 100003, which, as earlier mentioned, is `nfsd`. The output shows PMP returning a value of 0. On the following Web page this RPC is defined:

<http://www.opengroup.org/onlinepubs/9629799/toc.htm>

The description tells you that if the port value is zero, as in this example, the program called is not registered. Again the importance of portmap is shown.

```
Packet Number 24
TOK: ====(106 bytes transmitted on interface tr0)==== 15:30:00.808241654
TOK: 802.5 packet
TOK: 802.5 MAC header:
TOK: access control field = 0, frame control field = 40
TOK: [src = 00:06:29:be:b1:dc, dst = 00:06:29:be:d2:a2]
TOK: 802.2 LLC header:
TOK: dsap aa, ssap aa, ctrl 3, proto 0:0:0, type 800 (IP)
IP: < SRC = 9.3.240.56 > (server1.itsc.austin.ibm.com)
IP: < DST = 9.3.240.58 > (server3.itsc.austin.ibm.com)
IP: ip_v=4, ip_hl=20, ip_tos=0, ip_len=84, ip_id=8397, ip_off=0
IP: ip_ttl=30, ip_sum=8953, ip_p = 17 (UDP)
UDP: <source port=683, <destination port=111(sunrpc) >
UDP: [udp length = 64 | udp checksum = 6bda]
RPC: **CALL** XID=962484044
RPC: Program=100000 (PMAPPROG) Version=2 Procedure=3 (PMAPPROC_GETPORT)
RPC: AUTH_NULL Opaque Authorization Base 0 Opaque Authorization Length 0
PMP: Prog=100003 Vers=3 Prot=6 Port=0
```

```
Packet Number 25
TOK: ====(78 bytes received on interface tr0)==== 15:30:00.809164951
TOK: 802.5 packet
TOK: 802.5 MAC header:
TOK: access control field = 18, frame control field = 40
TOK: [src = 00:06:29:be:d2:a2, dst = 00:06:29:be:b1:dc]
TOK: 802.2 LLC header:
TOK: dsap aa, ssap aa, ctrl 3, proto 0:0:0, type 800 (IP)
IP: < SRC = 9.3.240.58 > (server3.itsc.austin.ibm.com)
IP: < DST = 9.3.240.56 > (server1.itsc.austin.ibm.com)
IP: ip_v=4, ip_hl=20, ip_tos=0, ip_len=56, ip_id=44783, ip_off=0
IP: ip_ttl=30, ip_sum=fb4c, ip_p = 17 (UDP)
UDP: <source port=111(sunrpc), <destination port=683 >
UDP: [udp length = 36 | udp checksum = 7967]
RPC: **REPLY** XID=962484044
RPC: 100000 (PMAPPROG) 3 (PMAPPROC_GETPORT)
RPC: Reply Stat: MSG_ACCEPTED
RPC: Accepted Reply Stat: SUCCESS
PMP: Returning 0
```

That is what a mount attempt would look like if nfsd is down on the server. Take a look at how an unresponsive nfsd daemon influences a client with a mounted file system.

When issuing a long listing of an NFS mounted file system, a biod - nfsd interaction is requested. This will result in a command hang, with the following error message:

```
pwd
/tmp/server3ro
ls -la
NFS server server3 not responding still trying
```

This problem is solved by starting the nfsd. As long as the portmap daemon was active and the nfsd can register with the portmap daemon, no further actions need to be taken.

### 7.3.2.3 rpc.mountd problems

If the rpc.mountd at the server does not answer to mount requests, there are some points to remember.

When trying to mount a file system from the server, iptrace shows that the server responds with port unreachable, just as expected. More interesting is what happens when a unmount of an existing mount is issued from a client, which would be the normal scenario at a client reboot (as an example).

The iptrace from the client shows the portmap has a port registered for rpc.mountd, which portmap communicates to the client. The client calls program 100005 (rpc.mountd) on the assigned port, but receives an port unreachable.

```
Packet Number 3
TOK: ==== (166 bytes transmitted on interface tr0)==== 08:20:39.765724065
TOK: 802.5 packet
TOK: 802.5 MAC header:
TOK: access control field = 0, frame control field = 40
TOK: [src = 00:04:ac:61:73:f7, dst = 00:06:29:be:d2:a2]
TOK: 802.2 LLC header:
TOK: dsap aa, ssap aa, ctrl 3, proto 0:0:0, type 800 (IP)
IP: < SRC = 9.3.240.59 > (server4f.itsc.austin.ibm.com)
IP: < DST = 9.3.240.58 > (server3.itsc.austin.ibm.com)
IP: ip_v=4, ip_hl=20, ip_tos=0, ip_len=144, ip_id=40703, ip_off=0
IP: ip_ttl=30, ip_sum=ae2, ip_p = 17 (UDP)
UDP: <source port=946, <destination port=38637 >
UDP: [udp length = 124 | udp checksum = 6523]
RPC: **CALL** XID=962260761
RPC: Program=100005 (MOUNTPROG) Version=1 Procedure=3 (MOUNTPROC_UMNT)
RPC: AUTH_UNIX
RPC: Cred:
RPC: Time=0x395212a7 (Thu Jun 22 08:20:39 2000)
RPC: Machine=server4 Uid=0 Gid=0 Group List Length=6
```

RPC: Groups= ( 0 2 3 7 8 10 )  
MNT: Path: /tmp/thomasc/testfs

Packet Number 4  
TOK: ==== ( 78 bytes received on interface tr0 )==== 08:20:39.766378665  
TOK: 802.5 packet  
TOK: 802.5 MAC header:  
TOK: access control field = 18, frame control field = 40  
TOK: [ src = 00:06:29:be:d2:a2, dst = 00:04:ac:61:73:f7]  
TOK: 802.2 LLC header:  
TOK: dsap aa, ssap aa, ctrl 3, proto 0:0:0, type 800 (IP)  
IP: < SRC = 9.3.240.58 > (server3.itsc.austin.ibm.com)  
IP: < DST = 9.3.240.59 > (server4f.itsc.austin.ibm.com)  
IP: ip\_v=4, ip\_hl=20, ip\_tos=0, ip\_len=56, ip\_id=58893, ip\_off=0  
IP: ip\_ttl=255, ip\_sum=e33a, ip\_p = 1 (ICMP)  
ICMP: icmp\_type=3 (DEST UNREACH)  
ICMP: icmp\_code=3 (9.3.240.58: UDP PORT 38637 unreachable, src=946)

At the client, the error messages Warning: unmount:: RPC: 1832-008 Timed out, would appear.

```
mount
node mounted mounted over vfs date options

 /dev/hd4 / jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd2 /usr jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd9var /var jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd3 /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd1 /home jfs Jun 11 16:47 rw,log=/dev/hd8
server3 /tmp/thomasc/testfs /tmp/server3ro nfs3 Jun 22 08:37
umount /tmp/server3ro
Warning: unmount:: RPC: 1832-008 Timed out
```

When checking the mount points of the client, /tmp/thomasc/testfs is no longer mounted.

```
mount
node mounted mounted over vfs date options

 /dev/hd4 / jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd2 /usr jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd9var /var jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd3 /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd1 /home jfs Jun 11 16:47 rw,log=/dev/hd8
```

The unmount was successful from a client point of view, but at the server `rpc.mountd` keeps track of its clients in the `/etc/rmtab` file as mentioned earlier. This file will not be up to date after such a scenario occurs. It will still tell the server NFS subsystem that a file system is exported to `server4`.

Under normal circumstances the unmount would communicate with `rpc.mountd` on the server, and the `rpc.mountd` would update the `/etc/rmtab` file by commenting out the entry for the export (exchanging the first letter with a `#`). For example:

```
more /etc/rmtab
#erver4f.itsc.austin.ibm.com:/tmp/thomasc/testfs
```

### 7.3.3 Server performance

When narrowing down the performance discussion on servers to NFS specifics, the issue is often related to dropped packets. NFS servers may sometimes drop packets due to overload.

One common place where a server will drop packets is the UDP socket buffer. Remember that the default for data transfer for AIX Version 4.3 is TCP, but UDP is still used for mounting and GETPORT calls. Dropped packets here are counted by the UDP layer and the statistics can be seen by use of the `netstat -p UDP` command. For example:

```
netstat -p UDP
udp:
 89827 datagrams received
 0 incomplete headers
 0 bad data length fields
 0 bad checksums
 329 dropped due to no socket
 77515 broadcast/multicast datagrams dropped due to no socket
 0 socket buffer overflows
 11983 delivered
 11663 datagrams output
```

(At the `testsystem` the buffer size was sufficient)

NFS packets will usually be dropped at the socket buffer only when a server has a lot of NFS write traffic. The NFS server uses UDP and TCP sockets attached to the NFS port, and all incoming data is buffered on those ports. The default size of this buffer is 60000 bytes. Doing some quick math by dividing that number by the size of the default NFS Version 3 write packet (32765), you find that it will take only 2 simultaneous write packets to overflow that buffer. That could be done by just one NFS client (with the default

configurations). Practically speaking, however, it is not as easy as it sounds to overflow the buffer. As soon as the first packet hits the socket, an nfsd will be awakened to start taking the data off.

One of two things has to happen. There is either high volume or high burst traffic on the socket. If there is high volume, a mixture of lots of writes plus other possibly non-write NFS traffic, there may not be enough nfsds to take the data off the socket fast enough to keep up with the volume (recall that it takes a dedicated nfsd to service each NFS call of any type). In the high burst case, there may be enough nfsds, but the speed at which packets arrive on the socket is such that the nfsd daemons cannot wake up fast enough to keep it from overflowing.

Each of the two situations has a different handling. In the case of high volume, it may be sufficient to just increase the number of nfsds running on the system. Since there is no significant penalty for running extra nfsds on an AIX machine, this should be tried first.

This can be done with the following command:

```
chnfs -n 16
```

This will stop the currently running daemons, modifies the SRC database code to reflect the new number, and restart the daemons indicated.

In the case of high burst traffic, the only solution is to make the socket bigger in the hope that some reasonable size will be sufficiently large enough to give the nfsds time catch up with the burst. Memory dedicated to this socket will not be available for any other use, so it must be noted that making the socket larger may result in that memory being under utilized the vast majority of the time. The cautious administrator will watch the socket buffer overflows statistic and correlate it with performance problems and make a determination on how big to make the socket buffer. To check the NFS kernel options, use the `nfsso` command:

```
nfsso -a
portcheck= 0
udpchecksum= 1
nfs_socketsize= 60000
nfs_tcp_socketsize= 60000
nfs_setattr_error= 0
nfs_gather_threshold= 4096
nfs_repeat_messages= 0
nfs_udp_duplicate_cache_size= 0
nfs_tcp_duplicate_cache_size= 5000
nfs_server_base_priority= 0
```

```
nfs_dynamic_retrans= 1
nfs_iopace_pages= 0
nfs_max_connections= 0
nfs_max_threads= 8
nfs_use_reserved_ports= 0
nfs_device_specific_bufs= 1
nfs_server_clread= 1
nfs_rfc1323= 0
nfs_max_write_size= 0
nfs_max_read_size= 0
nfs_allow_all_signals= 0
```

If you change the *nfsbuffer* sizes, you must verify that the kernel variable *sb\_max* is greater than the NFS buffer values chosen. The default value of *sb\_max* is 1048576 on AIX Version 4.3.3. If you need to increase the *sb\_max* value. This can be done with the `no` command. Remember that everything changed with `no` or `nfsso` is valid only until next boot (if these changes have been added to some startup script, for example, `/etc/rc.nfs`).

---

## 7.4 NFS client considerations

There are a couple of things to consider when looking at the clients in an NFS environment. The first is mount problems, the second is what options should be used when mounting, and finally, performance issues.

### 7.4.1 Client side mount problems

The first issue to be covered is the problems with mounting file systems, directories or files. Except for the problems discussed in 7.3.2.1, “Portmap problems” on page 138, 7.3.2.2, “nfsd problems” on page 140 and 7.3.2.3, “rpc.mountd problems” on page 142, and the way to use `iptrace` shown in those examples, there is not really much to do on the client side. A simple check list can help you with most problems:

- Check if the file system you try to mount is exported.

When a mount request is sent to an server for an export that does not exist, the following error messages appears:

```
mount server3:/usr/welcome /tmp/server3mnt
mount: 1831-011 access denied for server3:/usr/welcome
mount: 1831-008 giving up on:
server3:/usr/welcome
The file access permissions do not allow the specified action.
```

To check what file systems, directories, or files are exported from a server, use the `showmount` command as follows:

```
showmount -e <server>
```

The output from the command shows you the directories exported, to whom they are exported and with what permissions they are exported, as discussed in 7.3.1.1, “The connection between `/etc/exports`, `exportfs`, and `/etc/xtab`” on page 136.

- If the server does not answer to a `showmount -e` call (which communicates with `rpc.mountd`), check if the RPC servers are registered with the `portmap` daemon, as follows:

```
rpcinfo -p server3 (the command issued on server4; edited output)
```

| program | vers | proto | port  | service    |
|---------|------|-------|-------|------------|
| 100000  | 4    | tcp   | 111   | portmapper |
| 100000  | 3    | tcp   | 111   | portmapper |
| 100000  | 2    | tcp   | 111   | portmapper |
| 100000  | 4    | udp   | 111   | portmapper |
| 100000  | 3    | udp   | 111   | portmapper |
| 100000  | 2    | udp   | 111   | portmapper |
| 100024  | 1    | udp   | 660   | status     |
| 100024  | 1    | tcp   | 654   | status     |
| 100021  | 1    | udp   | 38624 | nlockmgr   |
| 100021  | 2    | udp   | 38624 | nlockmgr   |
| 100021  | 3    | udp   | 38624 | nlockmgr   |
| 100021  | 4    | udp   | 38624 | nlockmgr   |
| 100021  | 1    | tcp   | 37693 | nlockmgr   |
| 100021  | 2    | tcp   | 37693 | nlockmgr   |
| 100021  | 3    | tcp   | 37693 | nlockmgr   |
| 100021  | 4    | tcp   | 37693 | nlockmgr   |
| 100003  | 2    | udp   | 2049  | nfs        |
| 100003  | 3    | udp   | 2049  | nfs        |
| 100003  | 2    | tcp   | 2049  | nfs        |
| 100003  | 3    | tcp   | 2049  | nfs        |
| 100005  | 1    | udp   | 40212 | mountd     |
| 100005  | 2    | udp   | 40212 | mountd     |
| 100005  | 3    | udp   | 40212 | mountd     |
| 100005  | 1    | tcp   | 38422 | mountd     |
| 100005  | 2    | tcp   | 38422 | mountd     |
| 100005  | 3    | tcp   | 38422 | mountd     |

The output shows that the `portmap` (program 100000) is available, so is `statd` (100024), `lockd` (100021), `nfsd` (100003), and `mountd` (100005).

If the RPC programs are up and running but you still do not have any answer on `showmount -e`, then you probably tried to mount a file system from a host that is not configured as a server.

- Check the syntax on the `mount` command. Also remember that only root can issue any `mount` command, and system group members can issue mounts, provided they have write access to the mount point.

To mount the file system that has been used in the previous examples, from server3 on `/tmp/thomasc/server3ro`, issue the following command on server4:

```
mount server3:/tmp/thomasc/testfs /tmp/thomasc/server3ro
```

You can also use `smitty mknfsmnt`, as shown in Figure 31:

```

 Add a File System for Mounting

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

[TOP] [Entry Fields]
* PATHNAME of mount point [/tmp/server3ro] /
* PATHNAME of remote directory [/tmp/thomasc/testfs]
* HOST where remote directory resides [server3]
Mount type NAME []
* Use SECURE mount option? no +
* MOUNT now, add entry to /etc/filesystems or both? both +
* /etc/filesystems entry will mount the directory no +
 on system RESTART.
* MODE for this NFS file system read-write +
* ATTEMPT mount in foreground or background background +
NUMBER of times to attempt mount [] #
Buffer SIZE for read [] #
Buffer SIZE for writes [] #
[MORE...26]

F1=Help F2=Refresh F3=Cancel F4=List
F5=Reset F6=Command F7=Edit F8=Image
F9=Shell F10=Exit Enter=Do

```

Figure 31. Smitty `mknfsmnt`

When using `smitty` the option to edit `/etc/filesystems` is available (highlighted). By editing `/etc/filesystems`, the only thing to do when mounting an NFS file system is to issue the `mount` command with the local mount point as an argument. For example:

```
mount /tmp/server3ro
mount
 node mounted mounted over vfs options

 /dev/hd4 / jfs rw,log=/dev/hd8
 /dev/hd2 /usr jfs rw,log=/dev/hd8
 /dev/hd9var /var jfs rw,log=/dev/hd8
 /dev/hd3 /tmp jfs rw,log=/dev/hd8
 /dev/hd1 /home jfs rw,log=/dev/hd8
server3 /tmp/thomasc/testfs /tmp/server3ro nfs3 bg,hard,intr
(The output is edited to fit the screen)
```

The stanza format of `/etc/filesystems` is easy to comprehend. The entry for the file system in our examples appears as follows:

```
/tmp/server3ro:
 dev = "/tmp/thomasc/testfs"
 vfs = nfs
 nodename = server3
 mount = false
 options = bg,hard,intr
 account = false
 type = thomasc
```

These options will be covered in 7.4.2, “Client mount options” on page 150. In the stanza, you can see the `mount` has a value of `false`. The `mount` command uses the associated values. It recognizes five values for the mount attributes: `automatic`, `true`, `false`, `removable`, and `readonly`. `Automatic` means that the file system is to be mounted at boot; this is usually used for system defined file systems. A value of `true` means that the `mount all` is allowed to mount this file system. Finally the value of `false` means that the mount will only occur when the file system is specified as an argument to the `mount` command, or the `type` is used for mount.

The `type = value` is a nice feature with the `mount` command. By defining `type` to a common value for several file systems, all these file systems can be mounted by giving the value as an argument to the `-t` flag. For example:

```
mount -t thomasc
```

**Note**

It is recommended to use empty directories as mount points.

If a file system is mounted on a directory in use, the file names and their i-node pointer will be hidden. Access is lost by using this method.

The only way access these hidden files is to unmount the file system.

## 7.4.2 Client mount options

There are several useful options when considering and planning for an NFS mount. The one specific for smitty, update of /etc/filesystems, was covered in 7.4.1, “Client side mount problems” on page 146.

The most common issue is whether to use a *hard* mount or a *soft* mount. A soft mount will try to re-transmit a number of times. This re-transmit value is defined by the *retrans* option. After the set number of retransmissions has been used, the soft mount gives up and returns an error.

A hard mount retries a request until a server responds. The hard option is the default value. On hard mounts, the *intr* option should be used to allow a user to interrupt a system call that is waiting on a crashed server.

Both hard mounts and soft mounts use the *timeo* option, to calculate the time between re-transmits. The default value is 0.7 seconds for the first time-out, After that, it increases the time-out exponentially until a maximum of 30 seconds, where it stabilizes until a reply is received. Depending of the value set for the *retrans* option, the soft mount has probably given up already at this stage. When discussing time-outs and hard mounts, you should choose between two other mount options, *proto* TCP or UDP.

When using UDP, it is important to understand that if a write or read packet is lost on the network or dropped at the server, the full time-out interval will expire before the packet is retransmitted from the client. On UDP, there is no intermediate-ack mechanism that would inform the client, for example, that the server only received five of the expected six write fragment packets.

The reliable delivery mechanisms built into TCP will help maintain good performance in networks where the unreliable UDP transport fails. The reason is that TCP uses a packet level delivery acknowledgment mechanism that keeps fragments from being lost. Recall that lost fragments on UDP require re-sending the entire read or write request after a time-out expires. TCP avoids this by guaranteeing delivery of the request.

Finally, there is the choice of mounting in the background (bg) or in the foreground (fg). If the bg is defined and an NFS server does not answer a mount request, then another mount process will start in the background and keep trying to establish the mount. By this method, the mount process is free to process another mount request. Define the bg in the /etc/filesystems file when establishing a predefined mount that will be mounted during system startup. Mounts that are non-interruptible and running in the foreground can hang the client if the network or server is down when the client system starts up. If a client cannot access the network or server, the user must start the machine again in maintenance mode and edit the appropriate mount requests.

This applies to the default mount options, which are TCP, NFS Version 3, and hard mount in the background (on test system running 4.3.3, but the documentation, at the time of publication, states that fg is default).

### 7.4.3 Client performance considerations

A client performance discussion often concentrates on the number of biods used. For biod daemons, there is a default number of biods (six for a V2 mount, four for a V3 mount) that may operate on any one remote mounted file system at one time. The idea behind this limitation is that allowing more than a set number of biods to operate against the server at one time may over load the server. Since this is configurable on a per-mount basis on the client, adjustments can be made to configure client mounts by the server capabilities.

When evaluating how many biods to run, you should consider the server capabilities as well as the typical NFS usage on the client machine. If there are multiple users or multiple process on the client that will need to perform NFS operations to the same NFS mounted file systems, you have to be aware that contention for biod services can occur with just two simultaneous read or write operations.

Since up to six biods can be working on reading a file in one NFS file system, if another read starts in another NFS mounted file system, both reads will be attempting to use all six biods. In this case, presuming that the server(s) are not already overloaded, performance will likely improve by increasing the biod number to 12. This can be done using the `chnfs` command:

```
chnfs -b 12
```

On the other hand, suppose both file systems are mounted from the same server and the server is already operating at peak capacity. Adding another

six bids could actually decrease the response dramatically due to the server dropping packets and resulting in time-outs and retransmits.

There are also some mount options that may improve the performance on the client. The most useful options are used to set the read and write sizes to some value that changes the read/write packet size that is sent to the server.

For NFS Version 3 mounts, the read/write sizes can be both increased and decreased. The default read/write sizes are 32 KB. The maximum possible on AIX at the time of publication is 61440 bytes (60 x 1024). Using 60 KB read/write sizes may provide slight performance improvement in specialized environments. To increase the read/write sizes when both server and client are AIX machines requires modifying settings on both machines. On the client, the mount must be performed setting up the read/write sizes with the `-o` option. For example: `-o rsize=61440, wsize=61440`. On the server, the advertised maximum read/write size is configured through use of the `nfso` command using the `nfs_max_write_size` and `nfs_max_read_size` parameters. For example:

```
nfso -o nfs_max_write_size=61440
```

---

## 7.5 Automount

Automount is used for automatic and transparent mounting and unmounting of file systems. Automount monitors specified directory mount points. When a file I/O operation is requested to that mount point, the automountd daemon performs the RPC call (or the system call) to complete the mount. Any directories that do not already exist on the client will be created. AIX Version 4.3.1 and earlier used a daemon called automount, and from AIX 4.3.2 is the AutoFS used for automount. AutoFS provides automatic mount of many types of file systems, for example CDRFS and JFS. The daemon in AutoFS is called automountd. In AIX Version 4.3.2 and later the `automount` is just a command, not a daemon.

As discussed, AutoFS allows file systems to be mounted as needed. With this method of mounting directories, all file systems do not need to be mounted all of the time; only those being used are mounted.

For example, to mount an NFS directory automatically, first check that the server has exported the directory by using the `showmount` command:

```
showmount -e server3
export list for server3:
/tmp/thomasc server1,server2,server4
/tmp/thomasc/testfs (everyone)
/home (everyone)
```

Then create a AutoFS map file. Any file name can be used although it is a good idea to define if a indirect map or a direct map is used. Automountd will mount and unmount the directories in this map file.

### 7.5.1 Indirect maps

In this section, we will discuss how to use indirect maps.

Start by editing a file to look like the example file `mount.indirect.map`. Because this is a configuration file, it is usually placed in the `/etc` file system, but in the examples below is the `/tmp` directory used. Start with defining the mount point to be used by automountd. Then define the options (if such are needed) and finally enter the path to the server directory, just like a normal mount.

```
more mount.indirect.map
S3testfs -rw server3:/tmp/thomasc/testfs
```

Then start the automountd with:

```
startsrc -s automountd
0513-059 The automountd Subsystem has been started. Subsystem PID is 22574.
```

At this stage, you can see that the only thing that has been done is editing a file and starting a daemon. To make this work, you have to define for the `automount` command where the parent directory is for the autoFS mount point directory (`S3testfs`), defined in the `mount.indirect.map` file. This is done in the following way:

```
automount -m /tmp/thomasc /tmp/mount.indirect.map
```

NIS is sometimes used to propagate map files to NFS clients. The `-m` flag tells the automount facility not to use NIS.

After the initiation of the automount facility, there is an entry in the mount table that tells us that automountd will look at the entries in

/tmp/mount.indirect.map for reference when creating mount points under the parent directory /tmp/thomasc. (The mount point will be /tmp/thomasc/S3testfs).

```
mount
node mounted mounted vfs date options
 over

/dev/hd4 / / jfs Jun 11 16:46 rw,log=/dev/hd8
/dev/hd2 /usr /usr jfs Jun 11 16:46 rw,log=/dev/hd8
/dev/hd9var /var /var jfs Jun 11 16:47 rw,log=/dev/hd8
/dev/hd3 /tmp /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
/dev/hd1 /home /home jfs Jun 11 16:47 rw,log=/dev/hd8
/tmp/mount.indirect.map /tmp/thomasc autofs rw,ignore
(the output has been edited to fit the screen - the timestamp is removed)
```

When issuing a long listing of the content of /tmp/thomasc there will, at this point, be no entries, because the mount point to monitor is S3testfs.

```
ls -la
total 536873840
dr-xr-xr-x 2 root system 2 Jun 22 14:33 .
drwxrwxrwt 18 bin bin 1024 Jun 22 14:12 ..
```

When issuing a long listing of one of the mount points, the mount will occur, as well as the creation of the mount point.

```
ls -la S3testfs
total 537196352
drwxr-sr-x 12 thomasc staff 512 Jun 22 11:03 .
dr-xr-xr-x 2 root system 3 Jun 22 14:34 ..
drwxr-xr-x 3 root sys 512 Jun 19 15:53 dumpfmt
drwxr-xr-x 2 root sys 512 Jun 19 15:53 findcore
```

The mount point will only exist as long as the mount is valid. As mentioned before, the automount facility also handles the unmount of the file systems. The activity in the file system defines when the unmount will occur. If nobody uses the file system (no process uses the directory as \$PWD), two time-out values are used.

The first one, -tl (time to live), defines the time in seconds that the automountd should wait before attempting to unmount a quiescent file system. The default value is 300 seconds.

The other one, `-tw` (time to wait), defines the number of seconds to wait before the daemon retries to unmount the file system in the previous unmount attempt was unsuccessful. The default is 60 seconds.

To change these time-out values, use the flags with the `automount` command. For example:

```
automount -m -tl 600 -tw 300 /tmp/mount.indirect.map /tmp/thomasc
```

In the mount table, the actual mount will appear:

```
mount
node mounted mounted over vfs date options

/dev/hd4 / /
/dev/hd2 /usr /usr
/dev/hd9var /var /var
/dev/hd3 /tmp /tmp
/dev/hd1 /home /home
/tmp/mount.indirect.map /tmp/thomasc /tmp/thomasc autofs rw,ignore
server3 /tmp/thomasc/testfs /tmp/thomasc/S3testfs nfs3 Jun 22 14:34 rw
```

In the preceding example, an indirect map file is used. As seen in the map file (`/tmp/mount.indirect.map`), the mount points are defined with relative paths. This provides the administrator the opportunity to use another parent directory.

## 7.5.2 Direct maps

The other map file used with `automount` is a direct map file. In the direct map file the absolute path to the mount point is defined. In the following example `/tmp/thomasc` and `/home/remote`:

```
more /tmp/mount.direct.map
/home/remote server3:/home
```

The initiation of the `mount` command differs from the indirect `automount` in the sense that you do not need to point out the parent directory that is specified in the direct map. This is defined by the use of `/-`. The mount point will also be created at this point, if it did not already exist. To initiate the `automount` with a direct map (`auto.direct.map`), use the following command:

```
automount -m /- /tmp/mount.direct.map
```

When using direct maps, the mount table will appear slightly different. Instead of pointing out one file which has the mount points defined, one mount point definition is defined in the mount list for each entry in the direct map:

```
mount
 node mounted mounted vfs date options
 over

 /dev/hd4 / jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd2 /usr jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd9var /var jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd3 /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd1 /home jfs Jun 11 16:47 rw,log=/dev/hd8
/tmp/mount.direct.map /home/remote autofs Jun 22 15:02 rw,ignore
```

This does not mean that the actual mount has occurred. The actual mount request will be sent to the server when the mount point is used. The output in the mount table will then show also a mount point for the actual mount point:

```
mount
 node mounted mounted vfs date options
 over

 /dev/hd4 / jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd2 /usr jfs Jun 11 16:46 rw,log=/dev/hd8
 /dev/hd9var /var jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd3 /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
 /dev/hd1 /home jfs Jun 11 16:47 rw,log=/dev/hd8
/tmp/auto.direct.map /home/remote autofs Jun 22 15:11 rw,ignore
server3 /home /home/remote nfs3 Jun 22 15:18 rw
```

### 7.5.3 Auto.master map

In the earlier examples, the `automount` commands are used with arguments (the map files), but when initiated without arguments, `automount` consults the master map for a list of `autofs` mount points and their maps. This gives you an easy way to start several map files, both indirect and direct, at the same time. This file can be called `/etc/auto.master` or `/etc/auto_master`.

It mounts any `autofs` mounts that are not already mounted, and unmounts `autofs` mounts that have been removed from the master map or direct map.

The syntax of the `auto.master` file is simple. Just point out the parent directory for indirect automounts, and point out with that special flag `/-`, that the direct

map includes the absolute path. The two mapfiles used in the previous examples will be used in the following example:

```
more /etc/auto.master
/tmp/thomasc /tmp/mount.indirect.map
/- /tmp/mount.direct.map
```

Because the syntax for the indirect and the direct maps are included in the auto.master, you only need to tell the automount command which file to read. For example:

```
automount -m -f auto.master

mount
node mounted mounted over vfs date options

/dev/hd4 / / jfs Jun 11 16:46 rw,log=/dev/hd8
/dev/hd2 /usr /usr jfs Jun 11 16:46 rw,log=/dev/hd8
/dev/hd9var /var /var jfs Jun 11 16:47 rw,log=/dev/hd8
/dev/hd3 /tmp /tmp jfs Jun 11 16:47 rw,log=/dev/hd8
/dev/hd1 /home /home jfs Jun 11 16:47 rw,log=/dev/hd8
/tmp/mount.indirect.map /tmp/thomasc autofs Jun 22 16:21 ignore
/tmp/mount.direct.map /home/remote autofs Jun 22 16:21 ignore
```

The mount table appears as expected. The access of the mount point directories will next initiate the actual mount as defined in the indirect and the direct map file.

---

## 7.6 Summary

NFS is used for transparent mount of remote file systems.

### 7.6.1 Protocols

NFS can use UDP or TCP on the transport layer.

NFS uses XDR for interpreting data representation between different hardware architectures.

NFS uses RPC for transparent remote execution of calls.

### 7.6.2 Daemons

The portmap registers all NFS daemons.

- `rpcinfo -p` is used to check what programs are registered.

The rc.mountd handles mount requests on the server.

- It uses /etc/xtab to verify exports.
- `showmount -a` shows exports.
- `showmount -e <server>` shows what file systems are exported.

Nfsd on the server answers all client requests, except mount requests.

- As default 8 nfsd are started from /etc/rc.nfs.

Biod handles all write and read requests at the client side.

- Up to 6 biods can work on one mount point.

Rc.lockd and rc.statd handles locking request and information.

### 7.6.3 Files

The /etc/exports file is edited with file systems to be exported.

The /etc/xtab files is generated with the exportfs command and is used by the rpc.mountd at mount requests.

The /etc/rmtab file has records of active exports.

In /etc/rpc is a list of server names and their corresponding RPC program number.

---

## 7.7 Command summary

The following section provides a list of the key commands discussed in this chapter. For a complete reference of the following commands, consult the AIX product documentation.

### 7.7.1 The showmount command

Displays a list of all clients that have remotely mounted file systems.

The syntax of the `showmount` command is:

```
showmount [-a] [-d] [-e] [Host]
```

Some useful `showmount` flags are provided in Table 22.

Table 22. Commonly used flags of the `showmount` command

| Flag        | Description                  |
|-------------|------------------------------|
| -a          | Shows active mounts.         |
| -e <server> | Shows exported file systems. |

### 7.7.2 The `exportfs` command

Exports and unexports directories to NFS clients.

The syntax of the `exportfs` command is:

```
exportfs [-a] [-v] [-u] [-i] [-fFile] [-oOption [,Option ...]] [Directory]
```

Some useful `exportfs` flags are provided in Table 23.

Table 23. Commonly used flags of the `exportfs` command

| Flags       | Description                                                               |
|-------------|---------------------------------------------------------------------------|
| -a          | Exports all filesets defined in <code>/etc/exports</code> .               |
| -u          | Unexports the directories you specify; can be used with <code>-a</code> . |
| -o <option> | Specifies optional characteristics for the exported directory.            |

### 7.7.3 The `mount` command

Makes a file system available for use.

The syntax of the `mount` command is:

```
mount [-f] [-n Node] [-o Options] [-p] [-r] [-v VfsName] [-t Type | [Device | Node:Directory] Directory | all | -a] [-V [generic_options] special_mount_points
```

Some useful `mount` flags are provided in Table 24.

Table 24. Commonly used flags of the `mount` command

| Flags      | Description                                                                                                           |
|------------|-----------------------------------------------------------------------------------------------------------------------|
| -[a   all] | Mounts all file systems in the <code>/etc/filesystems</code> file with stanzas that contain the true mount attribute. |
| -n <node>  | Specifies the remote node that holds the directory to be mounted.                                                     |
| -o fg      | Foreground mount attempt.                                                                                             |

| Flags              | Description                                                                 |
|--------------------|-----------------------------------------------------------------------------|
| -o bg              | Background mount attempts.                                                  |
| -o proto=[tcp udp] | Protocol to use.                                                            |
| -o vers=[2 3]      | NFS version to use.                                                         |
| -o soft            | Returns an error if the server does not respond.                            |
| -o hard            | Retries a request until server responds.                                    |
| -o intr            | Allows keyboard interrupts on hard mounts.                                  |
| -o timeo=n         | Sets the Network File System (NFS) time-out period to n tenths of a second. |
| -o retrans=n       | Sets the number of NFS transmissions to n.                                  |

### 7.7.4 The iptrace command

Provides interface-level packet tracing for Internet protocols.

The syntax of the `iptrace` command is:

```
iptrace [-a] [-e] [-PProtocol] [-iInterface] [-pPort] [-sHost [
-b]] [-dHost [-b]] LogFile
```

Some useful `iptrace` flags are provided in Table 25.

Table 25. Commonly used flags of the `iptrace` command

| Flags     | Description                                                                 |
|-----------|-----------------------------------------------------------------------------|
| -a        | Suppresses ARP packets.                                                     |
| -s <host> | Records packets coming from the source host specified by the host variable. |
| -b        | Changes the -d or -s flags to bidirectional mode.                           |

### 7.7.5 The ipreport command

Generates a packet trace report from the specified packet trace file.

The syntax of the `ipreport` command is:

```
ipreport [-e] [-r] [-n] [-s] LogFile
```

Some useful `ipreport` flags are provided in Table 26.

Table 26. Commonly used flags of the `ipreport` command

| Flags | Description                                                                         |
|-------|-------------------------------------------------------------------------------------|
| -s    | Prepends the protocol specification to every line in a packet.                      |
| -r    | Decodes remote procedure call (RPC) packets.                                        |
| -n    | Includes a packet number to facilitate easy comparison of different output formats. |

### 7.7.6 The `netstat` command

Shows network status.

The syntax of the `netstat` command is:

To display active sockets for each protocol or routing table information

```
/bin/netstat [-n] [{ -A -a } | { -r -i -I Interface }] [-f
AddressFamily] [-p Protocol] [Interval] [System]
```

To display the contents of a network data structure

```
/bin/netstat [-m | -s | -ss | -u | -v] [-f AddressFamily] [-p
Protocol] [Interval] [System]
```

To display the packet counts throughout the communications subsystem

```
/bin/netstat -D
```

To display the network buffer cache statistics

```
/bin/netstat -c
```

To display the data link provider interface statistics

```
/bin/netstat -P
```

To clear the associated statistics

```
/bin/netstat [-Zc | -Zi | -Zm | -Zs]
```

Some useful `netstat` flags from an NFS point of view are provided in Table 27.

Table 27. Commonly used flags of the `netstat` command

| Flags         | Description                                                                                     |
|---------------|-------------------------------------------------------------------------------------------------|
| -P <protocol> | Shows statistics about the value specified for the Protocol variable.                           |
| -s            | Shows statistics for each protocol.                                                             |
| -D            | Shows the number of packets received, transmitted, and dropped in the communications subsystem. |

### 7.7.7 The `chnfs` command

Changes the configuration of the system to invoke a specified number of `biod` and `nfsd` daemons.

The syntax of the `chnfs` command is:

```
chnfs [-n NumberOfNfsd] [-b NumberOfBiod] [-I | -B | -N]
```

Some useful `chnfs` flags are provided in Table 28.

Table 28. Commonly used flags of the `chnfs` command

| Flags      | Description                                                             |
|------------|-------------------------------------------------------------------------|
| -n <value> | Specifies the number of <code>nfsd</code> daemons to run on the system. |
| -b <value> | Specifies the number of <code>biod</code> daemons to run on the system. |

### 7.7.8 The `rpcinfo` command

Reports the status of Remote Procedure Call (RPC) servers.

The syntax of the `rpcinfo` command is:

To Display a List of Statistics

```
/usr/bin/rpcinfo [-m | -s] [Host]
```

To Display a List of Registered RPC Programs

```
/usr/bin/rpcinfo -p [Host]
```

To Report Transport

```
/usr/bin/rpcinfo -T transport Host Program [Versnum]
```

### To Display a List of Entries

```
/usr/bin/rpcinfo -l [-T transport] Host Prognum Versnum
```

### To Report Program Status

```
/usr/bin/rpcinfo [-n PortNum] -u Host Prognum [Versnum]
```

### To Report Response Status

```
/usr/bin/rpcinfo [-n PortNum] -t Host Prognum [Versnum]
```

### To Display All Hosts Running a Specified Program Version

```
/usr/bin/rpcinfo [-b] [-T transport] Prognum Versnum
```

### To Delete Registration of a Service

```
/usr/bin/rpcinfo [-d] [-T transport] Prognum Versnum
```

Some useful `rpcinfo` flags are provided in Table 29.

Table 29. Commonly used flags of the `rpcinfo` command

| Flags     | Description                                                                                |
|-----------|--------------------------------------------------------------------------------------------|
| -p <host> | Probes the portmap service on the host and displays a list of all registered RPC programs. |
| -m <host> | Displays a table of portmap operations statistics on the specified host.                   |
| -s <host> | Displays a concise list of all registered RPC programs on the host.                        |

---

## 7.8 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. A machine is required to mount remote file systems. Which of the following services should be used?
  - A. NFS
  - B. NIS
  - C. NTP
  - D. DHCP

2. By default, which of the following file system on the AIX NFS client will the AIX automount daemon mount file systems from the NFS server?
- A. / file system
  - B. /tmp file system
  - C. /mnt file system
  - D. /var file system
3. In the case where file integrity is very important, which of the following types of mount is most appropriate for an NFS-mounted writable file system?
- A. Soft mount
  - B. Hard mount
  - C. Background mount
  - D. Foreground mount
4. Which of the following types of mount is best where an NFS server crash should have the minimum effect on the state of the client machine?
- A. Soft mount
  - B. Hard mount
  - C. Foreground mount
  - D. Background mount
5. Given the following contents of the `/etc/exports` file of an AIX NFS server, which of the following conclusions is the most appropriate to draw?
- ```
/usr/local/bin  
/src -access=anyone  
/usr/spool/mail -root=rs1, -access=rs1
```
- A. /src can be written to by root
 - B. /usr/local/bin can be accessed by any NFS client
 - C. Machine rs1 has read-only access to /usr/spool/mail.
 - D. The /src file system can be accessed by any NFS client.

6. Given the following contents of the `/etc/exports` file of an AIX NFS server, which of the following conclusions is the most appropriate to draw?

```
/usr/local -rw=dopey:hungry:grumpy
/src -access=anyone,ro
/usr/spool/mail -root=rs1,-access=rs1
```

- A. `/src` can be written to by root
- B. `/src` can be written to by a machine named anyone
- C. machine rs1 has read-only access to `/usr/spool/mail`
- D. The `/usr/local` directory can be written to by machine grumpy
7. A `/home` directory from the NFS server MachineA is trying to be mounted to the mount point `/MachineA/home` on the NFS client MachineB. Which of the following diagnostic utilities should be used to determine why the mount is hanging?
- A. `diag`
- B. `errpt`
- C. `nfsstat`
- D. `iptrace`
8. The `/MachineA/home` has been mounted and has been used for several days. Currently, all commands which try to reference files in `/MachineA/home` hang. `Rpcinfo` shows that all rpc services on MachineA are registered. Which of the following is the most probable cause?
- A. MachineA is down
- B. `nfsd` is not running on MachineA
- C. `Securetcpi` has been run on MachineA
- D. `/home` has been unexported on MachineA
9. It becomes necessary to unmount `/home` from the client. `Unmount` gives a message warning that the unmount timed out. Which of the following is the most probable cause?
- A. `nfsd` is no longer running on the client
- B. `rpc.mountd` is not running on the client
- C. `rpc.mountd` is not running on the server
- D. `/home` has been unexported on the client

10. Machine A is being used as a large file repository and must be capable of transferring large amounts of data both to and from the network. Performance is the primary concern in this case. Instructions have been sent forth to tune the network for optimal performance.

NFS performance problems have been reported on a server. In order to check for socket buffer overflows, which of the following commands should be used?

- A. `nfso`
- B. `nfsstat`
- C. `netstat`
- D. `enstat`

7.8.1 Answers

The following are the preferred answers to the questions provided in this section.

- 1. A
- 2. B
- 3. B
- 4. A
- 5. B
- 6. D
- 7. D
- 8. B
- 9. C
- 10. C

7.9 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

- 1. Start `iptrace` and trace a long listing of mounts and a file creation in the NFS mounted file system. Try to make a drawing of the bidirectional traffic going between the NFS daemons from the output of the `iptrace`.
- 2. Use the `auto.master` file to point out both an indirect and a direct map. What differences are there in the use of mount points between indirect and

direct mounts? Run iptrace when accessing an indirect mount point. What RPCs are used for the action?

Chapter 8. Domain Name System (DNS)

The following topics are discussed in this chapter:

- The Domain Name System concept.
- Setting up the DNS server.
- Setting up the DNS client.

This chapter introduces the Domain Name System concept. It also describes the configuration of the name server and DNS client.

8.1 DNS overview

When you want connect to another system you can use command `telnet server4`. TCP/IP will examine the `/etc/hosts` file for a host `server4`, and then read off the IP address. The host's table-based name resolution is convenient for reasonably small networks with few entries to include in the `/etc/hosts` file. The practice of maintaining identical `/etc/hosts` files on all UNIX hosts is a time-demanding method, as it requires that changes made to one must be consistently implemented in all others. This approach can easily become impractical as the size of the network increases.

Due to the growth of the number of hosts, this mechanism became too cumbersome and was replaced by a new concept: *Domain Name System*. Hosts can continue to use a local flat namespace (`/etc/hosts` file) instead of or in addition to, the DNS. The Domain Name System allows a program running on a host to perform the mapping of a high-level symbolic name to an IP address for any other host without the need for every host to have a complete database of host names.

DNS is configured on client/server basis. The server is the name server which makes its data available to the clients. The clients (resolver) generate the query that goes to the name server requesting name serving information. DNS is implemented by the named daemon in TCP/IP

8.1.1 The DNS hierarchy

The hierarchical structure of the DNS system enables the distribution and delegation of responsibility for host name-to-IP-address mapping. Whereas the `/etc/hosts` file requires an entry for every possible system you might wish to connect to, DNS requires only that you maintain the data for your administrative domain. Host lookups for a given domain are then serviced by the domain's name server. A DNS hierarchy is organized into an inverted tree

that can be traversed to service request for hosts from another domain. See Figure 32 on page 170 for graphical representation of the DNS hierarchy.

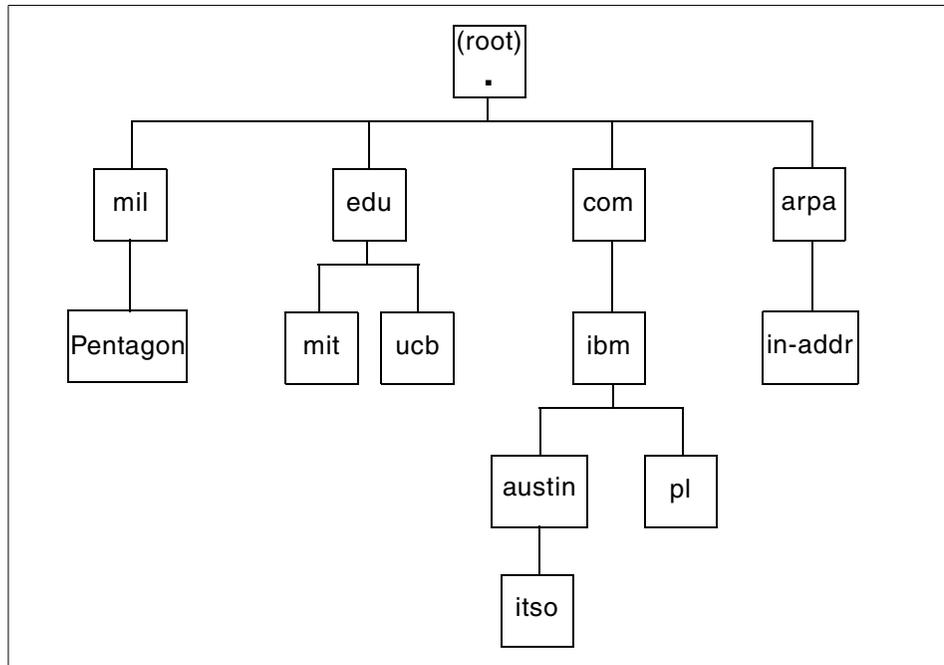


Figure 32. DNS structure

This structure has a root domain at the highest level. All domains under the root domain (*com*, *edu*, *mil*, and others) are called top-level domains. A fully qualified domain name is the sequence of names from the local domain up to the root. Each of the top-level domains are subdivided into subdomains. The root name server knows where all the name servers are from top-level domains.

There is one special domain named *in-addr.arpa* which was created to solve the problem of mapping IP address to host names. IP address are represented in PTR resource records as a domain name, so now it is possible to perform inverse addressing with the same efficiency as regular name service lookup.

8.1.2 Domain name resolution

The domain name resolution process proceeds in the following steps:

1. A user program issues a request for the IP address of a host by passing the host name.
2. The resolver formulates a query to the name server.
3. The name server checks to see if the answer is in its local authoritative database or cache, and if so, returns it to the client. Otherwise, it will query other available name servers, starting down from the root of the DNS tree or as high up the tree as possible.
4. The user program will finally be given a corresponding IP address.

The query and reply messages are transported by either UDP or TCP.

8.1.3 DNS resource records

Basically, a DNS resource record (RR) is an entry in the DNS database that specifies information for some resources. RRs are stored in the DNS database files, which are read when the DNS server is started. The most common RR are show in Table 30 on page 171.

Table 30. Common DNS resource record types.

Record type	Description
SOA	Start Of Authority: Specifies which hosts is the definitive authority or primary source of the domain data. An SOA record is required for each defined domain and only one SOA record per database file is permitted.
NS	Name Server: Specifies the name server for the domain. It is possible to have multiple name servers, there should be an entry for each name server in the domain.
A	Address: Each reachable host in the domain will require that an A record to be maintained so that name server can perform hostname-to-IP-address mapping.
CNAME	Canonical Name: Used in specification of hostname alias.
PTR	Pointier: The PRT record performs the inverse function of A record, that is, IP-address-to-hostname mapping.
MX	Mail Exchanger: Specifies a host that provides advance e-mail routing capabilities for the domain.

8.1.4 DNS components

As previously mentioned, DNS is a service that performs hostname-to-IP-address mapping and it uses a distributed hierarchical database to maintain mapping. This system consists of a few components: primary server, secondary server, and DNS client.

8.1.4.1 Primary server

The primary name server provides authoritative name lookup response for the zone it serves. Authoritative response means that the zone data files that are maintained by the network administrator reside on this server.

8.1.4.2 Secondary server

The secondary server provides the same services as the primary server, but the data for the zone is not kept locally, but is obtained from the primary authoritative server. This data requesting is called zone transfer. Response to queries from a secondary server are known as non-authoritative response.

8.1.4.3 Caching-only servers

A name server that does not have authority for any zone is called a caching-only name server. A caching-only name server obtains all of its data from primary or secondary name servers as required. Once an answer is received back, the caching-only name server will cache the answer.

8.1.4.4 Forwarders

This configuration causes the server to forward queries on to another name server for resolution. Name service lookups to this type of server will be forwarded to specified name server.

8.2 Setting up a primary DNS server

Configuring a DNS server requires several files and databases to be modified or created. The process is time-consuming, but is done only once. Configuration steps are as follow:

1. Create the `/etc/named.boot`.
2. Create the name zone file.
3. Create IP zone file.
4. Create local IP zone file.
5. Create the cache file.
6. Start named daemon.

8.2.1 The /etc/named.boot file

The /etc/named.boot file is read by the named daemon when it starts. It specifies the location of the database files. The following is a simple /etc/named.boot file for domain test.ibm.com and for network 9.3.40.0:

```
# cat /etc/named.boot
directory      /etc
primary        test.ibm.com      named.test
primary        240.3.9.in-addr.arpa  named.rev.240
primary        0.0.127.in-addr.arpa  named.rev.local
cache          .                  named.cache
```

This file has following attributes:

- The directory entry tells the named daemon where configuration files are located. In this example, files are stored in /etc directory.
- The primary entry indicates the domain for which this named daemon is the primary name server and file which contains name-to-address resolution mapping information for all machines in the name server's zone of authority. As you can see in the examples, this is the primary server for domain test.ibm.com; mappings are stored in /etc/named.test file.
- The third line points to the file /etc/named.rev.240 which maps IP address for network 9.3.240.0. This is for reverse name resolution purpose. The name server is primary server for reverse domain 240.3.9.in-addr.arpa. In this file subnetwork, addresses are listed in reverse order because the IP addresses have the most significant octets first.
- The fourth line is the statement for loopback.
- The last line describes the cache file. Cache file contains addresses for the root domain servers.

Note

You can use any file name you want for data file with the exception of /etc/named.boot file name.

8.2.2 The name zone file

The host's data file is one of the data files and contains name-to-address resolution mapping information for all machines in the name server's zone of authority. IBM provides two awk scripts that can help you build name zone files. Be careful when you decide to use these scripts; they do not generate perfect zone files. The /usr/samples/tcpip/hosts.awk builds the

name-to-IP-address database and /usr/samples/tcpip/addr.awk builds the reverse IP file. Here is an example of use these scripts:

```
# cd /usr/samples/tcpip/  
# ./hosts.awk /etc/hosts > /etc/named.test  
# ./addr.awk /etc/hosts > /etc/named.rev.240
```

The primary server name zone file for network test.ibm.com, stored on host server4.test.ibm.com in the file /etc/named.test, contains the following entries:

```
# cat /etc/named.test  
@          9999999 IN      SOA      server4.test.ibm.com.  
root.server4.test.ibm.com. (   
                                1.1          ; Serial  
                                3600         ; Refresh  
                                300          ; Retry  
                                3600000      ; Expire  
                                86400 )      ; Minimum TTL  
  
          9999999 IN      NS       server4  
loopback  9999999 IN      A        127.0.0.1    ; loopback (lo0)  
localhost 9999999 IN      CNAME    loopback  
gateway   9999999 IN      A        9.3.240.1  
server4   9999999 IN      A        9.3.240.59  
dns       9999999 IN      CNAME    server4  
server3   9999999 IN      A        9.3.240.58  
server1   9999999 IN      A        9.3.240.56  
server2   9999999 IN      A        9.3.240.57
```

The SOA record indicates the start of a zone of authority. There should be only one SOA record per zone. However, the SOA record for the zone should be in each name zone file and IP zone file on each name server in the zone. As you can see in the previous example, the name zone file starts with an SOA record. Its structure corresponds to the following format:

{Name}	{TTL}	AddressClass	RecordType	Origin	PersonInCharge
@		IN	SOA	domain.com	dnsmaster.domain.com
	(1.1		;Serial		
	3600		;Refresh		
	600		;Retry		
	3600000		;Expire		
	86400)		;Minimum TTL		

Fields in the SOA record and their meanings:

Name	Name of the zone.
TTL	Time to live. A value 9999999 means no time-out.
AddressClass	Internet (IN).
RecordType	Start of authority (SOA).
Origin	Name of the host on which this data file resides.
PersonInCharge	Person responsible for keeping the data file current. The format is similar to a mailing address, but the @ (at sign) that normally separates the user from the host name is replaced by a . (period).
Serial	Version number of this data file. This number should be incremented each time a change is made to the data. The upper limit for the number to the right of the decimal point is 9999. The secondary name server checks this value to see if it needs to download information again.
Refresh	The number of seconds after which a secondary name server checks with the primary name server to see if an update is needed.
Retry	The number of seconds after which a secondary name server is to retry after a refresh attempt fails.
Expire	The upper limit in seconds that a secondary name server can use the data before it expires because it has not been refreshed.
Minimum TTL	The minimum time, in seconds, to use as time-to-live values in resource records.

Below the SOA record there are entries with name-to-IP-address mapping. The first column indicates host name. The second column defines the length of time, in seconds, that the information from this record should stay in cache. If there is no value, the default becomes the value of the Minimum TTL field in SOA. The third field defines the class of address; IN means Internet address. The next column is the class of record (refer to Table 30 on page 171). The last column contains IP address.

8.2.3 The IP zone file

An IP zone file is used for IP-address-to-name mapping. It looks similar to name zone file with the exception of class of record. What is new in this file is the PTR resource record type in the type field. The PTR records provide

address-to-name conversions. The host name in the last column is fully qualified. The primary server IP zone file for network test.ibm.com, stored on host server4.test.ibm.com in the file /etc/named.rev.240, contains the following entries:

```
# cat /etc/named.rev.240
@           9999999 IN      SOA      server4.test.ibm.com.
root.server4.test.ibm.com. (
                                1.1          ; Serial
                                3600         ; Refresh
                                300          ; Retry
                                3600000      ; Expire
                                86400 )      ; Minimum
9999999     IN      NS      server4.test.ibm.com.
1           IN      PTR     gateway.test.ibm.com.
59          IN      PTR     server4.test.ibm.com.
58          IN      PTR     server3.test.ibm.com.
56          IN      PTR     server1.test.ibm.com.
57          IN      PTR     server2.test.ibm.com.
```

As previously discussed, use the awk script: /usr/samples/tcpip/addr.awk to create this file.

8.2.4 The local IP zone file

The local IP zone file contains the PTR record for loopback address. The SOA record is not required in this file. The presence of the @ sign indicates the current domain. In the example of a primary name server this file is named named.rev.local and is located in the /etc directory. The following example shows the content of this file:

```
# cat /etc/named.rev.local
@           IN      NS      server4.test.ibm.com.
1.0.0.127  IN      PTR     loopback.
```

8.2.5 The root cache file

Now that all the local information is complete, the name server needs to know about the root name server for the domain. This data is known as the root cache. The root server for the example name server is the machine dhcp240.itsc.austin.ibm.com with IP address 9.3.240.2. The root cache file looks like:

```
# cat /etc/named.cache
.           9999999 IN NS dhcp240.itsc.austin.ibm.com.
dhcp240.itsc.austin.ibm.com. 9999999 IN A 9.3.240.2
```

The dot in the first line indicates the default domain.

8.2.6 Starting named daemon

Create an `/etc/resolv.conf` file by issuing the following command:

```
touch /etc/resolv.conf
```

The presence of this file indicates that the host should use a name server, not the `/etc/hosts` file, for name resolution. This file must exist on a name server host and either may contain the local host's address and the loopback address or be empty. Alternatively, the `/etc/resolv.conf` file may contain the following entry:

```
# cat /etc/resolv.conf
nameserver 127.0.0.1
domain test.ibm.com
```

The 127.0.0.1 address is the loopback address, which causes the host to access itself as the name server.

Next, change the host name to a fully qualified domain name using `smitty hostname` or using `chdev` command:

```
# chdev -l inet0 -a hostname=server4.test.ibm.com
inet0 changed
```

Now you can start the named daemon with command `startsrc -s named`. The `/etc/rc.tcpip` file must be changed so that named daemon will be started at the system reboot.

8.3 Setting up a secondary DNS server

The difference between the primary and secondary name server is where they get their information. The primary reads its own files, but the secondary downloads information from the primary using a zone transfer. Periodically, the secondary name server checks in with the primary server to see if the database has changed. The advantage of a secondary name server is there is no maintenance of files. All the file maintenance is done on the primary name server. The `/etc/named.boot` file, local IP zone file, and cache file must be created on secondary. They are not part of the zone transfer.

8.3.1 `/etc/named.boot` file for secondary name server

The `/etc/named.boot` for a secondary name server looks the same as used in a primary name server except that the IP address for the primary server is added. This addition tells the name server that it is the secondary name server for the specified domain. This server is only the primary name server for localhost. This is the example `/etc/named.boot` file for the secondary name server:

```
# cat /etc/named.boot
directory      /etc
secondary     test.ibm.com      9.3.240.59      named.test.bak
secondary     240.3.9.in-addr.arpa  9.3.240.59      named.rev.240.bak
primary       0.0.127.in-addr.arpa  named.rev.local
cache         .                    named.cache
```

8.3.2 Local IP zone file for secondary name server

The local IP zone file appears the same as what was entered on the primary name server with the exception of indicating itself in the SOA and NS record.

```
# cat /etc/named.rev.local
@                9999999 IN      SOA      server3.test.ibm.com.
root.server3.test.ibm.com. (
                                1.0          ; Serial
                                3600          ; Refresh
                                300           ; Retry
                                3600000       ; Expire
                                86400 )       ; Minimum TTL

                                IN      NS      server3.test.ibm.com.
1      IN      PTR   loopback.
```

8.3.3 Starting up a secondary name server

Before you start the named daemon, you must copy the root cache file from the primary name server and create empty file `/etc/resolv.conf`:

```
# touch /etc/resolv.conf
```

Now you are ready to start the daemon. You can use either `startsrc -s named` or `smitty stnamed`. Remember to uncomment the appropriate line in the `/etc/rc.tcpip` file to make named started automatically after reboot.

After you start the named daemon files, `/etc/named.test.bak` and `/etc/named.rev.240.bak` will be created from the primary name server's database.

8.4 Setting up a cache-only name server

This name server is not authoritative for any domains except for localhost. It just responds to clients based on its queries to the other name servers. Every resolved query is cached so it can later respond to clients using its cache. To configure it, you just need set up `/etc/named.boot` file, local IP zone file for localhost, and the cache file.

The `/etc/named.boot` file appears as follows:

```
# cat /etc/named.boot
directory      /etc
primary        0.0.127.in-addr.arpa  named.rev.local
cache          .                      named.cache
```

Start the `named` daemon and your cache-only name server is ready to run.

8.5 Setting up the DNS client

When you have the primary and secondary name servers set up, it is time to set up the DNS client. First change the client's host name to a fully qualified domain. You can use `smitty hostname` or `chdev` command to permanently change host name:

```
# chdev -l inet0 -a hostname=client.test.ibm.com
inet0 changed
```

The next step is to create the `/etc/resolv.conf` file. It should contain the domain name and name servers (primary and secondary) IP addresses:

```
# cat /etc/resolv.conf
domain      test.ibm.com
nameserver  9.3.240.59
nameserver  9.3.240.58
```

To check if the DNS client is setup correctly, use `nslookup` command and try to resolve a few names of other systems:

```
# nslookup
Default Server:  server4.test.ibm.com
Address:  9.3.240.59

> gateway
Server:  server4.test.ibm.com
Address:  9.3.240.59

Name:    gateway.test.ibm.com
Address:  9.3.240.1

> 9.3.240.57
Server:  server4.test.ibm.com
Address:  9.3.240.59

Name:    server2.test.ibm.com
Address:  9.3.240.57
```

Resolver routines on hosts running TCP/IP normally attempt to resolve names using the following sources:

- DNS (named).
- Network Information Service. (NIS)
- Local /etc/hosts file.

By default, resolver routines attempt to resolve names using the above resources. DNS will be tried first, if the /etc/resolv.conf file does not exist or if DNS could not find the name, NIS is queried if it is running. NIS is authoritative over the local /etc/hosts, so the search will end here if it is running. If NIS is not running, then the local /etc/hosts file is searched.

This default order can be overwritten by creating the configuration file, /etc/netsvc.conf, and specifying the desired ordering. The environment variable NSORDER overrides the host settings in the /etc/netsvc.conf file. The example file /etc/netsvc.conf looks like:

```
# cat /etc/netsvc.conf
hosts = local , nis
```

This setting can be overwritten by NSORDER variable like this:

```
export NSORDER=bind,local
```

8.6 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. Given a host with the following /etc/named.boot file:

```
directory /var/named
secondary nuts.com 128.66.12.1 named.hosts
secondary 132.128.in-addr.arpa 128.66.12.1 named.rev
primary 0.0.127.in-addr.arpa named.local
cache . named.ca
```

Which of the following statements is valid?

- A. The address 128.66.12.1 is the primary server for the network 132.128.0.0.
- B. The address 128.66.12.1 is the backup secondary server.
- C. The address 128.66.12.1 indicates this is a secondary server for network 128.66.0.0.
- D. The address 128.66.12.1 is the IP address for this host to use to download data for the nuts.com domain.

2. In a DNS environment, the zone file that maps IP addresses to host names (sometimes called the named.rev file), is created on which of the following servers?
 - A. Cache
 - B. Primary
 - C. Secondary
 - D. Primary and secondary

8.6.1 Answers

The following are the preferred answers to the questions provided in this section.

1. C
2. B

8.7 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. On a test system that does not affect any user, set up a primary name server.
2. On the other system, change the reference to the primary name server that you set up previously by editing the `/etc/resolv.conf` file.
3. Change the name resolution default order by editing the `/etc/netsvc.conf` file, so that `/etc/hosts` file will be used before the domain name server. Add an entry to the `/etc/hosts` file that is not in the name server.
4. Set the value of the `NSORDER` environment value to override the `/etc/netsv.conf` file.

Chapter 9. Mail services

In AIX there are three mail programs available for use, as follows:

- mail
- mh
- bellmail

A user-agent program provides facilities for creating, receiving, sending, and filing mail. In addition, you need a transport-agent program, `sendmail`, which distributes incoming mail from other systems or packages, and distributes each outgoing mail item and transmits it to a similar program in one or more remote systems.

Note

The mail and mh mail systems are incompatible in the way they store mail; either one mail handler or the other must be used, not both.

In this chapter, the `mail` user-agent will be used as this is the most commonly used mail program in AIX.

9.1 Mail system overview

The following sections discuss the basic features of the mail, mh, and bellmail systems.

9.1.1 The mail system

The `mail` system provides you with a user interface to handle mail to and from both a local network user and a remote system user.

A mail message can be text, entered using an editor, or an ASCII file. In addition to a typed message or a file, you can send:

- system messages Informs users the system has been updated. A system message is similar to a broadcast message, but is sent on the local network only.
- secret mail Used to send classified information. A secret mail message is encrypted. The recipient must enter a password to read it.

- vacation message Informs users you are on vacation. When your system receives mail in your absence, it sends a message back to the origin. The message states you are on vacation. Any mail you receive while on vacation can also be forwarded.

When you receive mail using the mail subcommands, you can:

- Leave the mail in the system mailbox.
- Read and delete the mail.
- Forward the mail.
- Add comments to the mail.
- Store the mail in your personal mailbox (mbox).
- Store the mail in a folder you have created.
- Create and maintain an alias file or a distribution file that directs the mail and mail messages.

The installation of sendmail is automatic.

9.1.2 The mh system

The mh mail system is a collection of commands that enables you to perform each mail processing function directly from the command line. These commands provide a broader range of function than the subcommands of mail, and since they can be issued at any time the command prompt is displayed, you gain power and flexibility in creating mail and in processing received mail. For example, you can read a mail message, search a file or run a program to find a particular solution, and answer the message, all within the same shell.

The mh mail system enables you to create, distribute, receive, view, process, and store messages.

9.1.3 The bellmail system

The bellmail mail system is the original AT&T UNIX mail command, which handles mail for users on the same system and also for users on remote systems that can be accessed by means of Basic Network Utilities (BNU), sometimes known as the UNIX-to-UNIX Copy Program (UUCP). These programs support only networks of systems connected by dial-up or leased point-to-point communication lines.

9.2 The mailq command

The `mailq` command prints a list of messages that are in the mail queue. The `mailq` command is the same as the `sendmail -bp` command.

Specify the `-v` flag to display message priority.

The log file and temporary files associated with the messages in the mail queue are kept in the `/var/spool/mqueue` directory.

For example:

Running the `mailq` command will give the following results:

```
# mailq
                There is 1 request in the mail queue
---QID--- --Size-- -----Q-Time----- -----Sender/Recipient-----
OAA19258*      29 Mon Jun 26 14:57 root
                                   root@server2
```

Running the `mailq -v` command will give the following results:

```
# mailq -v
                There is 1 request in the mail queue
--Q-ID-- --Size-- -Priority- ---Q-Time--- -----Sender/Recipient-----
OAA19258*      29      30047 Jun 26 14:57 root
                                   root@server2
```

9.3 The sendmail command

The `sendmail` command receives formatted text messages and routes the messages to one or more users. Used on a network, the `sendmail` command translates the format of the header information of the message to match the requirements of the destination system. The program determines the network of the destination system by using the syntax and content of the addresses.

The `sendmail` command can deliver messages to:

- Users on the local system.
- Users connected to the local system using the TCP/IP protocol.
- Users connected to the local system using the Basic Networking Utilities (BNU) command protocol.

The `sendmail` command is not intended as a user interface routine; other commands provide user-friendly interfaces. Use the `sendmail` command only to deliver preformatted messages.

The `sendmail` command uses a configuration file (the `/etc/sendmail.cf` file by default) to set operational parameters and to determine how the command parses addresses. This file is a text file that you can edit with other text editors. After modifying `sendmail.cf`, refresh the `sendmail` daemon.

The `sendmail` command allows you to define aliases to use when the `sendmail` command handles the local mail. Aliases are alternate names that you can use in place of elaborate network addresses. You can also use aliases to build distribution lists.

Define aliases in the `/etc/aliases` file. This file is a text file you can edit. The `sendmail` command uses a database version of this file. You must build a new alias database by running the `sendmail -bi` command or the `newaliases` command before any changes made to the `/etc/aliases` file become effective.

Note

When defining aliases in the `/etc/aliases` file, use only lowercase characters for nested aliases. Uppercase characters on the right-hand side of an alias are converted to lowercase before being stored in the Database Manager (DBM) database.

Every system must have a user or user alias designated as the postmaster alias. The default postmaster alias is a root file. You can assign this alias to a different user in the `/etc/aliases` file. The postmaster alias allows other users outside your system to send mail to a known ID and to get information about mailing to users on your system. Also, users on your system can send problem notifications to the postmaster ID.

For example:

To add an alias to a system edit the `/etc/aliases` file. In the example the alias that will be added is `certify` which can reside on the same or different servers. Edit the `/etc/aliases` file using `vi` or another editor and insert the following line:

```
certify: user02, user5801@server3, root@server4, user5911@server4
```

The new entry in the `/etc/aliases` is shown as follows:

```
# Alias for mailer daemon
```

```
MAILER-DAEMON:root
```

```
# Following alias is required by the new mail protocol, RFC 822  
postmaster:root
```

```
# Aliases to handle mail to msgs and news  
nobody: /dev/null  
certify: user02, user5801@server3, root@server4, user5911@server4
```

Rebuild the aliases database file as follows:

```
# sendmail -bi  
/etc/aliases: There are 4 aliases. The longest is 56 bytes, with 109 bytes  
total.
```

or

```
# newaliases  
/etc/aliases: There are 4 aliases. The longest is 56 bytes, with 109 bytes  
total.
```

Either the `sendmail -bi` or `newaliases` command can be used as both commands function the same.

When mail is sent to the user, certify it will now be sent to all the users defined as aliases in the `/etc/aliases` file.

9.4 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. Once a system has been configured as a mailserver. Which of the following commands should be used to check the status of pending mail?
 - A. `mailx`
 - B. `mailq`
 - C. `bellmail`
 - D. `sendmail`

2. Once the appropriate file has been edited, which of the following actions should be performed to put the changes into effect?
 - A. `sendmail -bi`
 - B. `startrc -s sendmail`
 - C. `refresh -s sendmail`
 - D. Updates are automatic so no action is required
3. A user would like for personal e-mail to be redirected to another system. Which of the following files may be modified in order to perform this action?
 - A. `/etc/aliases`
 - B. `/etc/.forward`
 - C. `/etc/sendmail.cf`
 - D. `/etc/netsvc.conf`

9.4.1 Answers

The following are the preferred answers to the questions provided in this section.

1. B
2. A
3. A

9.5 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. What does the `mailq` command do?
2. How is mail redirected?

Chapter 10. NIS

In this chapter the following topics are discussed:

- Components of NIS.
- NIS configuration considerations.
- Start up of NIS.
- Managing NIS maps.

Network Information Service (NIS) is a distributed database that allows you to maintain consistent configuration files throughout your network. NIS replaces replicated copies of common configuration files, such as `/etc/passwd` and `/etc/hosts`, with data maps for each file located on a central server.

NIS is the current name for the service originally known as Yellow Pages (YP). NIS and YP are functionally identical. When working with NIS, you will recognize that NIS commands usually start with `yp` (for example: - `ypwhich`, `ypget`, and `ypset`).

NIS is a part of the network file system (NFS) software package that includes commands and daemons for NFS, NIS, and other services. On AIX Version 4.3.3, NFS and NIS are no longer installed together as one package, but require installation of `bos.net.nis.server` or `bos.net.nis.client`. Each is independent and each is configured and administered individually.

NIS uses RPC as NFS does. For brief discussion on RPC, see 7.1.2, "RPC" on page 129.

Support for NIS+ was introduced with AIX 4.3.3. NIS and NIS+ cannot be combined in a single environment.

10.1 Components of NIS

The NIS environment is composed of clients and servers; these are logically grouped together in a *domain*. Each domain has a particular set of characteristics. A domain is not restricted to a physical network layout. Neither should the NIS domain be confused with DNS domains. The NIS domain characteristics are defined in maps, or databases, that specify certain system information such as user names, passwords, and host names.

An NIS domain is a collection of systems that are logically grouped together. A group of hosts that share the same set of NIS maps belong to the same domain. The hosts are usually grouped together in the domain for a common

reason; for example, when working in the same group at a particular location. Each NIS host is assigned to a domain when the system starts. The domain name must be set on all hosts that intend to use NIS.

There is one master server per NIS domain, and the systems in the domain are typically on the same network. However, access to data served by NIS is independent of the relative locations of an NIS client and server. By design, you cannot add another master server to a domain because there would be two authoritative sources for the maps. To reduce master server load, you can add slave servers to the domain, or define more than one domain. Each new domain, of course, has its own master server.

In the following sections, the master server, slave servers, NIS daemons and NIS maps are discussed.

10.1.1 Servers

An NIS server is a host that provides configuration information to other hosts on the network. Servers retain a set of maps and run the ypserv daemon, which processes requests from clients for information contained in maps. There are two types of servers: a master server and a slave server.

10.1.1.1 Master servers

A master server is the single host in a particular domain that maintains the authoritative maps. The master server may run the ypupdated daemon, which prompts slave servers to update their copies of the maps (all other hosts in the domain must obtain their map information from the master server, either directly or indirectly through a slave server). If ypupdated is used, secure NFS should be configured as explained in *AIX Version 4.3 System Management Guide: Communications and Networks*, SC23-4127. The master server also runs the yppasswdd daemon, which processes requests to change users' passwords. When choosing a master server, the following criteria should be met:

- Accessible by the system administrator

If something goes wrong, or if updates need to be made, it is easy to reach the master server.

- Stable

It needs to be stable so systems that depend on it can rely on uninterrupted service.

- Accessible from the network

Although networks can be complex with the presence of many gateways or bridges, the master server should be accessible from most systems on the network.

In a small domain, each host can access the master server directly. However, for a larger number of hosts in a domain, the master server can become overloaded. To balance the NIS processing load and provide services when the master server is unavailable, additional hosts can be designated as slave servers.

10.1.1.2 Slave servers

NIS slave servers act as intermediaries between clients and the master server by keeping exact replicas of the master server's maps. All changes to the maps are made on the master server. Then, the changes are propagated from the master server to the slave servers. Once a slave server is added to the domain, it is able to answer the same queries that the master is able to answer. In this way, slave servers can help the master server without violating the authority of the master server.

Slave servers also act as a backup in case the master server or the network fails. A client requesting information waits until a server responds. Adding slave servers increases the availability of information even if the master server is unavailable.

The number of slave servers in a domain should be balanced to achieve the desired level of availability and response time without adding the expense of copying data to too many systems. There should be at least one slave server for each domain, but normally there is one slave server per subnet, as shown in Figure 33 on page 192.

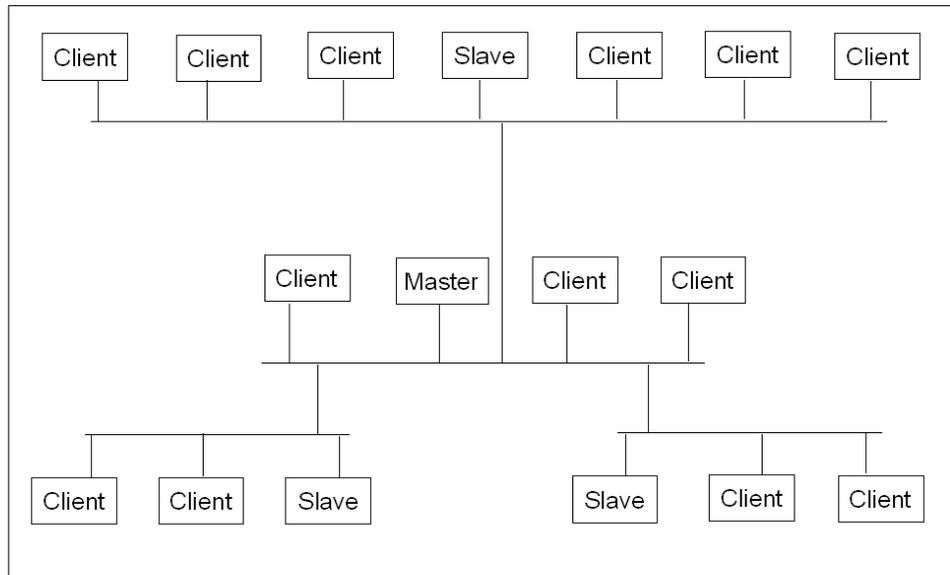


Figure 33. NIS domain

10.1.1.3 Clients

NIS clients make up the majority of hosts in an NIS domain. Clients run the ypbind daemon, which enables client processes to obtain information from a server. Clients do not maintain maps themselves, but rather query servers for system and user account information (clients do not make a distinction between querying the master server or a slave server). To access system information contained in a map, a client makes a Remote Procedure Call (RPC) to a server. The server searches its local database and returns the requested information to the client.

NIS clients locate the server by broadcasting on the networks that are directly connected to the client machine. Since these broadcast messages are not forwarded by network gateways, a slave server per subnet is convenient. If there is no NIS server that can be reached without using a network gateway, the client must specify a server when starting the ypbind daemon.

Note that every request for system information requires a server contact, and the speed of your network can affect the response time.

10.1.2 NIS daemons

There are only four NIS daemons included in the yp group. They are as follows:

```
# lssrc -g yp
Subsystem      Group      PID      Status
ypbind         yp         ypbind   inoperative
ypserv         yp         ypserv   inoperative
ypupdated      yp         ypupdated inoperative
yppasswdd     yp         yppasswdd inoperative
```

As mentioned in previous sections, the client daemon, ypbind, is the daemon who has to establish connections. On the server side, the ypserv daemon is accepting and serving all yp requests. If NIS is used for centralized password management, then the yppasswd command on the client contacts the yppasswdd daemon. Finally, there is the ypupdated daemon which is used with Secure NFS. If Secure NFS is not used, this daemon should not be started (this is why the startsrc -g yp is not a good option in some environments). In Figure 34, is the relationship between NIS daemons shown:

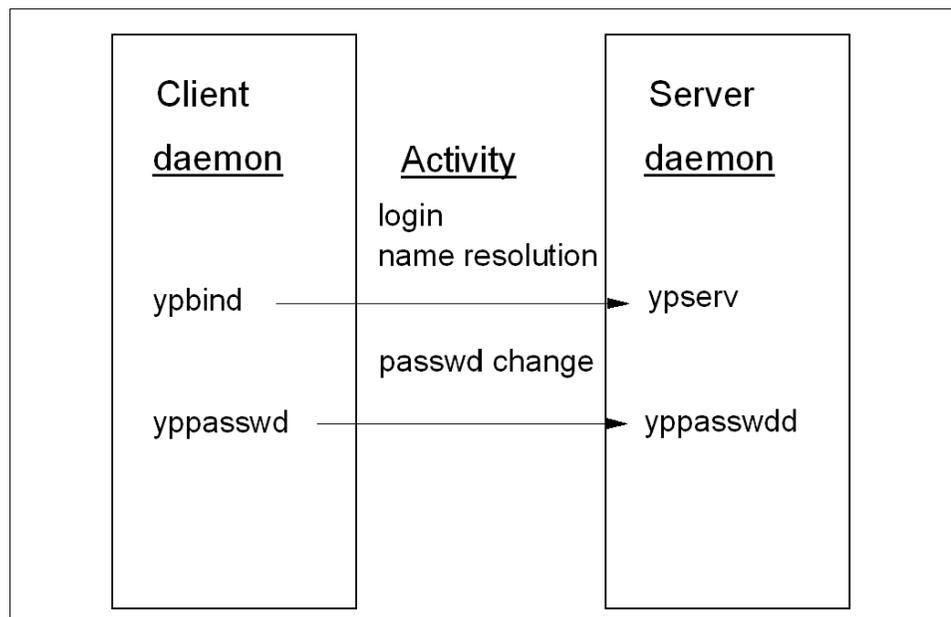


Figure 34. NIS daemons

10.1.3 NIS maps

NIS maps are databases that specify certain system information such as user names, passwords, and host names, in a database format called DBM. Most maps are constructed from a standard text files by associating an index key with a value. For example, the information in the master server's `/etc/hosts` file is used to create a map that uses each host name as a key and the IP address as the value. The key and value pairs (also known as records) that are created from the entries in the `/etc/hosts` file comprise the `hosts.byname` map. In addition to the `hosts.byname` file is also a `hosts.byaddr` file provided for reverse name resolution. For these two functions, name resolution and reverse name resolution, a total of four files are needed:

- `hosts.byname.dir`
- `hosts.byname.pag`
- `hosts.byaddr.dir`
- `hosts.byaddr.pag`

Files ending in `.dir` contain a index in the `.pag` files, containing the key/value pair, for faster searching.

Note

An NIS record has a maximum size of 1024 bytes. This limitation applies to all NIS map files. For example, a list of users in a group can contain a maximum of 1024 characters in single-byte character set file format. NIS cannot operate correctly with map files that exceed this maximum.

The most commonly used maps have nicknames that some commands can translate into map names. For example:

```
#ypcat hosts
```

The output you receive is actually the contents of the `hosts.byname` map, because there is no map called `hosts` in the NIS database. The `ypcat -x` command produces a list of available nicknames.

By default, the maps listed in Table 31 are created if their corresponding source files are available on the master server:

Table 31. NIS default map files

Map	Nickname	Source file
passwd.byname	passwd	/etc/passwd
passwd.byuid		
group.byname	group	/etc/group
group.bygid		
hosts.byaddr	hosts	/etc/hosts
hosts.byname		
ethers.byaddr	ether	/etc/ethers
ethers.byname		
networks.byaddr	networks	/etc/networks
networks.byname		
rpc.bynumber		/etc/rpc
services.byname	service	/etc/service
protocols.byname	protocols	/etc/protocols
protocols.bynumber		
netgroup		/etc/netgroups
netgroup.byhost		
netgroup.byuser		
bootparams		/etc/bootparams
mail.aliases	aliases	/etc/aliases
mail.byaddr		
publickey.byname		/etc/publickey
netid.byname		/etc/passwd , /etc/groups /etc/hosts /etc/netid
netmasks.byaddr		/etc/netmasks
ypservers		N/A

10.2 NIS configuration considerations

All NIS systems must meet these conditions before you start configuring NIS:

- TCP/IP must be running.
- The portmap daemon must be running.
- NFS must be installed.
- bos.net.nis.server or bos.net.nis.client must be installed. These filesets are not installed by default on AIX Version 4.3.3.

10.2.1 Master server configuration

There are a few steps to do on the server before starting to configure the clients.

If you want to increase the security in your NIS environment, you can use the `/etc/yp/securenets` file. The `ypserv` daemon (used both on the master and the slave to answer `ypbind` requests) uses the `/var/yp/securenets` file and, if present, only respond to IP addresses in the range given. This file is read only when the `ypserv` daemon starts. To cause a change in `/var/yp/securenets` to take effect, you must kill and restart the daemon. The format of the file is `netmask netaddr`. For example:

```
255.255.255.0 9.3.240.0
```

Next, define a domain name.

10.2.1.1 Master server domain name definition

When starting to configure a server, you can start with defining the domain name. This can be done in `smitty chypdom`, as shown in Figure 35 on page 197.

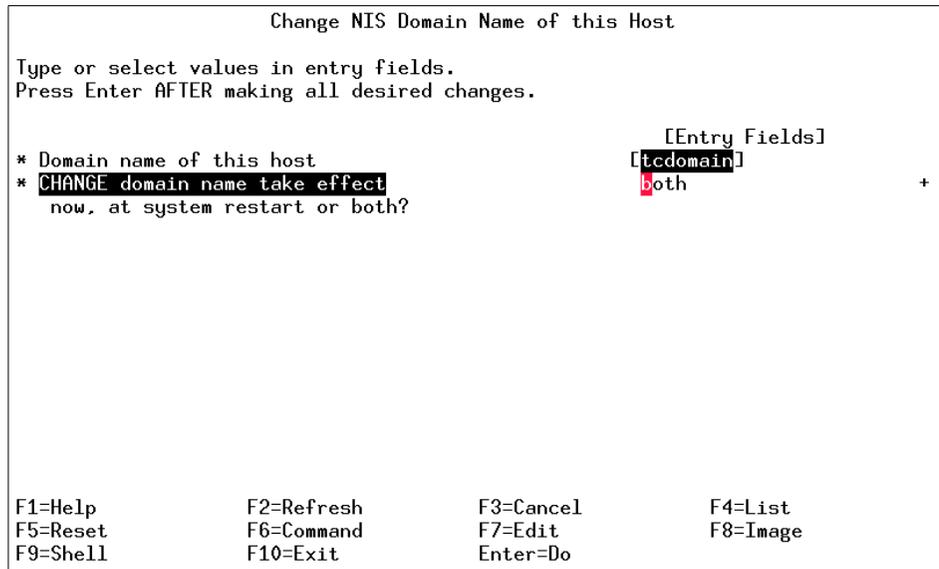


Figure 35. Change NIS domain name menu in smitty

When choosing to set **both** or **restart**, as values in the CHANGE domain name take affect field, the domain name will be set in `/etc/rc.nfs`. This can also be done by editing the `/etc/rc.nfs` file directly, for example:

```
# Uncomment the following lines and change the domain
# name to define your domain (domain must be defined
# before starting NIS).
if [ -x /usr/bin/domainname ]; then
    /usr/bin/domainname tcdomain
```

If you choose to use the `domainname` command, the domain name will be set in the current login session, as shown in the following example:

```
#domainname tcdomain
```

This is an easy way to activate maps that are on other NIS domains. When using the `domainname` command without any arguments, it will return the current NIS domain. For example:

```
# domainname
tcdomain
```

10.2.1.2 Edit map source files

The next step is to edit the source files needed for map creation. In this example, the `/etc/passwd` and the `/etc/hosts` will be used. There is support for a multitude of map files as shown in Table 31 on page 195.

/etc/passwd

The `/etc/passwd` file on the NIS master server needs to include all the user account information for all users on all NIS clients on the network that will belong to the NIS domain that the master is serving. It is also common that the server will be a client as well. This way, the server will have access to all information from the maps. If the NIS master server is to have local users (not to be administered through NIS), then another text input file may be used to build these maps.

If you chose to use a password file other than `/etc/passwd` to build the password map, you must specify to the `yppasswdd` daemon the path to that file. By default, the `yppasswdd` daemon changes passwords for entries in the `/etc/passwd` file. To change the default password file to another file, perform the following steps:

1. Edit the `/etc/rc.nfs` file, and locate the following stanza:

```
#Uncomment the following lines to start up the NIS
#yppasswd daemon.
DIR=/etc
if [ -x /usr/etc/rpc.yppasswdd -a -f $DIR/passwd ]; then
    start rpc.yppasswdd /usr/lib/netsvc/yp/rpc.yppasswdd
    /etc/passwd -m
fi
```

2. Change the `DIR` statement so that it specifies the path to your alternate password file. For example, if you use the `/var/yp/passwd` file, the `DIR` statement should look like:

```
DIR=/var/yp
```

3. Save the file and exit the editor.
4. Enter the following three commands:

```
# stopsrc -s yppasswdd
# chssys -s yppasswdd -a '/var/yp/passwd -m passwd'
# startsrc -s yppasswdd
```

The `yppasswdd` daemon will now use your alternate password file.

Figure 36 provides the values used for the sample system, with their copies of `/etc/passwd` and `/etc/hosts` before configuring NIS.

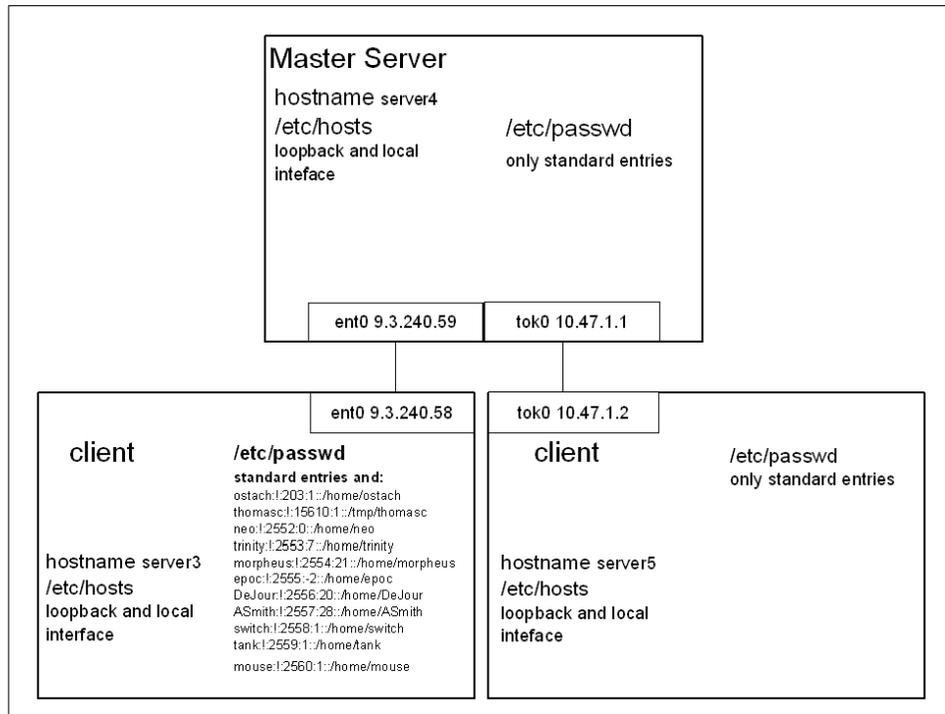


Figure 36. Hosts in example before NIS

In the example case, server3 has the most users, server4 will serve as a master, and server5 has no users defined in `/etc/passwd`. The entries for server3 users will be edited into the server4:`/etc/passwd` file.

```

root:!:0:0:/:/bin/ksh
daemon:!:1:1::/etc:
bin:!:2:2::/bin:
sys:!:3:3::/usr/sys:
adm:!:4:4::/var/adm:
uucp:!:5:5::/usr/lib/uucp:
guest:!:100:100::/home/guest:
nobody:!:4294967294:4294967294:/:
lpd:!:9:4294967294:/:
immadm:*:200:200::/home/immadm:/usr/bin/ksh
nuucp:*:6:5:uucp login user:/var/spool/uucppublic:/usr/sbin/uucp/uucico
ftp:*:201:1::/home/ftp:/usr/bin/ksh
anonymou:*:202:1::/home/ftp:/usr/bin/ksh
ostach:!:203:1::/home/ostach:/usr/bin/ksh
thomasc:!:15610:1::/tmp/thomasc:/usr/bin/ksh
neo:!:2552:0::/home/neo:/usr/bin/ksh

```

```
trinity:!:2553:7::/home/trinity:/usr/bin/ksh
morpheus:!:2554:21::/home/morpheus:/usr/bin/ksh
epoc:!:2555:-2::/home/epoc:/usr/bin/ksh
DeJour:!:2556:20::/home/DeJour:/usr/bin/ksh
ASmith:!:2557:28::/home/ASmith:/usr/bin/ksh
switch:!:2558:1::/home/switch:/usr/bin/ksh
tank:!:2559:1::/home/tank:/usr/bin/ksh
mouse:!:2560:1::/home/mouse:/usr/bin/ksh
```

/etc/hosts

Next the `/etc/hosts` file has to include all systems involved in the domain:

```
127.0.0.1   loopback localhost      # loopback (lo0) name/address
9.3.240.59  server4
10.47.1.1   server4e
9.3.240.58  server3
10.47.1.2   server5
```

Now the server is prepared for defining the domain and editing the map source files. Before starting up the master server, consider what work must be done on slave servers and clients.

10.2.2 Client configuration considerations

In the example, the client will be fully dependent on the master server for password management and for name resolution. Therefore, all locally defined users may be removed from the `/etc/passwd` file, since they have been copied into the `/etc/passwd` file on the master server. After the removal of these, an *escape sequence*, `++:0:0:::`, should be added to the end of `/etc/passwd`. This escape sequence tells the system to use NIS for password handling. For example:

```
# echo ++:0:0::: >> /etc/passwd
```

The `/etc/hosts` file needs only the loopback interface and the entry for the host. Next, make the system use NIS for name resolution, by editing `/etc/netsvc.conf`. For example:

```
# more /etc/netsvc.conf
host = nis,bind,local
```

You can override the default order by modifying the `/etc/irs.conf` configuration file and specifying the desired ordering.

The settings in the `/etc/netsvc.conf` configuration file override the settings in the `/etc/irs.conf` file. The `NSORDER` environment variable overrides the settings in the `/etc/irs.conf` and the `/etc/netsvc.conf` files.

Also remember to define your domain name, either by editing the `/etc/rc.nfs`, by using `smitty chypdom` as shown in Figure 35 on page 197 for permanent domain name setting, or by using the `domainname` command for temporary domain name setting.

10.2.3 Slave server configuration considerations

There is one thing to remember. The slave server behaves like a client, in that it is dependent on the master server for updates of its records. In our example, the slave server will copy the `/etc/passwd` and `/etc/hosts` from the master, so the same editing that was done on the client should be done on the slave server (`/etc/passwd`, `/etc/hosts` and `/etc/netsvc.conf`).

At this stage, the hosts in the example would appear as shown in Figure 37 on page 201.

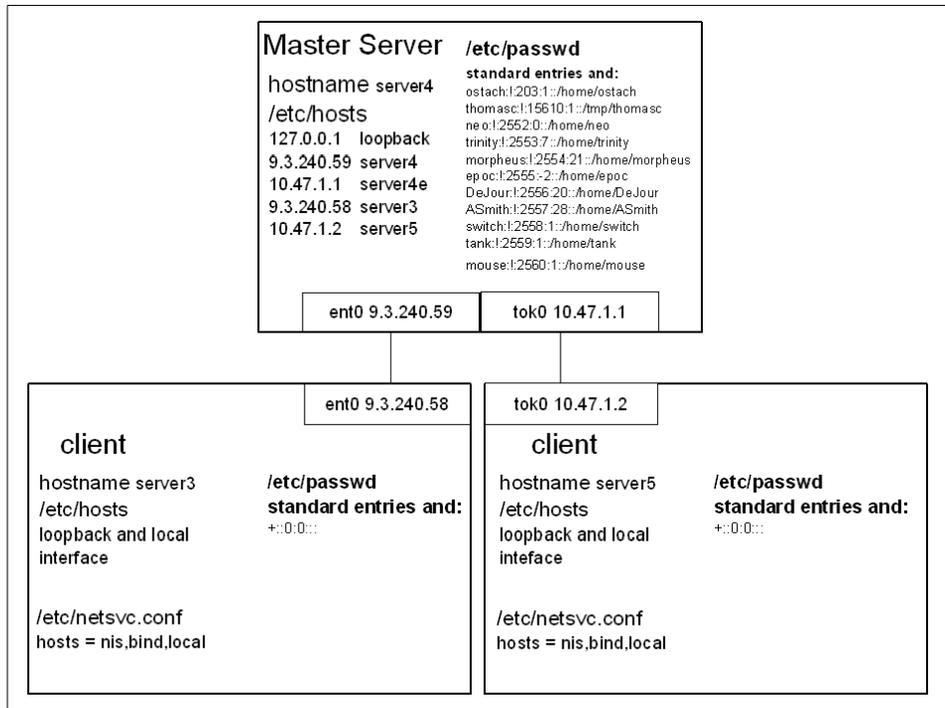


Figure 37. Hosts ready for NIS start up.

Now the setup is ready. In the following section, NIS start up is discussed.

10.3 Starting NIS

Depending on the role the host has in the NIS domain, there are some differences in how to start NIS. In the following sections, the master, slave, and client start up are discussed.

10.3.1 Master server start up

There is, as always in AIX, a foolproof way to start NIS for the master - `smitty mkmaster`, as shown in Figure 38 on page 202:

```
Configure this Host as a NIS Master Server

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

HOSTS that will be slave servers          [Entry Fields]
* Can existing MAPS for the domain be overwritten? [slaveserver]
* EXIT on errors, when creating master server?    yes +
* START the yppasswdd daemon?                    yes +
* START the yupdated daemon?                      no  +
* START the ypbind daemon?                        yes  +
* START the master server now,                    both +
  at system restart, or both?

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command      F7=Edit        F8=Image
F9=Shell     F10=Exit        Enter=Do
```

Figure 38. Smitty `mkmaster` menu

The short cut with `smitty` is that the `/etc/rc.nfs` will be updated, and the daemons chosen will be started after every reboot.

The start up can also be done through an interactive command called `ypinit`, (actually it is a script). The `ypinit` command does not update the `etc/rc.nfs` file; neither will it start the daemons (this must be done separately).

On the master server, the `ypinit` command should be started with the `-m` flag (for master). When the command is executed, you will have to answer a few questions. Among other things, it will prompt you for a list of slave servers:

```
# ypinit -m
Installing the NIS data base will require that you answer
a few questions.
Questions will all be asked at the beginning of the procedure.
```

Do you want this procedure to quit on non-fatal errors? [y/n: n] **n**
OK, please remember to go back and redo manually
whatever fails. If you don't, some part of the system
(perhaps the NIS itself) won't work.

At this point, we have to construct a list of the
hosts which will run NIS servers. `server4` is in the list of NIS
server hosts. Please continue to add the names for the other
hosts, one per line. When you are done with the list, type a <control D>.
next host to add: `server4`
next host to add: `^D`

The current list of NIS servers looks like this:

server4

Is this correct? [y/n: y] **y**

There will be no further questions. The remainder
of the procedure should take 5 to 10 minutes.
Building `/var/yp/tcdomain/ypservers...`
Running `/var/yp/Makefile...`
updated `passwd`
updated `group.....`

The `ypinit -m` command will call the `makedbm` command, which will create the
data base format file, the actual map file, and place these by default in
`/var/yp/<domainname>`. In this example, the target directory will be
`/var/yp/tcdomain`. The target directory can be changed by editing
`/var/yp/Makefile`.

The `ypinit` command is dependent on the existence of the input files listed in
Table 31 on page 195, but the database file `ypservers` does not have a
standard input file like the rest of the map files. If you want to update the
`ypservers` map file (for example: after adding another slave server to the
domain), you need to directly use the `makedbm` command, as in the following
example:

```
# cd /var/yp
# (makedbm -u tcdomain/ypservers ; echo server1) | makedbm - ypservers
```

In the previous command example, the `-u` flag will undo the DBM file. It prints
out a DBM file one entry per line, with a single space separating keys from
values. In this instance, the `-u` output, as well as the line echoed `- server1`, will
be piped into the next `makedbm` command rather than being directed to the
display. By doing this, a new `ypserver` map is created including the new slave
server - `server1`.

After the `ypinit -m`, the `/var/yp/tcdomain` includes the following maps:

```
# ls
group.bygid.dir      mail.byaddr.dir      protocols.bynumber.dir
group.bygid.pag      mail.byaddr.pag      protocols.bynumber.pag
group.byname.dir     netid.byname.dir     publickey.byname.dir
group.byname.pag     netid.byname.pag     publickey.byname.pag
hosts.byaddr.dir     passwd.byname.dir    rpc.bynumber.dir
hosts.byaddr.pag     passwd.byname.pag    rpc.bynumber.pag
hosts.byname.dir     passwd.byuid.dir     services.byname.dir
hosts.byname.pag     passwd.byuid.pag     services.byname.pag
mail.aliases.dir     protocols.byname.dir  ypservers.dir
mail.aliases.pag     protocols.byname.pag  ypservers.pag
```

10.3.2 Slave server start up

After configuring the master server, you will configure hosts chosen to act as slave servers. Slave servers keep exact replicas of the master server's maps and share the processing burden by answering queries when the master server is busy or unavailable. Before starting the slave servers, the NIS master server must be configured and started. In the example, no slave server is configured.

When using subnets, a slave server should be configured on each subnet that has NIS clients for the given NIS domain. This allows clients to bind at startup without pointing out the IP address to the `ypbind` daemon.

You can now create the directory for this domain, start the NIS daemons, and obtain copies of the NIS maps from the master server, by using `smitty mkslave`, as shown in Figure 39 on page 205.

```

                                Configure this Host as a NIS Slave Server

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                [Entry Fields]
* HOSTNAME of the master server      [server4]
* Can existing MAPS for the domain be overwritten?  yes      +
* START the slave server now,         both     +
  at system restart, or both?
* Quit if errors are encountered?     yes      +

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command      F7=Edit       F8=Image
F9=Shell     F10=Exit       Enter=Do

```

Figure 39. Smitty *mkslave* menu

The system takes a few minutes to perform several tasks. First, it runs the `ypinit -s <master>` command. It creates the directory `/var/yp/<domainname>`, where `domainname` is the domain name you defined earlier. Then it runs the `ypxfr` command to obtain the NIS maps from the master server. If the `ypinit` command exits successfully, the system uncomments the entries in the `/etc/rc.nfs` file for the `ypserv` and `ypbind` daemons. Finally, the system starts these daemons.

Note

If this NIS slave server is not on the same IP network as the NIS master server (that is, a gateway router is positioned between the slave server and the master server), you must explicitly identify the NIS master server by using the `ypset` command. For example, enter the command:

```

# startsrc -s ypbind -a "-ypsetme"
# ypset 129.23.22.1

```

where `129.23.22.1` is the IP address of the NIS master server.

If you like to use the command-line interface for a start up of the slave server, you first have to start up the `ypbind` daemon on the slave server to make it able to connect to the master server.

Next, use the `ypinit -s <master>` command. This command prompts you, just as in the case for `ypinit -m`, for various information and takes a few minutes to complete. For example:

```
# ypinit -s server4
```

Edit the `/etc/rc.nfs` file and uncomment the lines that use the `startsrc` commands to start these daemons. For example:

```
if [ -x /usr/etc/ypserv -a -d /etc/yp/`domainname` ]; then
    startsrc -s ypserv
fi
```

This should also be done for the `ypbind` daemon. By doing this, the slave server will be available after the next reboot.

Finally, the escape sequence should be added into the `/etc/passwd` file. If there are users to be locally administered, the escape sequence should be placed after the users that are to be administered locally. The `/etc/passwd` file will be sequentially scanned at login, and when finding the escape sequence, NIS will be used instead of local password verification.

At this stage, the `ypserv` daemon has not yet been started, although you prepared the system to start that daemon after restart. Start the daemon with:

```
# startsrc -s ypserv
```

10.3.3 NIS client start up

The client start up is the last configuration task. With the escape sequence in `/etc/passwd` and domain name set, you only need to start `ypbind`, which is the client daemon. For example:

```
# startsrc -s ypbind
0513-059 The ypbind Subsystem has been started. Subsystem PID is 27134.
# ypwhich
ypwhich: 1831-178 Domain tcdomain not bound.
# ypwhich
server4
```

This command sequence shows that the `ypwhich` command has not received an answer when executed directly after the startup of the `ypbind` daemon. This is because the broadcast on the subnet for an NIS server has not yet received an answer. When executed the next time, the binding is set up.

At this point, it is good to use the `ypcat` command to check the listings available. (For example: which hosts are defined by the master server `hosts.byname` map.)

```
# ypcat hosts
9.3.240.59      server4
9.3.240.58      server3
127.0.0.1      loopback localhost      # loopback (lo0) name/address
10.47.1.2      server5
10.47.1.1      server4e
```

The client setup is done.

If you administer passwords through NIS, you need to start the `yppasswd` daemon (named `yppasswdd`) on the master server. When doing this, it is good to remember that all password changes would be handled by the `yppasswd` command.

```
# yppasswd thomasc
Old NIS password:
thomasc's New password:
Enter the new password again:
```

One down side of using the `yppasswd` command is shown in the following output of the `/etc/passwd` file on the master server:

```
# more /etc/passwd
morpheus:*:2554:21::/home/morpheus:/usr/bin/ksh
anonymou:*:202:1::/home/ftp:/usr/bin/ksh
trinity:*:2553:7::/home/trinity:/usr/bin/ksh
thomasc:M.BHTz4w35RKQ:15610:1::/tmp/thomasc:/usr/bin/ksh
```

As you can see, the encrypted password is in `/etc/passwd`, not in `/etc/security/passwd`, as with local password management.

10.3.4 Managing NIS maps

System information, such as a new user account or a changed password, can require constant updating. Whenever you need to modify an NIS map, you should do so on the master server, and then propagate the changes to the slave servers. The only exception to this rule is when users change their password with the `yppasswd` command. When changing a map, you need to start with editing the source file. (For example: in editing `/etc/hosts`, add `server1 (9.3.240.56)` to the file.)

Even though the source file has been edited, the NIS subsystem is not yet aware of the changes:

```
# ypcat hosts
9.3.240.59      server4
9.3.240.58      server3
```

```
127.0.0.1          loopback localhost      # loopback (lo0) name/address
10.47.1.2          server5
10.47.1.1          server4e
```

The map files must be rebuilt. This can be done either with `smitty mkmaps` or with the `make` command:

```
# cd /var/yp
# make hosts
0+1 records in.
0+1 records out.
updated hosts
pushed hosts
Target "hosts" is up to date.
```

Afterwards, the information as seen by the client will be up to date:

```
# ypcat hosts
9.3.240.59         server4
9.3.240.58         server3
9.3.240.56         server1
127.0.0.1          loopback localhost      # loopback (lo0) name/address
10.47.1.2          server5
10.47.1.1          server4e
```

The map is now changed, and the master server has requested that all the slave servers update their maps.

To manually propagate NIS maps from the master server to slave servers, you can choose to use the `ypxfr <mapname>` command at the slave server or use the `yppush <mapname>` command at the master server.

10.4 Summary

- The master server runs the `ybserv` and `yppasswdd` daemons.
- The master server updates the slave servers with `yppush`.
- The slave servers runs the `ybind` and `ybserv` daemons.
- The slave servers update maps with `ypxfr`.
- Clients do not have local maps.
- Clients request information from a master or slave server through the `ybind` daemon.

10.5 Command summary

The following section provides a list of the key commands discussed in this chapter. For a complete reference of the following commands, consult the AIX product documentation.

10.5.1 The `ypbind` command

Enables client processes to bind, or connect, to an NIS server.

The syntax for `ypbind` is:

```
ypbind s -ypset -ypsetme ]
```

The commonly used flags are provided in Table 32.

Table 32. Commonly used flags of the `ypbind` command

Flags	Description
-ypset	Indicates the local host accepts ypset commands from local or remote hosts.
-ypsetme	Indicates that the local host accepts ypset commands only from the local host.

10.5.2 The `ypset` command

Directs a client machine to a specific server.

The syntax for `ypset` is:

```
ypset [ -V1 ] [ -d Domain ] [ -h Host ] Server
```

The commonly used flags are provided in Table 33.

Table 33. Commonly used flags of the `ypset` command

Flags	Description
-d <domain>	Specifies a domain other than the default domain.
-h <host>	Sets the binding for the ypbind daemon on the specified host instead of on the local host. The host can be specified as a name or as an IP address.

10.5.3 The ypinit command

Sets up NIS maps on a Network Information Services (NIS) server.

The syntax for `ypinit` is:

```
ypinit [ -o ] [ -n ] [ -q ] -m [ SlaveName ... ]
```

The commonly used flags are provided in Table 34.

Table 34. Commonly used flags of the `ypinit` command

Flags	Description
-m <slave name(s)>	Indicates that the local host is to be the NIS master. If the -q flag is used, the -m flag can be followed by the names of the machines that will be the NIS slave servers.
-q	Indicates that the <code>ypinit</code> command is to get arguments from the command line instead of prompting for input.
-s <MasterName>	Copies NIS maps from the server workstation you specify in the <code>MasterName</code> parameter.

10.5.4 The yppush command

Prompts the Network Information Services (NIS) slave servers to copy updated NIS maps.

The syntax for `yppush` is:

```
yppush [ -v ] [ -d Domain ] MapName
```

The commonly used flags are provided in Table 35.

Table 35. Commonly used flags of the `yppush` command

Flags	Description
-d <domain>	Specifies a domain other than the default domain. The maps for the specified domain must exist.
-v	Displays messages as each server is called and then displays one message for each server's response (if you are using the version 2 protocol). If this flag is omitted, the command displays error messages only.

10.5.4.1 ypxfr

Transfers a Network Information Services (NIS) map from an NIS server to a local host.

The syntax for `ypxfr` is:

```
ypxfr [ -f ] [ -c ] [ -d Domain ] [ -h Host ] [ -s Domain ] [ -C TID Program  
IPAddress Port ] [ -S ] MapName
```

The commonly used flags are provided in Table 36.

Table 36. Commonly used flags of the `ypxfr` command

Flags	Description
-f	Forces the transfer to occur even if the version at the master is not more recent than the local version.
-d < domain>	Specifies a domain other than the default domain. The maps for the specified domain must exist.
-h <host>	Gets the map from host specified, regardless of what the map says the master is. If a host is not specified, the <code>ypxfr</code> command asks the NIS service for the name of the master and tries to get the map from there. The <code>Host</code> variable can contain a name or an Internet address in the form a.b.c.d.

10.5.4.2 ypcat

Prints out a Network Information Services (NIS) map.

The syntax for `ypcat` is:

```
ypcat [ -k ] [ -t ] [-d DomainName ] MapName
```

The commonly used flags are provided in Table 37.

Table 37. Commonly used flags of the `ypcat` command

Flags	Description
-x	Displays the nickname translation table.
-k	Displays the keys for those maps in which the values are null or for which the key is not part of the value.

10.5.5 The yppasswd command

Changes your network password in Network Information Services (NIS).

The syntax for `yppasswd` is:

```
yppasswd [ -f [ Name ] | -s [ Name [ ShellProg ] ] ]
```

The commonly used flags are provided in Table 38.

Table 38. Commonly used flags of the `yppasswd` command

Flags	Description
-f <name>	Changes user Name's gecos information in the NIS maps. Gecos information is general information stored in the <code>/etc/passwd</code> file.

10.6 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. Which of the following files determines whether hostnames are looked up at DNS or NIS first?
 - A. `/etc/irs.conf` and `/etc/hosts`
 - B. `/etc/resolv.conf` and `/etc/hosts`
 - C. `/etc/netsvc.conf` and `/etc/hosts`
 - D. `/etc/irs.conf` and `/etc/netsvc.conf`
2. All of the following files affect DNS lookups except:
 - A. `/etc/hosts`
 - B. `/etc/irs.hosts`
 - C. `/etc/resolv.conf`
 - D. `/etc/netsvc.conf`
3. All of the following name resolution services can be used to look up addresses for public Internet hosts except:
 - A. DNS
 - B. NIS
 - C. An `/etc/hosts` file
 - D. `/etc/resolv.conf` file

4. Which of the following commands can be executed on an NIS slave server to transfer a NIS map from the NIS master server?
 - A. `yppcat`
 - B. `ypxfr`
 - C. `yppush`
 - D. `yptest`
5. By default, which of the following names are most appropriate for the NIS map versions of the `/etc/passwd` file?
 - A. `password.dir` and `password.pag`
 - B. `/etc/passwd.NIS` and `/etc/security/password.NIS`
 - C. `/etc/passwd.byname` and `/etc/security/passwd.byuid`
 - D. `password.byname.pag`, `password.byname.dir`, `password.byuid.pag`, and `password.byuid.dir`
6. Which of the following entries should be in the `/etc/passwd` file so password lookups will search the NIS maps?
 - A. `+:0:0:::`
 - B. `*:0:0:::`
 - C. `!:0:0:::`
 - D. `@:0:0:::`

10.6.1 Answers

The following are the preferred answers to the questions provided in this section.

1. D
2. A
3. B
4. B
5. D
6. A

10.7 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. Create a subnet with at least three hosts for this exercise. Set up one as the master server and set up at least two clients. If you have access to a fourth host on the test subnet, then set it up as a slave server.
2. Transfer all user accounts to the master server. Set up the `/etc/passwd` file on all clients to point out the use of NIS.
3. Update the master with a new user. Recreate the `passwd` map.
4. Use the `ypxfr` command to get an updated version of `/etc/passwd` from the master server.

Chapter 11. Serial Line Internet Protocol (SLIP)

In this chapter the following topics are discussed:

- Setting up the hardware for a connection.
- Configuring SLIP.
- Activating and Deactivating SLIP.

Serial Line Internet Protocol (SLIP) is the protocol designed to handle TCP/IP traffic when operating through a serial connection as shown in Figure 40. It is commonly used on dedicated serial links and dial-up connections that operate at speeds of 1200 bps or higher.

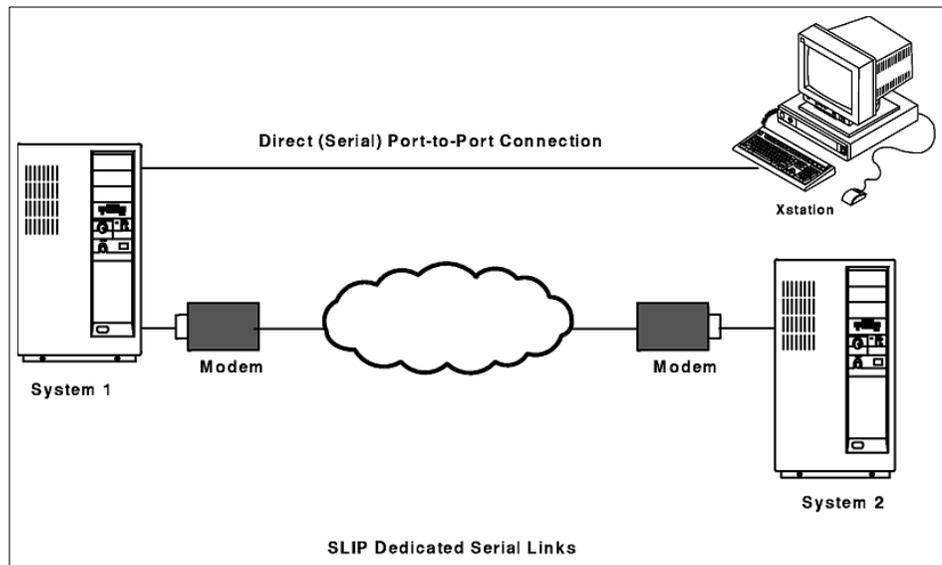


Figure 40. Slip serial links

11.1 Setting up the serial port and modem

When setting up the serial link and modems, ensure it is done on both machines. The procedure is the same for both machines. This example is assuming that there is a telephone line at each site and that both systems have all the hardware required to install this protocol.

The steps used to set up the TTY device are as follows:

Note

The UNIX-to-UNIX Copy Program (UUCP) must be installed on the system. Use the `ls1pp -f | grep bos.net.uucp` command to verify installation.

```
# smit tty
```

Select the **ADD a TTY** option (Figure 41), or if the port is already set up, use the **Change / Show Characteristics of a TTY** option, and **Enter**.

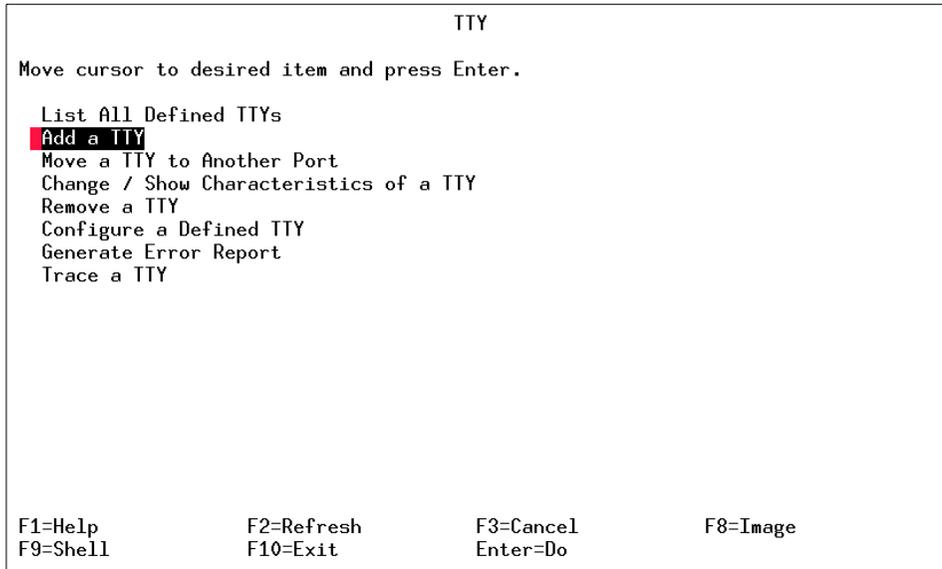


Figure 41. Smit TTY Menu screen

Select the **tty rs232 Asynchronous Terminal** TTY type (Figure 42) and press **Enter**.

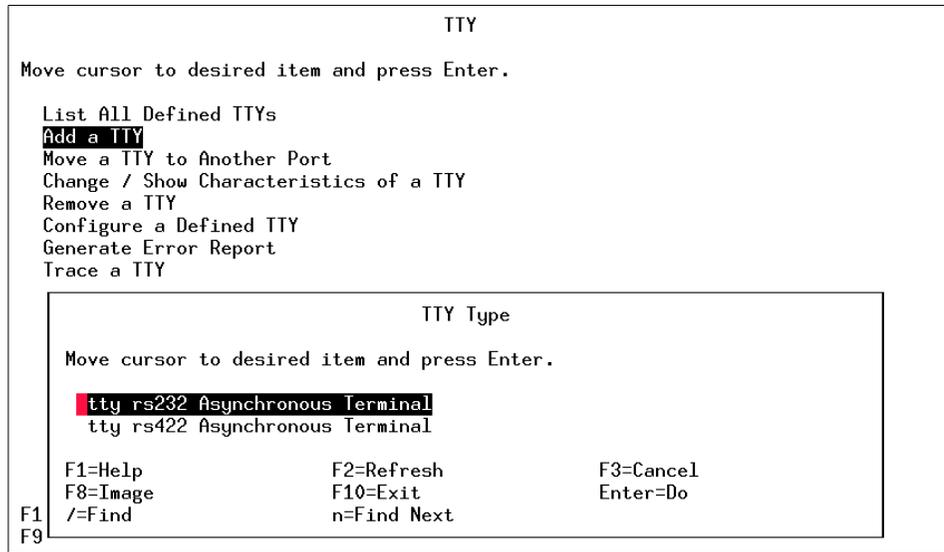


Figure 42. SMIT TTY Type option screen

Select the Parent Adapter for the TTY port as shown in Figure 43.

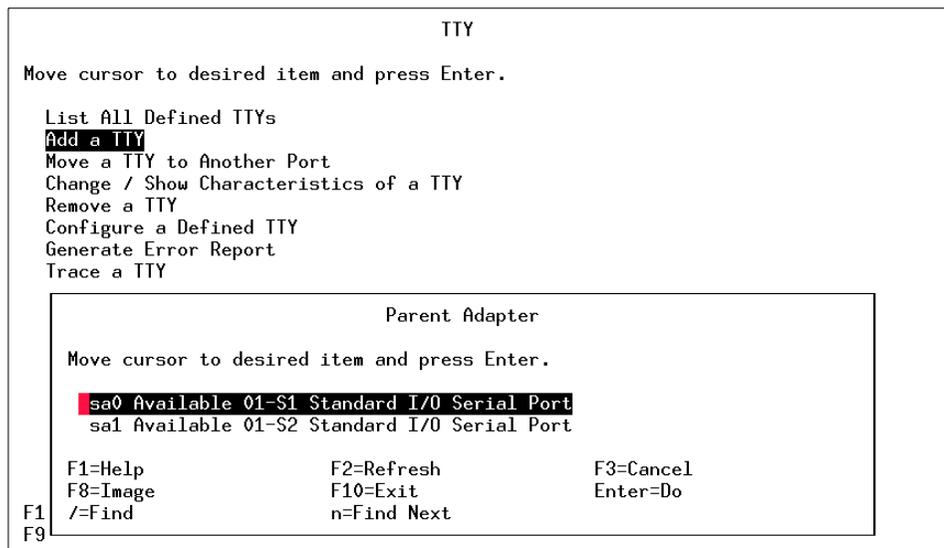


Figure 43. SMIT parent adapter option screen

In the **Add a TTY** option screen, select the port number (a list of available ports can be displayed by pressing the **F4** key). There are a few things that need to be considered for the setup:

- Enable Login can be either Disable, Enable, Share, or Delay. For SLIP to work it should be set to disable on both systems.
 - Disable Indicates no getty process is run on the port.
 - Enable Indicates a getty process is run on the port.
 - Share Indicates a getty process is run on the port in bi-directional mode. The getty process allows the port to be shared with other programs by waiting for an opening of the port to complete before attempting to get a lock on the TTY device. If an active process already owns the lock, the getty process lets that process own the TTY port until the lock goes away.
 - Delay Indicates a getty process is run on the port in bi-directional mode. With the delay setting, no login herald is displayed until the getty process receives a keystroke from the user.
- FLOW CONTROL should be set to either RTS or none. The default option for this is XON. The term “flow control” is used to describe the method by which a serial device controls the amount of data being transmitted to itself. The selectable types of flow control used with TTYs are:
 - XON/XOFF (Transmission ON/Transmission OFF) flow control involves the sending of data transmission control characters along the data stream. For this reason, it is often referred to as software flow control.
 - XON/IXANY is similar to the XON/XOFF software flow control, except that any character received causes the data transmission to resume.
 - RTS/CTS (Ready To Send/Clear To Send) is sometimes called pacing or hardware handshaking. The term hardware handshaking comes from the use of cabling and voltages as a method of data transmission control. Unlike XON/XOFF, which sends control characters in the data stream, RTS/CTS uses positive and negative voltages along dedicated pins or wires in the device cabling.
 - NONE disables all flow control and overrides any other flow control that was selected.

Press **Enter** to continue, and a screen like Figure 44 is shown completing the steps.

```

                                Add a TTY

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

[TOP]                                [Entry Fields]
TTY type                             tty
TTY interface                         rs232
Description                           Asynchronous Terminal
Parent adapter                         sa0
* PORT number                          [0] +
Enable LOGIN                           disable +
BAUD rate                               [9600] +
PARITY                                  [none] +
BITS per character                      [8] +
Number of STOP BITS                    [1] +
TIME before advancing to next port setting [0] +#
TERMINAL type                          [dumb]
[FLOW CONTROL to be used]              [rts] +
[MORE...31]

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command     F7=Edit       F8=Image
F9=Shell     F10=Exit        Enter=Do
```

Figure 44. SMIT Add a TTY option screen

Press **F10** to exit back to the command prompt.

The `/etc/uucp/Devices` file needs to be edited to set up the new modem. The line

```
Direct tty0 - 9600 direct
```

needs to be added to the file. When inserting this line, it should be the first modem description line in the `Devices` file. The `/etc/uucp/Devices` file contains information about the devices on the local system that can establish a connection to a remote computer using the Basic Networking Utilities (BNU) program. This file includes information for hardwired, telephone, and TCP/IP communication links.

Note

To use baud rates higher than 38400, specify a baud rate of 50 in the `/etc/uucp/Devices` file for the desired TTY, then change the SMIT configuration for that TTY to reflect the actual baud rate desired.

The Devices file must contain a description of each device on the local system that can establish a remote connection using the BNU program. Each line in the Devices file includes the following fields:

- **Type** Typically specifies the type of hardwired or automatic calling unit (ACU) device.
- **Line** Specifies the device name for the port.
- **Line2** Specifies the dialer name if the Line entry specifies an 801 dialer.
- **Class** Typically specifies the transmission speed.
- **Dialer-Token Pairs** Specifies a particular type of autodialer (modem) and the token (a defined string of characters) that is passed to the dialer.

Run the `cu` command and set up the modem. After each typed line, the modem should display a status of **OK** once the **Enter** key has been pressed, as follows:

```
# cu -ml tty0
Connected
ate1
OK
atq0
OK
at&f
OK
at&d2
OK
ats0=1
OK
ats9=12
OK
at&c1
OK
at&w
OK
~[server2] .
```

The connection is ended.

The `at` command settings that are used do the following:

- **E1** turns the echo mode on.
- **Q0** enables the displaying of result codes.

- &F is used to reset the modem to factory defaults.
- &D2 sets DTR.
- S0 and S9 set register values.
- &C1 set carrier.
- &W writes the settings to the modem.
- The tilde-period ends the connection.

The modem can be tested as in the following manner:

```
# cu -ml tty0
Connected
atdt ### #####
```

This will connect you to the remote system where ### ##### is the remote system's telephone number.

```
AIX Version 4
(C) Copyrights by IBM and by others 1982, 1996.
login: root
root's Password:
*****
*                                                                 *
*                                                                 *
* Welcome to AIX Version 4.3!                                     *
*                                                                 *
*                                                                 *
* Please see the README file in /usr/lpp/bos for information pertinent to *
* this release of the AIX Operating System.                       *
*                                                                 *
*                                                                 *
*****
Last login: Tue Jun 27 11:51:59 CDT 2000 on /dev/tty0

#
```

11.2 Configuring the SLIP connection

The modem is working and has been tested; now the system needs to be set up for the SLIP connection. This procedure needs to be done on both systems.

Set up the SLIP attachment as follows:

```
# smit mkinet
```

Select the **Add a Serial Line INTERNET Network Interface** option and press **Enter** as shown in Figure 45.

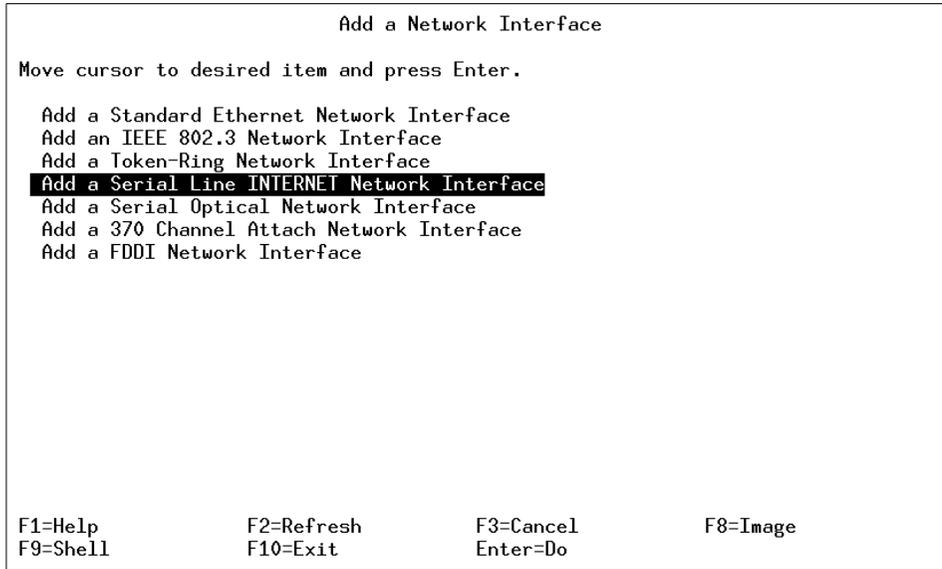


Figure 45. SMIT Add a Network Interface screen

Select the TTY device that has been configured for SLIP and press **Enter**. In this example, it is `tty0`, as shown in Figure 46.

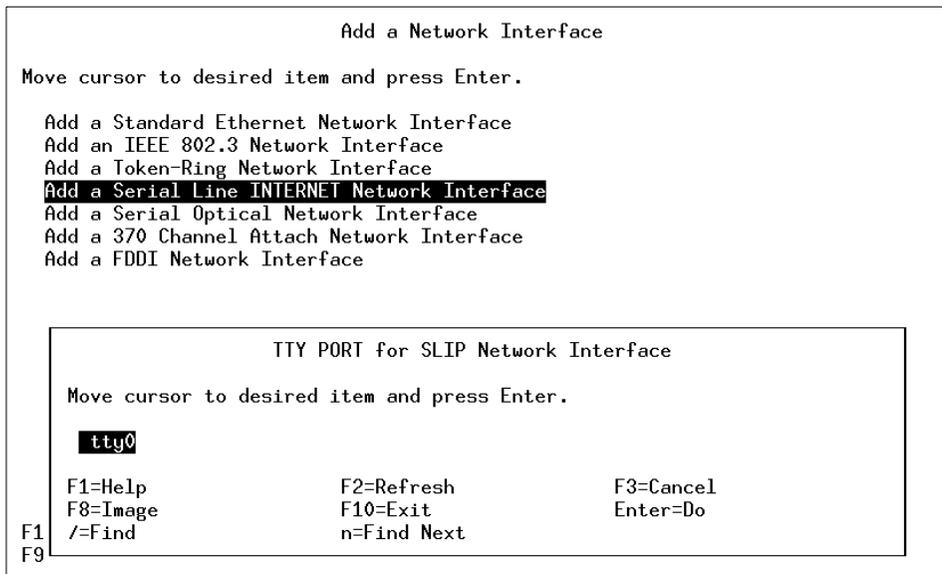


Figure 46. SMIT TTY PORT for SLIP Network Interface options screen

Insert the INTERNET ADDRESS and the DESTINATION Address. On the remote server, the addresses will be 10.11.12.2 for the INTERNET ADDRESS and 10.11.12.1 for the DESTINATION Address. The BAUD RATE and DIAL STRING fields are left empty, as the baud rate and number to be dialed are set up using the `slattach` command. These settings are shown in Figure 47

```

Add a Serial Line INTERNET Network Interface

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                [Entry Fields]
* INTERNET ADDRESS (dotted decimal)  [10.11.12.1]
* DESTINATION Address (dotted decimal) [10.11.12.2]
Network MASK (hexadecimal or dotted decimal) []
* ACTIVATE the Interface after Creating it?  yes +
* TTY PORT for SLIP Network Interface      tty0
BAUD RATE                                  [] +#
DIAL STRING                                []
(either both dial string and baud rate or none)

F1=Help      F2=Refresh      F3=Cancel      F4=List
F5=Reset     F6=Command     F7=Edit       F8=Image
F9=Shell     F10=Exit       Enter=Do

```

Figure 47. SMIT Add a Serial Line INTERNET Network Interface screen

After the command has been successfully completed, press **F10** to exit.

Edit the `/etc/hosts` file and insert the IP addresses and server names for the servers. These names must be unique and not be shared with other servers.

```

10.11.12.1      slipserver1
10.11.12.2      slipserver2

```

Run the following command on the remote server to set up the SLIP attachment.

```
# slattach tty0
```

Run the following command on the local server to set up the SLIP attachment.

```
# slattach tty0 9600 "" AT OK ATDT##### CONNECT ""
```

The above string is interpreted as "Use `tty0` at 9600 baud, send `AT` and I should get back an `OK`, dial `###-####` and I should get a `CONNECT` back."

```
slattach: Device /dev/tty0 successfully opened.
slattach:using slip interface sl0 for /dev/tty0
slattach: The /dev/tty0 connection is established.
```

The `netstat` command can be used to display the link between the two systems, as follows.

```
# netstat -in
Name Mtu Network Address Ipkts Ierrs Opkts Oerrs Coll
lo0 16896 link#1 137 0 137 0 0
lo0 16896 127 127.0.0.1 137 0 137 0 0
lo0 16896 ::1 137 0 137 0 0
tr0 1492 link#2 0.4.ac.61.9d.c5 15762 0 3726 0 0
tr0 1492 9.3.240 9.3.240.57 15762 0 3726 0 0
sl0 1006 link#3 483 0 582 0 0
sl0 1006 10 10.11.12.1 483 0 582 0 0
```

On the remote server the output appears as follows:

```
# netstat -in
Name Mtu Network Address Ipkts Ierrs Opkts Oerrs Coll
lo0 16896 link#1 131 0 131 0 0
lo0 16896 127 127.0.0.1 131 0 131 0 0
lo0 16896 ::1 131 0 131 0 0
sl0 1006 link#2 628 4 520 0 1
sl0 1006 10 10.11.12.2 628 4 520 0 1
```

To test the remote server, the `ping` command can be used.

```
# ping slipserver2
PING slipserver2: (10.11.12.2): 56 data bytes
64 bytes from 10.11.12.2: icmp_seq=0 ttl=255 time=250 ms
64 bytes from 10.11.12.2: icmp_seq=1 ttl=255 time=250 ms
64 bytes from 10.11.12.2: icmp_seq=2 ttl=255 time=250 ms
64 bytes from 10.11.12.2: icmp_seq=3 ttl=255 time=250 ms
64 bytes from 10.11.12.2: icmp_seq=4 ttl=255 time=250 ms
^C
----slipserver2 PING Statistics----
6 packets transmitted, 5 packets received, 16% packet loss
round-trip min/avg/max = 250/250/250 ms
```

The remote SLIP server is now available for use. For example:

In the example, a FTP transfer between the machines will be done. This will display a SLIP connection that can be used as a normal TCP/IP system would be used.

From the `slipserver1`, type the following and then log in to the remote system.

```
# ftp slipserver2
Connected to slipserver2.
220 localhost FTP server (Version 4.1 Mon Jul 26 19:58:48 CDT 1999) ready.
Name (slipserver2:root):
331 Password required for root.
Password:
230 User root logged in.
```

Change directory to the directory to get files from and to put a file into.

```
ftp> cd /home/user01
250 CWD command successful.
ftp> pwd
257 "/home/user01" is current directory.
```

List the contents of the directory.

```
ftp> ls
200 PORT command successful.
150 Opening data connection for ..
userprog1
userfile1
226 Transfer complete.
```

Change the file transfer type to binary for the `userprog1` file.

```
ftp> binary
200 Type set to I.
```

Get both the files for transfer using the `mget` as apposed to the `get` command.

```
ftp> mget /home/user01/* /home/myuser1
mget userfile1? y
200 PORT command successful.
150 Opening data connection for userfile1 (416 bytes).
226 Transfer complete.
429 bytes received in 0.6014 seconds (0.6967 Kbytes/s)
local: userfile1 remote: userfile1
mget userprog1? y
200 PORT command successful.
150 Opening data connection for userprog1 (1871 bytes).
226 Transfer complete.
1925 bytes received in 2.197 seconds (0.8556 Kbytes/s)
```

```
local: userprog1 remote: userprog1
```

Put a single file from the local host onto the remote host.

```
ftp> put /home/myuser1/myfile02 /home/user01/myfile02
200 PORT command successful.
150 Opening data connection for /home/user01/myfile02.
226 Transfer complete.
1310 bytes sent in 0.006891 seconds (185.6 Kbytes/s)
local: /home/myuser1/myfile02 remote: /home/user01/myfile02
```

List the contents of the remote directory.

```
ftp> ls -l
200 PORT command successful.
150 Opening data connection for /bin/ls.
total 8
-rw-r----- 1 root      system    1310 Jun 28 14:49 myfile02
-rw-r--r--  1 root      system     416 Jun 28 14:38 userfile1
-rw-r--r--  1 root      system    1871 Jun 28 14:37 userprog1
226 Transfer complete.
```

Exit the system.

```
ftp> bye
221 Goodbye.
```

11.2.1 Deactivating the SLIP connection

To temporarily deactivate the slip connection, use the following commands.

```
# ifconfig sl0 down
```

Check for any currently running `slattach` processes.

```
# ps -ef | grep slat
   root 10874      1   0 11:44:11      0  0:00 slattach tty0 9600 ""
   root 11112    2526   0 11:53:57 pts/0  0:00 grep slat
```

Kill the `slattach` process.

```
# kill 10874
```

Note

Do not use `kill -9` to kill the `slattach` process. This may cause problems and cause a system crash. If the system does crash, the only way to fix this is to remove the SLIP and the TTY using SMIT and then reconfigure the TTY and SLIP using SMIT again.

11.2.2 Activating a SLIP connection

To reactivate the SLIP connection, run the following commands. The `ifconfig` command will have to be run on both machines.

```
# ifconfig sl0 up
```

Run the following command on the remote server to set up the SLIP attachment.

```
# slattach tty0
```

Run the following command on the local server to set up the SLIP attachment.

```
# slattach tty0 9600 "" AT OK ATDT##### CONNECT ""
```

11.3 The `slattach` command

The `slattach` command assigns a TTY line to a network interface.

The `slattach` command is run by the `/etc/rc.net` file during system startup to automatically configure any Serial Line Internet Protocol (SLIP) network interfaces defined by the System Management Interface Tool (SMIT).

The command syntax for the `slattach` command is as follows:

```
slattach TTYName [ BaudRate DialString [ DebugLevel ] ]
```

The commonly used flags are provided in Table 39.

Table 39. Command parameters of the `slattach` command

Parameter	Description
<i>BaudRate</i>	Sets the speed of the connection. The default speed is 9600.

Parameter	Description
<i>DebugLevel</i>	Sets the level of debug information desired. A number from 0 through 9 may be specified. A value of 0 specifies no debug information; a value of 9 specifies the most debug information. The default value is 0.
<i>DialString</i>	Specifies a string of expect/respond sequences using the Basic Networking Utility (BNU)/UNIX to UNIX Copy Program (UUCP) chat syntax.
<i>TTYName</i>	Specifies a TTY line. This string is in the form ttyxx or /dev/ttyxx .

11.4 Quiz

The following assessment questions help verify your understanding of the topics discussed in this chapter.

1. A modem and TTY need to be configured for a SLIP link that can be initiated from either direction. Given the information provided in this chapter and providing the desired connection speed is 38400 Kbps, which of the following is the best procedure to accomplish the configuration?
 - A. Program the modem to lock its DTE speed to 38400, set the speed attribute of the TTY to 38400, and change the first Direct entry for tty0 in /usr/lib/uucp/Devices to 38400 baud.
 - B. Set the speed attribute of the TTY to 38400, turn on software flow control in the TTY attributes, and change the first Direct entry for tty0 in /usr/lib/uucp/Devices to 38400 baud.
 - C. Change the speed attribute of tty0 to 38400, change the parity to even, bits per character to 7, with 1 stop bit, and use a baud rate of 38400 when starting the SLIP link in both the local and the remote machine.
 - D. Make sure the cable is a null modem cable, lock the baud rate of the modem to 38400, change the speed of tty0 to 38400, and start the communications link with a baud rate of 38400.
2. Which of the following filesets should be loaded to enable configuration and use of the modem?
 - A. bos.mh
 - B. bos.net.uucp
 - C. bos.net.tcp.client
 - D. bos.net.tcp.server

11.4.1 Answers

The following are the preferred answers to the questions provided in this section.

1. A
2. B

11.5 Exercises

The following exercises provide sample topics for self study. They will help ensure comprehension of this chapter.

1. In the `/etc/uucp/Devices` file, what line must be inserted for the modem?
2. Make the changes in the `/etc/uucp/Devices` file and then set up the modem. What command is used to set up the modem?
3. What command can be used to check for problems with the SLIP connection?
4. What fileset needs to be installed for the modem to be connected?
5. Must the modem port be enabled for SLIP to work?
6. Set up the SLIP attachment. What command was used to configure the remote and the local hosts?

Appendix A. Using the additional material

This redbook also contains this document in HTML format as Web material. See the appropriate section below for instructions on using or downloading each type of material.

A.1 Locating the additional material on the Internet

The CD-ROM, diskette, or Web material associated with this redbook is also available in softcopy on the Internet from the IBM Redbooks Web server. Point your Web browser to:

<ftp://www.redbooks.ibm.com/redbooks/SG246186>

Alternatively, you can go to the IBM Redbooks Web site at:

ibm.com/redbooks

Select the **Additional materials** and open the directory that corresponds with the redbook form number.

A.2 Using the Web material

The additional Web material that accompanies this redbook includes the following:

<i>File name</i>	<i>Description</i>
SG246186.zip	Zipped HTML source

A.2.1 System requirements for downloading the Web material

The following system configuration is recommended for downloading the additional Web material.

Hard disk space:	40 MB
Operating System:	Windows or AIX with Netscape browser
Processor:	Pentium 386 or PowerPC 604e
Memory:	128 MB

A.2.2 How to use the Web material

Create a subdirectory (folder) on your workstation and copy the contents of the Web material into this folder. Point your browser at the index.html file to launch the application. The Web content has been optimized for the Netscape browser.

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Appendix C. Related publications

The publications listed in this section are considered particularly suitable for a more detailed discussion of the topics covered in this redbook.

C.1 IBM Redbooks

For information on ordering these publications see “How to get IBM Redbooks” on page 241.

- *IBM Certification Study Guide AIX V4.3 System Administration*, SG24-5129
- *IBM Certification Study Guide AIX V4.3 System Support*, SG24-5139
- *IBM Certification Study Guide AIX Performance and System Tuning*, SG24-6184 (December 2000)
- *IBM Certification Study Guide AIX Problem Determination Tools and Techniques*, SG24-6185 (December 2000)
- *IBM Certification Study Guide AIX Installation and System Recovery*, SG24-6183 (December 2000)
- *IBM Certification Study Guide, AIX HACMP*, SG24-5131
- *IBM Certification Study Guide, RS/6000 SP*, SG24-5348
- *NIM: From A to Z in AIX 4.3*, SG24-5524
- *RS/6000 Performance Tools in Focus*, SG24-4989
- *Problem Solving and Troubleshooting in AIX Version 4.3*, SG24-5496
- *AIX Logical Volume Manager, from A to Z: Introduction and Concepts*, SG24-5432
- *AIX Version 4.3 Differences Guide*, SG24-2014

C.2 IBM Redbooks collections

Redbooks are also available on the following CD-ROMs. Click the CD-ROMs button at ibm.com/redbooks for information about all the CD-ROMs offered, updates and formats.

CD-ROM Title	Collection Kit Number
IBM System/390 Redbooks Collection	SK2T-2177
IBM Networking Redbooks Collection	SK2T-6022

CD-ROM Title	Collection Kit Number
IBM Transaction Processing and Data Management Redbooks Collection	SK2T-8038
IBM Lotus Redbooks Collection	SK2T-8039
Tivoli Redbooks Collection	SK2T-8044
IBM AS/400 Redbooks Collection	SK2T-2849
IBM Netfinity Hardware and Software Redbooks Collection	SK2T-8046
IBM RS/6000 Redbooks Collection	SK2T-8043
IBM Application Development Redbooks Collection	SK2T-8037
IBM Enterprise Storage and Systems Management Solutions	SK3T-3694

C.3 Other resources

These publications are also relevant as further information sources:

- *PCI Adapter Placement Reference, SA38-0538*
- *SSA Adapters: User's Guide and Maintenance Information, SA33-3272*
- *System Management Concepts: Operating System, SC23-4311*
- You can access all of the AIX documentation through the Internet at the following URL: www.ibm.com/servers/aix/library

The following types of documentation are located on the documentation CD that ships with the AIX operating system:

- User guides
- System management guides
- Application programmer guides
- All commands reference volumes
- Files reference
- Technical reference volumes used by application programmers

C.4 Referenced Web sites

These Web sites are also relevant as further information sources:

- <http://www.rs6000.ibm.com>
- ibm.com/redbooks
- <http://www.ibm.com/servers/aix/download>
- <http://www.opengroup.org/onlinepubs/9629799/toc.htm>
- <http://www.ibm.com/services/learning/aix/#order>

- <http://www.ibm.com/certify>
- http://www.rs6000.ibm.com/resource/hardware_docs/
- <http://www.hursley.ibm.com/~ssa/>
- <http://techsupport.services.ibm.com/support/rs6000.support/databases>

How to get IBM Redbooks

This section explains how both customers and IBM employees can find out about IBM Redbooks, redpieces, and CD-ROMs. A form for ordering books and CD-ROMs by fax or e-mail is also provided.

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Abbreviations and acronyms

ABI	Application Binary Interface	CD-ROM	Compact Disk-Read Only Memory
AC	Alternating Current	CE	Customer Engineer
ADSM	ADSTAR Distributed Storage Manager	CEC	Central Electronics Complex
ADSTAR	Advanced Storage and Retrieval	CHRP	Common Hardware Reference Platform
AIX	Advanced Interactive Executive	CLIO/S	Client Input/Output Sockets
ANSI	American National Standards Institute	CMOS	Complementary Metal Oxide Semiconductor
APAR	Authorized Program Analysis Report	COLD	Computer Output to Laser Disk
ASCI	Accelerated Strategic Computing Initiative	CPU	Central Processing Unit
ASCII	American National Standards Code for Information Interchange	CRC	Cyclic Redundancy Check
ATM	Asynchronous Transfer Mode	CSR	Customer Service Representative
BFF	Backup File Format	CSS	Communication Subsystems Support
BOS	Base Operating System	CSU	Customer Set-Up
BI	Business Intelligence	CSU	Channel Service Unit
BIST	Built-In Self-Test	CWS	Control Workstation
BLAS	Basic Linear Algebra Subprograms	DAS	Dual Attach Station
BOS	Base Operating System	DASD	Direct Access Storage Device (Disk)
CAE	Computer-Aided Engineering	DAT	Digital Audio Tape
CAD	Computer-Aided Design	DC	Direct Current
CAM	Computer-Aided Manufacturing	DDC	Display Data Channel
CATIA	Computer-Graphics Aided CDC Compact Disk	DDS	Digital Data Storage
		DE	Dual-Ended
		DFS	Distributed File System
		DIMM	Dual In-Line Memory Module
		DIP	Direct Insertion Probe

DIVA	Digital Inquiry Voice Answer	FDDI	Fiber Distributed Data Interface
DLT	Digital Linear Tape	FDX	Full Duplex
DMA	Direct Memory Access	FRU	Field Replaceable Unit
DOS	Disk Operating System	FTP	File Transfer Protocol
DRAM	Dynamic Random Access Memory	F/W	Fast and Wide
DSU	Data Service Unit	GPFS	General Parallel File System
DW	Data Warehouse	GUI	Graphical User Interface
EC	Engineering Change	HACMP	High Availability Cluster Multi Processing
ECC	Error Checking and Correction	HACWS	High Availability Control Workstation
EEPROM	Electrically Erasable Programmable Read Only Memory	HDX	Half Duplex
EIA	Electronics Industry Association	HIPPI	High Performance Parallel Interface
EISA	Extended Industry Standard Architecture	HiPS	High Performance Switch
ELA	Error Log Analysis	HiPS LC-8	Low-Cost Eight-Port High Performance Switch
EMIF	ESCON Multiple Image Facility	HP	Hewlett-Packard
EPOW	Environmental and Power Warning	HPF	High Performance FORTRAN
ESCON	Enterprise Systems Connection (Architecture, IBM System/390)	HPSSDL	High Performance Supercomputer Systems Development Laboratory
ESSL	Engineering and Scientific Subroutine Library	HP-UX	Hewlett-Packard UNIX
ETML	Extract, Transformation, Movement and Loading	HTTP	Hypertext Transfer Protocol
F/C	Feature Code	Hz	Hertz
FC-AL	Fibre Channel-Arbitrated Loop	IA	Intel Architecture
FCP	Fibre Channel Protocol	ID	Identification
		IDE	Integrated Device Electronics
		IDS	Intelligent Decision Server

IEEE	Institute of Electrical and Electronics Engineers	LPP	Licensed Program Product
I²C	Inter Integrated-Circuit Communications	LVM	Logical Volume Manager
I/O	Input/Output	MAP	Maintenance Analysis Procedure
IP	Internetwork Protocol (OSI)	MAU	Multiple Access Unit
IPL	Initial Program Load	Mbps	Megabits Per Second
IrDA	Infrared Data Association (which sets standards for infrared support including protocols for data interchange)	MBps	Megabytes Per Second
IRQ	Interrupt Request	MCA	Micro Channel Architecture
ISA	Industry Standard Architecture	MCAD	Mechanical Computer-Aided Design
ISB	Intermediate Switch Board	MES	Miscellaneous Equipment Specification
ISDN	Integrated-Services Digital Network	MIP	Mixed-Integer Programming
ISV	Independent Software Vendor	MLR1	Multi-Channel Linear Recording 1
ITSO	International Technical Support Organization	MMF	Multi-Mode Fibre
JBOD	Just a Bunch of Disks	MP	Multiprocessor
JFS	Journalled File System	MP	Multi-Purpose
JTAG	Joint Test Action Group	MPC-3	Multimedia PC-3
L1	Level 1	MPI	Message Passing Interface
L2	Level 2	MPP	Massively Parallel Processing
LAN	Local Area Network	MPS	Mathematical Programming System
LANE	Local Area Network Emulation	MTU	Maximum Transmission Unit
LAPI	Low-Level Application Programming Interface	MVS	Multiple Virtual Storage (IBM System 370 and 390)
LED	Light Emitting Diode	MX	Mezzanine Bus
LFT	Low Function Terminal	NCP	Network Control Point
LP	Linear Programming	NFS	Network File System

NIM	Network Installation Manager	POST	Power-On Self-test
NT-1	Network Terminator-1	POWER	Performance Optimization with Enhanced RISC (Architecture)
NTP	Network Time Protocol		
NUMA	Non-Uniform Memory Access	PPP	Point-to-Point Protocol
NVRAM	Non-Volatile Random Access Memory	PREP	PowerPC Reference Platform
OCS	Online Customer Support	PSSP	Parallel System Support Program
ODM	Object Data Manager	PTF	Program Temporary Fix
OLAP	Online Analytical Processing	PTPE	Performance Toolbox Parallel Extensions
OS/390	Operating System/390	PTX	Performance Toolbox
OSL	Optimization Subroutine Library	PV	Physical Volume
OSLp	Parallel Optimization Subroutine Library	PVC	Permanent Virtual Circuit
P2SC	Power2 Super Chip	QMF	Query Management Facility
PAP	Privileged Access Password	QP	Quadratic Programming
PBLAS	Parallel Basic Linear Algebra Subprograms	RAM	Random Access Memory
PCI	Peripheral Component Interconnect	RAN	Remote Asynchronous Node
PDU	Power Distribution Unit	RAS	Reliability, Availability, and Serviceability
PE	Parallel Environment	RAID	Redundant Array of Independent Disks
PEDB	Parallel Environment Debugging	RDBMS	Relational Database Management System
PID	Program Identification	RIPL	Remote Initial Program Load
PIOFS	Parallel Input Output File System	ROLTP	Relative Online Transaction Processing
POE	Parallel Operating Environment	RPA	RS/6000 Platform Architecture
POP	Power-On Password	RVSD	Recoverable Virtual Shared Disk
POSIX	Portable Operating Interface for Computing Environments		

RTC	Real-Time Clock	SPOT	Shared Product Object Tree
SAN	Storage Area Network	SPS	SP Switch
SAS	Single Attach Station	SPS-8	Eight-Port SP Switch
SAR	Solutions Assurance Review	SRC	System Resource Controller
ScaLAPACK	Scalable Linear Algebra Package	SSC	System Support Controller
SCO	Santa Cruz Operations	SSA	Serial Storage Architecture
SCSI	Small Computer System Interface	STP	Shielded Twisted Pair
SDR	System Data Repository	SUP	Software Update Protocol
SDRAM	Synchronous Dynamic Random Access Memory	SVC	Switch Virtual Circuit
SDLC	Synchronous Data Link Control	Tcl	Tool Command Language
SE	Single-Ended	TCP/IP	Transmission Control Protocol/Internet Protocol
SEPBU	Scalable Electrical Power Base Unit	TCQ	Tagged Command Queuing
SGI	Silicon Graphics Incorporated	TPC	Transaction Processing Council
SLIP	Serial Line Internet Protocol	UDB EEE	Universal Database and Enterprise Extended Edition
SLR1	Single-Channel Linear Recording 1	UP	Uniprocessor
SMIT	System Management Interface Tool	USB	Universal Serial Bus
SMS	System Management Services	UTP	Unshielded Twisted Pair
SMP	Symmetric Multiprocessing	UUCP	UNIX-to-UNIX Communication Protocol
SOI	Silicon-on-Insulator	VESA	Video Electronics Standards Association
SP	Scalable POWERParallel	VG	Volume Group
SP	Service Processor	VM	Virtual Machine (IBM System 370 and 390)
SPEC	Standard Performance Evaluation Corp.		

VMM	Virtual Memory Manager
VPD	Vital Product Data
VSD	Virtual Shared Disk
VSM	Visual Systems Management
VSS	Versatile Storage Server
VT	Visualization Tool
WAN	Wide Area Network
WTE	Web Traffic Express
XTF	Extended Distance Feature

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